#### 11/06/2014 503051618

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CHAE-WOONG CHO	09/22/2014
MYUNG-DUK LIM	09/22/2014
SEUNG-HUN HAN	09/22/2014

#### **RECEIVING PARTY DATA**

Name:	SAMSUNG SDI CO., LTD.
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State/Country:	KOREA, REPUBLIC OF

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14535154

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ATTORNEY DOCKET NUMBER:	SDIYPL.550AUS	
NAME OF SUBMITTER:	QING XIA	
SIGNATURE:	/Qing Xia/	
<b>DATE SIGNED:</b> 11/06/2014		
	This document serves as an Oath/Declaration (37 CFR 1.63).	

#### **Total Attachments: 2**

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> **PATENT** REEL: 034122 FRAME: 0134 503051618

## **COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))**

Application Data Sheet filed previously or concurrently

Docket No.: SDIYPL.550AUS Page 1 of 2

Title: CATHODE, LITHIUM BATTYER INCLUDING THE SAME, AND METHOD OF PREPARING THE

CATHODE

Inventor(s): Chae-Woong Cho, Myung-Duk Lim, and Seung-Hun Han

#### Declaration

This Declaration is directed to the invention identified above that:

Is attached, where "attached" means filed concurrently with.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I hereby state that I have reviewed and understand the contents of the above identified specification and acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37. Code of Federal Regulations, § 1.56.

#### Assignment from Inventor(s)

WHEREAS, above-identified inventor(s) (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas or discoveries, and hereby assign or are under an obligation to assign to the below identified Assignee the above-titled application (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application").

AND WHEREAS, Samsung SDI Co., Ltd., with its principal place of business at 150-20, Gongse-ro, Giheung-gu, Yongin-si, Gyeonggi-do, Republic of Korea (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest in the Application, including all patent properties filed or issued upon the Application; where "Patent Properties" include, but are not limited to:

all provisional applications to which the Application claims priority;

all nonprovisional applications claiming priority to aforementioned provisional(s) and/or the present Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof:

ASSIGNOR hereby acknowledges the ASSIGNEE as the Applicant for all aforementioned patent properties, and authorizes and requests the Commissioner of Patents of the United States to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

PATENT REEL: 034122 FRAME: 0135

### COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Page 2 of 2 Docket No.: SDIYPL.550AUS

Title: CATHODE, LITHIUM BATTYER INCLUDING THE SAME, AND METHOD OF PREPARING THE

CATHODE

Inventor(s): Chae-Woong Cho, Myung-Duk Lim, and Seung-Hun Han

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other Patent Property relating to the Application, and the Work or any improvements made thereto, sign/execute all lawful papers, execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Patent Properties.

Legal Name of inventor 1: Chae-Woong Cho

Signature: Chae Woony Cho Date: September 22, 2nd

Legal Name of inventor 2: Myung-Duk Lim

Signature: Myung Duk hain Date: September 22, 2014

Legal Name of inventor3: Seung-Hun Han

Signature: Sevng-Hun Han Date: September 22, 2014

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팀 정보는 작성SDI 정보자선으로 관리적임처의 허기없여 출력, 배운, 단출동 형 수 있으며, 역고기 관리 법령에 역기하여 인서**PATENT**총 ← 봤습니다.

REEL: 034122 FRAME: 0136 RECORDED: 11/06/2014