

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3098874

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
YUTAKA HIGO	09/30/2014
MASANORI HOSOMI	09/30/2014
HIROYUKI OHMORI	09/30/2014
KAZUHIRO BESSHO	09/30/2014
TETSUYA ASAYAMA	10/06/2014
KAZUTAKA YAMANE	09/30/2014
HIROYUKI UCHIDA	09/30/2014
RECEIVING PARTY DATA	
Name:	SONY CORPORATION
Street Address:	1-7-1 KONAN
City:	MINATO-KU, TOKYO
State/Country:	JAPAN
Postal Code:	108-0075
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14399268
CORRESPONDENCE DATA	
Fax Number:	(312)827-8185
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	312-372-1121
Email:	chicago.patents@klgates.com
Correspondent Name:	THOMAS C. BASSO
Address Line 1:	P.O. BOX 1135
Address Line 4:	CHICAGO, ILLINOIS 60690-1135
ATTORNEY DOCKET NUMBER:	3712174-03811
NAME OF SUBMITTER:	THOMAS C. BASSO
SIGNATURE:	/Thomas C. Basso/
DATE SIGNED:	11/07/2014
This document serves as an Oath/Declaration (37 CFR 1.63).	

PATENT

Total Attachments: 7

source=03811 DecAssn#page1.tif

source=03811 DecAssn#page2.tif

source=03811 DecAssn#page3.tif

source=03811 DecAssn#page4.tif

source=03811 DecAssn#page5.tif

source=03811 DecAssn#page6.tif

source=03811 DecAssn#page7.tif

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of
Invention

MEMORY APPARATUS AND MEMORY DEVICE

As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐

The attached application, or

☒

United States application or PCT international application number PCT/JP2013/001377
filed on 2013/03/06

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, SONY CORPORATION

1-7-1 KONAN, MINATO-KU, TOKYO, 108-0075, JAPAN (hereinafter referred to as ASSIGNEE), with offices at
acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar
legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency
of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and
legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and
in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to
any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American
Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America
adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the
United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters
Patent to said ASSIGNEE, as the assignee of the whole right, title and interest therein;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its
designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further
remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of
the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for
application for foreign patents, for filing subdivisions of said application for patent, and/or, for obtaining any reissue or reissues of any Letters
Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said
application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will
testify as to the same in any interference or litigation related therein;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with
this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of
not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: YUTAKA HIGO

Date: Sep 30, 2013

Signature: Yutaka Higo

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of
Invention

MEMORY APPARATUS AND MEMORY DEVICE

As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐ The attached application, or

☒ United States application or PCT international application number PCT/JP2013/001377
filed on 2013/03/06

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, SONY CORPORATION, with offices at
1-7-1 KONAN, MINATO-KU, TOKYO, 108-0075, JAPAN (hereinafter referred to as ASSIGNEE), is desirous of
acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar
legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency
of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and
legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and
in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to
any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American
Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America
adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the
United States and countries foreign therein, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters
Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its
designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further
remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of
the United States and countries foreign therein;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for
application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any release or cessures of any Letters
Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said
application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will
testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with
this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of
not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: MASANORI HOSOMI

Date: Sep. 30, 2014

Signature: Masanori Hosomi

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of
Invention

MEMORY APPARATUS AND MEMORY DEVICE

As the below named *inventor*, I hereby declare that:

This declaration
is directed to:

☐ The attached application, or

☒ United States application or PCT international application number PCT/JP2013/001377
filed on 2013/03/06

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, SONY CORPORATION, with offices at
1-7-1 KONAN, MINATO-KU, TOKYO, 108-0075, JAPAN (hereinafter referred to as ASSIGNEE), is desirous of
acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar
legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency
of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and
legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and
in and in any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to
any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American
Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America
adheres, and in any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the
United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters
Patent to said ASSIGNEE, as the assignee of the whole right, title and interest therein;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its
designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further
remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of
the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for
application for foreign patents, for filing subdivisions of said application for patent, and/or for obtaining any reissue or renewals of any Letters
Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said
application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will
testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with
this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of
not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: HIROYUKI OHMORI

Date: Sep 30, 2013

Signature: Hiroyuki Ohmori

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of
Invention

MEMORY APPARATUS AND MEMORY DEVICE

As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐

The attached application, or

☒

United States application or PCT international application number PCT/JP2013/001377

filed on 2013/03/06

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, SONY CORPORATION, with offices at
1-7-1 KONAN, MINATO-KU, TOKYO, 108-0075, JAPAN (hereinafter referred to as ASSIGNEE), is desirous of
acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar
legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency
of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and
legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and
in and in any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and in
any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American
Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America
adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the
United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters
Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its
designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further
remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of
the United States and countries foreign therein;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for
application for foreign patents, for filing subdivisions of said application for patent, and/or, for obtaining any reissue or rescues of any Letters
Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said
application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will
testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with
this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of
not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: KAZUHIRO BESSHO

Date: Sept. 10, 2013

Signature: Kazuhiro Bessho

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of
Invention

MEMORY APPARATUS AND MEMORY DEVICE

As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐ The attached application, or

☒ United States application or PCT international application number PCT/JP2013/001377
filed on 2013/03/06

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, SONY CORPORATION with offices at
1-7-1 KONAN, MINATO-KU, TOKYO, 108-0075, JAPAN (hereinafter referred to as ASSIGNEE), is desirous of
acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar
legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency
of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and
legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and
in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to
any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American
Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America
adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the
United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters
Patent to said ASSIGNEE, as the assignee of the whole right, title and interest therein;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its
designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further
remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of
the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for
application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or renewal of any Letters
Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said
application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will
testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with
this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of
not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: TETSUYA ASAYAMA

Date: 6th Oct. 2014

Signature: Tetsuya Asayama

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of
Invention

MEMORY APPARATUS AND MEMORY DEVICE

As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐

The attached application, or

☒

United States application or PCT international application number PCT/JP2013/001377

filed on 2013/03/06

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, SONY CORPORATION, with offices at
1-7-1 KONAN, MINATO-KU, TOKYO, 108-0075, JAPAN (hereinafter referred to as ASSIGNEE), is desirous of
acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar
legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency
of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and
legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and
in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to
any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American
Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America
adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the
United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters
Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its
designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further
remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of
the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for
applications for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters
Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said
application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will
testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with
this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of
not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: KAZUTAKA YAMANE

Date: Sept 30, 2013

Signature: Kazutaka Yamane

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of
Invention

MEMORY APPARATUS AND MEMORY DEVICE

As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐

The attached application, or

☒

United States application or PCT international application number PCT/JP2013/001377

filed on 2013/03/06

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, SONY CORPORATION, with offices at
1-7-1 KONAN, MINATO-KU, TOKYO, 108-0075, JAPAN (hereinafter referred to as ASSIGNEE), is desirous of
acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar
legal protection which may be granted therefor in the United States and in any and all foreign countries.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency
of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and
legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and
in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to
any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American
Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America
adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the
United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters
Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its
designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further
remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of
the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for
application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or renewals of any Letters
Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said
application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will
testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with
this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of
not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: HIROYUKI UCHIDA

Date: Sep. 30, 2014

Signature: Hiroyuki Uchida