

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3099124

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LLOYD LEON BURCH	10/10/2014
ATUL MAHAJAN	10/10/2014
STUART JENSEN	10/10/2014
BAHA MASOUD	10/29/2014
RECEIVING PARTY DATA	
Name:	NETIQ CORPORATION
Street Address:	1800 SOUTH NOVELL PLACE
City:	PROVO
State/Country:	UTAH
Postal Code:	84606
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14512772
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	612-373-6900
Email:	uspto@slwip.com
Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER/NOVELL
Address Line 1:	PO BOX 2938
Address Line 4:	MINNEAPOLIS, MINNESOTA 55402
ATTORNEY DOCKET NUMBER:	4166.017US1
NAME OF SUBMITTER:	KAREN MOON
SIGNATURE:	/Karen Moon/
DATE SIGNED:	11/07/2014
Total Attachments: 13	
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RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 4166.017US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lloyd Leon Burch, Atul Mahajan, Stuart Jensen, Baha Masoud

Additional name(s) of conveying party(ies) attached?

[] Yes [X] No

3. Nature of conveyance:

[X] Assignment [] Merger
[] Security Agreement [] Change of Name
[] Other

Execution Date: October 10, 2014, October 10, 2014,
October 10, 2014, October 29, 2014

2. Name and address of receiving party(ies):

Name: NetIQ Corporation

Street Address: 1800 South Novell Place
PRV-H-433

City: Provo State: UT Zip: 84606

Country: United States of America

Additional name(s) & address(es) attached? [] Yes [X] No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 14/512,772

B. Patent No.(s)

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph P. Mehrle

Address:

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

[] Enclosed

[] Authorized to be charged to deposit account 19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

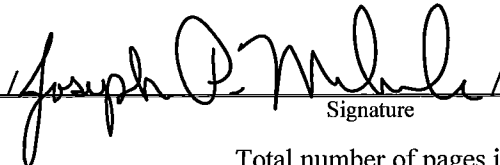
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph P. Mehrle/Reg. No. 45,535

Name of Person Signing



Signature

November 6, 2014

Date

Total number of pages including cover sheet: 13

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
REEL: 034126 FRAME: 0859

ASSIGNMENT

WHEREAS, Lloyd Leon Burch, Atul Mahajan, Stuart Jensen and Baha Masoud (hereinafter the "Undersigned") have made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a patent application filed on October 13, 2014, which application was assigned US patent application serial number 14/512,772, and which is titled LATE BINDING AUTHENTICATION.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to NetIQ Corporation (the "Assignee"), a corporation of the State of Delaware, having a place of business at 1800 South Novell Place, PRV-H-433, Provo, UT 84606, the Undersigned's entire right, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications;

such application or applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such Invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 45838 may (directly or through his/her designee) delete, insert, or alter any information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

Assignment

Assignors: Lloyd Leon Burch et al.
Title: LATE BINDING AUTHENTICATION
Page 2 of 6

Docket No: 4166.017US1
Client Ref. No. NIQ-0053

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as close as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

Assignor:

(Signature):

Lloyd Leon Burch

Name: Lloyd Leon Burch

City/State: Payson, UT

Date: October 10, 2014

STATE OF Utah)

)ss

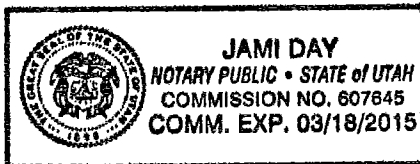
COUNTY OF Utah)

On this 10th day of October, 2014 before me personally appeared Lloyd Leon Burch, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/~~she~~ duly acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.

[SEAL]

Jami Day

Notary Public



Assignor:

(Signature):

Atul Mahajan

Name: Atul Mahajan

City/State: Lehi, UT

Date: 10/10/14

STATE OF UTAH)

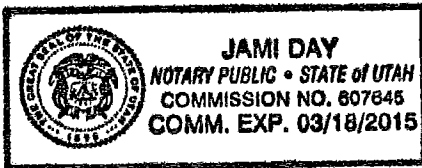
)ss

COUNTY OF UTAH)

On this 10th day of October, 2014 before me personally appeared Atul Mahajan, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/~~she~~ duly acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.

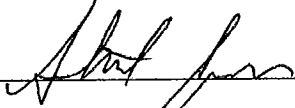
[SEAL]

Jami Day
Notary Public



Assignor:

(Signature):



Name: Stuart Jensen

City/State: Orem, UT

Date:

October 10, 2014

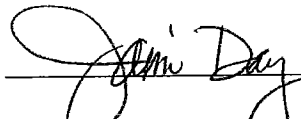
STATE OF Utah)

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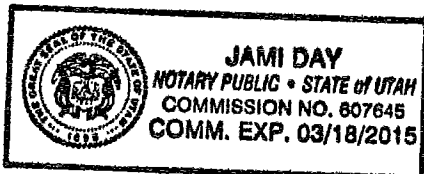
COUNTY OF Utah)

On this 10th day of October, 20 14 before me personally appeared Stuart Jensen, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/~~she~~ duly acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.

[SEAL]



Notary Public



Assignor:

(Signature):

Name: Baha Masoud

City/State: Orem, UT

Date: _____

STATE OF _____)

)ss

COUNTY OF _____)

On this _____ day of _____, 20____ before me personally appeared Baha Masoud, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

ASSIGNMENT

WHEREAS, Lloyd Leon Burch, Atul Mahajan, Stuart Jensen and Baha Masoud (hereinafter the "Undersigned") have made one or more inventions and other subject matter (hereinafter collectively referred to as the "Invention") which are described in a patent application filed on October 13, 2014, which application was assigned US patent application serial number 14/512,772, and which is titled LATE BINDING AUTHENTICATION.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency, and adequacy of which are hereby acknowledged by the Undersigned, the Undersigned do hereby irrevocably and unconditionally:

CONVEY, ASSIGN, AND TRANSFER to NetIQ Corporation (the "Assignee"), a corporation of the State of Delaware, having a place of business at 1800 South Novell Place, PRV-H-433, Provo, UT 84606, the Undersigned's entire right, title, and interest for the United States and all foreign countries and jurisdictions in and to:

the Invention which is disclosed in the above-identified application or applications;
such application or applications, and all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions based in whole or in part on any of such Invention (including any application for a utility model or an innovation patent application);

all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of such Invention;

including the right to claim priority to the above-identified patent application or applications in relation to subject matter based in whole or in part on the above-identified patent application or applications and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty;

and including the right to all causes of action, remedies, and other enforcement rights related to the above-identified application or applications, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to the above-identified patent application or applications and any of the foregoing, including the right to obtain and collect damages for past, present, or future infringement;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such Invention to the Assignee;

AUTHORIZE AND REQUEST that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 45838 may (directly or through his/her designee) delete, insert, or alter any information related to the above-identified patent application or applications or any of the foregoing, after execution of this Assignment;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or shall be made to others by the Undersigned, and that the full right to convey the same as herein expressed is possessed by the Undersigned;

COVENANT, that when requested and without compensation, but at the expense of the Assignee, in order to carry out in good faith the intent and purpose of this Assignment, the Undersigned shall (1) execute all provisional, non-provisional, divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other patent applications for the Invention; (2) execute all rightful oaths, declarations, assignments, powers of attorney and other papers for the Invention; (3) communicate to the Assignee all facts known to the Undersigned relating to the Invention and the history thereof; (4) cooperate with the Assignee in any interference, reexamination, reissue, opposition, dispute, or litigation involving any of the applications or patents for the Invention; and (5) take such further actions as the Assignee shall reasonably consider necessary or desirable for vesting title to such Invention in the Assignee, or for securing, maintaining and enforcing proper patent protection for the Invention;

COVENANT, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions. To the extent that any such provision is found to be unenforceable, the Undersigned, when requested and without compensation shall act in good faith to substitute for such provision a new provision with content and purpose as close as possible to the provision deemed unenforceable.

THIS AGREEMENT IS TO BE BINDING on the heirs, assigns, representatives, and successors of the Undersigned, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee.

AGREED as of the date of my signature below:

Assignor:

(Signature):

Name: Lloyd Leon Burch

City/State: Payson, UT

Date: _____

STATE OF _____)

)ss

COUNTY OF _____)

On this _____ day of _____, 20____ before me personally appeared Lloyd Leon Burch, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

Assignor:

(Signature):

Name: Atul Mahajan

City/State: Lehi, UT

Date: _____

STATE OF _____)

)ss

COUNTY OF _____)

On this _____ day of _____, 20____ before me personally appeared Atul Mahajan, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

Assignor:

(Signature):

Name: Stuart Jensen

City/State: Orem, UT

Date: _____

STATE OF _____)

)ss

COUNTY OF _____)

On this _____ day of _____, 20____ before me personally appeared Stuart Jensen, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

Assignor:

(Signature):

Baha Masoud

Name: Baha Masoud

City/State: Orem, UT

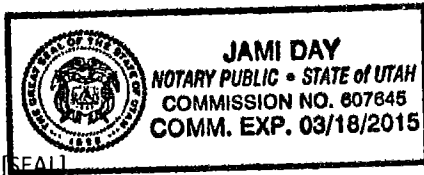
Date: October 29, 2014

STATE OF Utah)

)ss

COUNTY OF Utah)

On this 29th day of October, 2014 before me personally appeared Baha Masoud, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/~~she~~ duly acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.



Jami Day
Notary Public