#### 11/06/2014 503050273

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3096873

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
YOON AH KANG	10/25/2013
JE YOUNG KIM	10/25/2013
KI TAE KIM	10/25/2013
JIN HYONG LIM	10/25/2013
HOON JEONG	10/25/2013
KI HWAN KIM	10/25/2013

#### **RECEIVING PARTY DATA**

Name:	LG CHEM, LTD.	
Street Address:	128, YEOUI-DAERO	
Internal Address:	YEONGDEUNGPO-GU	
City:	SEOUL	
State/Country:	KOREA, REPUBLIC OF	
Postal Code:	150-721	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14063254

### **CORRESPONDENCE DATA**

Fax Number: (908)654-7866

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (908) 518-6320

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**Correspondent Name:** LDLK&M

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ATTORNEY DOCKET NUMBER:	LGCHEM 3.9-399 CON
NAME OF SUBMITTER:	KELLY Y. HWANG
SIGNATURE:	/Kelly Y. Hwang/
DATE SIGNED:	11/06/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).
	PAILNI

REEL: 034116 FRAME: 0261 503050273

# **Total Attachments: 2**

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PATENT REEL: 034116 FRAME: 0262

	N AND ASSIGNMENT FOR DESIGN APPLICATIONS	Attorney Docket Number: LGCHEM 3.9-399 CON		
NEGATIVE ELECTRODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY AND LITHIUM SECONDARY BATTERY COMPRISING THE SAME				
As the below named inventor(s) (hereinafter designated as the undersigned), I/We hereby declare that:  This declaration is directed to:  The attached application, or  X United States application or PCT international application number PCT/KR2013/004647 filed on May 28, 2013				
The above-identifie	d application was made or authorized t	o be made by me/us.		
I/We believe that I a	am the original inventor or an original jo	int inventor of a claimed invention in the application.		
I/We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.				
WHEREAS, the un listed below) has in	ndersigned as a sole inventor (if only on ovented certain new and useful improv	ne name is listed below) or as a joint inventor (if plural names are ements in the application identified above.		
AND, WHEREAS LG CHEM. LTD., (hereinafter referred to as assignee), a corporation of Korea whose mailing address is 128, Yeoui-daero, Yeongdeungpo-gu, Seoul 150-721, Republic of Korea is desirous of acquiring the entire right, title and interest in the same.				
NOW, THEREFORE, in consideration of the sum of one dollar, the receipt wherof is acknowledged, and other good and valuable consideration, the undersigned hereby sell(s), assign(s) and set(s) over to said assignee my/our entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me/us and my/our legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the e				
And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.				
FULL NAME	E OF INVENTOR(S)			
Inventor one	e: Yoon Ah KANG	Date: October 25, 2013		
Signature:				
X Additional inventors or a legal representative are being named on additional form(s) attached hereto.				
PLEASE SEE THE WARNINGS ON THE LAST PAGE OF THIS FORM.				

DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATIONS	ADDITIONAL INVENTOR(S) Supplemental Sheet	
Inventor two: <u>Je Young KIM</u> Signature:	Date: <u>October 25, 2013</u>	
Inventor three: <u>Ki Tae KIM</u> Signature:	Date: October 25, 2013	
Inventor four: <u>Jin Hyong LIM</u> Signature: <u>んん </u> ルし が	Date: October 25, 2013	
Inventor five: Hoon JEONG Signature:	Date: October 25, 2013	
Inventor six: Ki Hwan KIM Signature: Kim Kr Maran	Date: October 25, 2013	
Inventor seven: Signature:	Date:	
Inventor eight: Signature:	Date:	
Inventor nine: Signature:	Date:	
Inventor ten: Signature:	Date:	
WARNING: According to 37 C.F.R. 1.63 (c), "[a] person may not execute an oath or declaration unless the person has reviewed and understands the contents of the application, including the claims and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.56."		
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.		

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