

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3089346

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HARVEY KALWEIT	10/28/2014
SATYA AJJARAPU	06/16/2014
JEAN-CHRISTOPHE GIRON	10/27/2014
RECEIVING PARTY DATA	
Name:	SAGE ELECTROCHROMICS, INC.
Street Address:	ONE SAGE WAY
City:	FARIBAULT
State/Country:	MINNESOTA
Postal Code:	55021
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14198824
CORRESPONDENCE DATA	
Fax Number:	(908)654-7866
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(908) 654-5000
Email:	Assignment@ldlkm.com
Correspondent Name:	LDLK&M
Address Line 1:	600 SOUTH AVENUE WEST
Address Line 4:	WESTFIELD, NEW JERSEY 07090
ATTORNEY DOCKET NUMBER:	SAGE 3.OF-071 (SAGE.370)
NAME OF SUBMITTER:	RICHARD M. RUSSO
SIGNATURE:	/Richard M. Russo/
DATE SIGNED:	10/31/2014
Total Attachments: 3	
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ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional) SAGE 3.0F-071
<p>Whereas, I, <u>Harvey Kalweit</u> of <u>1513 W. 139th Street; Burnsville, Minnesota 55021</u> hereafter referred to as assignor, have with others invented certain new and useful improvements in <u>PARTICLE REMOVAL FROM ELECTROCHROMIC FILMS USING NON-AQUEOUS FLUIDS</u></p> <p><input checked="" type="checkbox"/> for which an application for a United States Letters Patent was filed on <u>March 6, 2014</u> Application Number <u>14/198,824</u></p> <p><input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____ and _____</p> <p>Whereas, <u>SAGE Electrochromics, Inc.</u> a corporation of <u>New Jersey</u> herein referred to as "assignee" whose mailing address is <u>One Sage Way; Faribault, Minnesota 55021</u> is desirous of acquiring the entire right, title and interest in the same;</p> <p>NOW, THEREFORE, in consideration of the sum of <u>one</u> dollars (\$ <u>1.00</u>), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.</p> <p>And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.</p> <p style="text-align: center;"> <u>28 Oct 14</u> (Date) <u>Harvey W. Kalweit</u> (Signature) </p> <p>State of <u>MN</u>) SS: County of <u>Rice</u>)</p> <p>On this <u>28th</u> day of <u>October</u> <u>2014</u> before me personally came the above-named <u>Harvey Kalweit</u>, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.</p> <p style="text-align: center;"> <u>Jill Stenson</u> (Notary Public) </p>	

*Total of 3 forms are submitted.

LD-528



ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

SAGE 3.0F-071

Whereas, I, Satya Ajarapu of 15231 Florist Circle; Apple Valley, Minnesota 55124

hereafter referred to as assignor, have with others invented certain new and useful improvements in PARTICLE REMOVAL FROM ELECTROCHROMIC FILMS USING NON-AQUEOUS FLUIDS

for which an application for a United States Letters Patent was filed on March 6, 2014
Application Number 14/198,824

for which an application for a United States Letters Patent was executed by me on _____
and _____

Whereas, SAGE Electrochromics, Inc.
a corporation of New Jersey herein referred to as "assignee" whose mailing address is One Sage Way; Faribault, Minnesota 55021
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

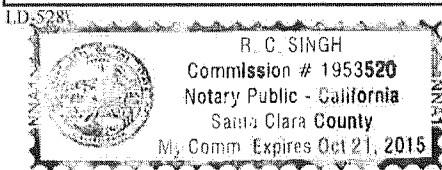
06/16/2014 (Date) A. Satya Kumar (Signature)

State of CALIFORNIA) SS:
County of SANTA CLARA)

On this 16 day of JUNE, 2014 before me personally came the above-named Satya Ajarapu, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

[Signature]
(Notary Public)

*Total of 3 forms are submitted.



State of California County of SANTA CLARA ss.
On 06/16/2014 before me R.C. Singh, Notary Public,
personally appeared SATYA AJARAPU
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
I declare my hand and official seal.

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

SAGE 3.0F-071

Whereas, I, Jean-Christophe Giron of 5525 Warden Avenue; Edina, Minnesota 55436

hereafter referred to as assignor, have with others invented certain new and useful improvements in PARTICLE REMOVAL FROM ELECTROCHROMIC FILMS USING NON-AQUEOUS FLUIDS

for which an application for a United States Letters Patent was filed on March 6, 2014
Application Number 14/198,824

for which an application for a United States Letters Patent was executed by me on _____
and _____

Whereas, SAGE Electrochromics, Inc.
a corporation of New Jersey herein referred to as "assignee" whose mailing address is One Sage Way; Faribault, Minnesota 55021

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

10.27.2014
(Date)

Jill K Stenson
(Signature)

State of MN) SS:
County of Rice)



On this 27th day of October 2014 before me personally came the above-named Jean-Christophe Giron, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Jill Stenson
(Notary Public)

*Total of 3 forms are submitted.