# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3095843

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
DAVID J NEAL	11/20/2013
JOSEPH MARKHAM	10/24/2014
RICHARD JOHN CLARIDGE	10/08/2014
JOHN SHIRK KIRCHNER	10/14/2014
MEHUL DHARIA	11/20/2013
RAY ZUBOK	11/20/2013

# **RECEIVING PARTY DATA**

Name:	ZIMMER, INC.
Street Address:	1800 W. CENTER STREET
City:	WARSAW
State/Country:	INDIANA
Postal Code:	46580

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
PCT Number:	US2013037758

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: request@slwip.com

Correspondent Name: SCHWEGMAN LUNDBERG & WOESSNER, P.A.

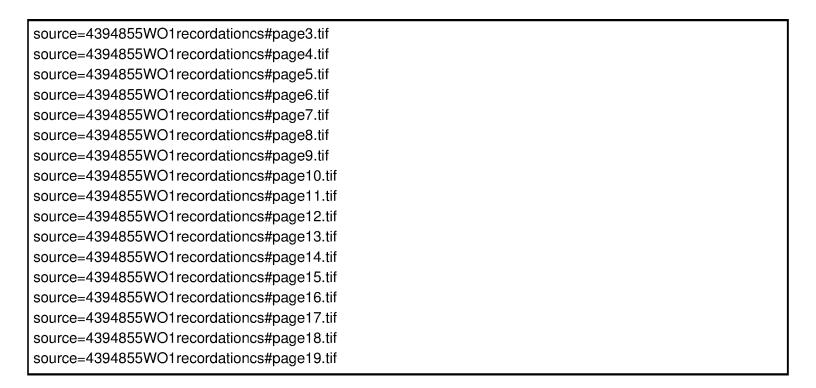
Address Line 1: PO BOX 2938

Address Line 4: MINNEAPOLIS, MINNESOTA 55402-0938

ATTORNEY DOCKET NUMBER:	4394.855WO1
NAME OF SUBMITTER:	LESLIE J. ANTONSEN
SIGNATURE:	/Leslie J. Antonsen/
DATE SIGNED:	11/05/2014

**Total Attachments: 19** 

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PATENT REEL: 034109 FRAME: 0821

# RECORDATION FORM COVER SHEET PATENTS ONLY

Atty Ref/Docket No.: 4394.855WO1 Patent and Trademark Office To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Name: Zimmer, Inc. David J. Neal, Joseph Markham, Richard John Claridge, John Shirk Kirchner, Mehul Dharia, Ray Street Address: 1800 W. Center Street City: Warsaw State: IN Zip: <u>46580</u> Additional name(s) of conveying party(ies) attached? Country: United States of America [ ]Yes [X]No Additional name(s) & address(es) attached? [ ]Yes [X]No 3. Nature of conveyance: [X] Assignment [ ] Merger [ ] Security Agreement [ ] Change of Name [ ] Other Execution Date: <u>November 20, 2013, October 24, 2014, October 8, 2014, October 14, 2014, November 20, 2013, November 20, 2013</u> 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) Serial No. PCT/US2013/037758, filed April 23, 2013 B. Patent No.(s) Additional numbers attached? [ ]Yes [X]No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence 7. Total fee (37 CFR 3.41):\$ <u>0.00</u> concerning document should be mailed: Name: Timothy B. Paul []Enclosed []Authorized to be charged to deposit account 19-Address: 0743 Schwegman Lundberg & Woessner, P.A. P.O. Box 2938 8. Please charge any additional fees or credit any over Minneapolis, MN 55402--0938 payments to our Deposit Account No.: 19-0743 DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. November 5, 2014 Timothy B. Paul/Reg. No. 51,203 Date Name of Person Signing Total number of pages including cover sheet: 19

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks Mail Stop Assignment Recordation Services P.O. Box 1450 Alexandria, VA 22313-1450

Attorney Docket No.:4394.855WO1

Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

Serial No.:PCT/US2013/037758

# ASSIGNMENT

We, David J. Neal, Joseph Markham, Victor Valderrabano, Richard Claridge, John Shirk Kirchner, Mehul Dharia, Ray Zubok (hereinafter referred to as the "Undersigned"), for good and valuable consideration, receipt, sufficiency, and adequacy whereof is hereby acknowledged, do hereby assign to Zimmer, Inc., a New Jersey corporation, having an office at 1800 W. Center Street, Warsaw, IN 46580 (hereinafter referred to as "Assignee"), the entire right, title and interest in the United States of America, its territories and possessions in and to any and all of the Undersigned's inventions and discoveries in, POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE FUSION, as described and claimed in the Undersigned's patent application filed on April 23, 2013, which application was assigned US patent application serial number PCT/US2013/037758, in and to any and all patents that issue on the aforesaid patent application, and in and to any divisions, continuations, continuations-in-part, reissues, reexaminations, extensions and renewals thereof, the same to be held and enjoyed by the Assignee, its successors, assigns or other legal representatives, to the full ends of the terms for which all patents therefore may be granted, as fully and entirely as the same would have been held, and enjoyed by us if this assignment had not been made.

The Undersigned hereby warrant and covenant that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned. Also, the Undersigned hereby agree that the Undersigned will at any time, upon the request and at the expense of the Assignee, execute and deliver any and all documents that may be necessary or desirable to divide, continue, perfect, confirm, import or register and to reissue the title to the foregoing inventions and discoveries, patent or design applications, and patents and reissues, reexaminations, extensions and renewals thereof in the Assignee, its successors, assigns or other legal representatives, and the Undersigned will make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of the Assignee, its successors, assigns or other legal representatives.

The Undersigned hereby further assign and transfer to the Assignee on the same terms

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1

Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE **FUSION** 

and conditions as aforesaid all of the Undersigned's right, title and interest in and to the abovementioned application and the invention and discoveries described therein in all other countries other than the United States of America and with effect for all multi-national organizations for the protection of intellectual property. This full assignment and transfer includes, but is not limited to, the assignment and transfer of the right to file an application for a patent or any other protective right in the Assignee's name, the right to claim priority to the above-identified application under the International Convention for the Protection of Industrial Property or under any other bilateral or multilateral agreement to which the United States of America is now or hereafter becomes a signatory or any other legal provision which allows claiming the priority of the assigned application, and the right to all causes of action, remedies, and other enforcement rights related to the above-identified application, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement. The Undersigned also hereby assign to Assignee in all countries of the world any and all of the Undersigned's right, title and interest in and to any and all copyright property appertaining any drawings or other materials relating to the tangible means of expression and illustration of the aforesaid inventions and discoveries.

The Undersigned also hereby agree that this Assignment is to be binding on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

This document has been prepared in the English language at the request of the Undersigned.

Assignor[s]:	11/20/203	da11.1/
David J. Neal	<del></del> ;	
Joseph Markham	DATE	SIGNATURE

Assignee Docket No.: ZI01128PCT
Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855W01
Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

Victor Valderrabano	DATE	SIGNATURE	······································
Richard Claridge	DATE	SIGNATURE	
John Shirk Kirchner	DATE	SIGNATURE	
Mehul Dharia	DATE	SIGNATURE	
Ray Zubok	DATE	SIGNATURE	

N/M 11/20/13

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

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**PATEN** ssignment REEL: 034109 FRAME: 0826

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

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Assignor[s]:

David J. Neal DATE

Joseph Markham
Joseph Markham

DATE

SIGNATURE

**SIGNATURE** 

SIGNATURE

Assignee Docket No.: ZI01128PCT
Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855W01
Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

Victor Valderrabano	DATE	SIGNATURE	
Richard Claridge	DATE	SIGNATURE	
John Shirk Kirchner	DATE	SIGNATURE	
Mehul Dharia	DATE	SIGNATURE	
Ray Zubok	DATE	SIGNATURE	

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Serial No.:PCT/US2013/037758

Attorney Docket No.:4394.855WO1 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

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Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1

Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

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Assignor[s]:

David J. Neal	DATE	SIGNATURE	·
	·		
Joseph Markham	DATE	SIGNATURE	

Assignee Docket No.: ZI01128PCT
Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1
Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

Victor Valderrabano	DATE	SIGNATURE	
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Richard Claridge	DATE	SIGNATURE (	
John Shirk Kirchner	DATE	SIGNATURE	
Mehul Dharia	DATE	SIGNATURE	
Ray Zubok	DATE	SIGNATURE	

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WOI Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

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Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1

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Assignor[s]:

David J. Neal DATE SIGNATURE

Joseph Markham DATE SIGNATURE

Assignee Docket No.: ZI01128PCT Attorney Docket No.:4394.855WO1 Serial No.:PCT/US2013/037758 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

Victor Valderrabano	DATE	SIGNATURE
Richard Claridge	DATE	SIGNATURE
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John Shirk Kirchner	DATE	SIGNATURE
Mehul Dharia	DATE	SIGNATURE
Ray Zubok	DATE	SIGNATURE

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

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PATEN\*\*ssignment REEL: 034109 FRAME: 0835

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1

Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

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Assignor[s]:

David J. Neal	DATE	SIGNATURE	
Joseph Markham	DATE	SIGNATURE	

PATEN\*\*\*
REEL: 034109 FRAME: 0836

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1

Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

Victor Valderrabano	DATE	SIGNATURE
Richard Claridge	DATE	SIGNATURE
John Shirk Kirchner	DATE	SIGNATURE
MEHULDHARIA	20-MOV-2013	Netriblacia
Mehul Dharia	DATE	SIGNATURE
Ray Zubok	DATE	SIGNATURE

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

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Serial No.:PCT/US2013/037758 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

**FUSION** 

and conditions as aforesaid all of the Undersigned's right, title and interest in and to the abovementioned application and the invention and discoveries described therein in all other countries other than the United States of America and with effect for all multi-national organizations for the protection of intellectual property. This full assignment and transfer includes, but is not limited to, the assignment and transfer of the right to file an application for a patent or any other protective right in the Assignee's name, the right to claim priority to the above-identified application under the International Convention for the Protection of Industrial Property or under any other bilateral or multilateral agreement to which the United States of America is now or hereafter becomes a signatory or any other legal provision which allows claiming the priority of the assigned application, and the right to all causes of action, remedies, and other enforcement rights related to the above-identified application, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement. The Undersigned also hereby assign to Assignee in all countries of the world any and all of the Undersigned's right, title and interest in and to any and all copyright property appertaining any drawings or other materials relating to the tangible means of expression and illustration of the aforesaid inventions and discoveries.

The Undersigned also hereby agree that this Assignment is to be binding on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

This document has been prepared in the English language at the request of the Undersigned.

Assignor[s]:

David J. Neal	DATE	SIGNATURE	
Joseph Markham	DATE	SIGNATURE	_

PATEN\*\*ssignment **REEL: 034109 FRAME: 0839** 

Serial No.:PCT/US2013/037758 Attorney Docket No.:4394.855WO1 Title: POROUS SPACERS, INSTRUMENTS, AND METHODS FOR FOOT AND ANKLE

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 Victor Valderrabano
 DATE
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 Richard Claridge
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 John Shirk Kirchner
 DATE
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Mehul Dharia

Ray Zubok

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DATE

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SIGNATURE

Assignment

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