

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3100647

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
EGBERT HERTING	07/24/2007
WOLFGANG GOPEL	07/25/2007
PAOLO CHIESI	07/25/2007
RECEIVING PARTY DATA	
Name:	CHIESI PHARMACEUTICALS S.P.A.
Street Address:	VIA PALERMO, 26/A
City:	PARMA
State/Country:	ITALY
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13252532
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	212-708-3467
Email:	ctischler@ladas.com
Correspondent Name:	LADAS & PARRY LLP
Address Line 1:	1040 AVENUE OF THE AMERICAS
Address Line 4:	NEW YORK, NEW YORK 10018
ATTORNEY DOCKET NUMBER:	U 108491-9
NAME OF SUBMITTER:	CRAIG TISCHLER
SIGNATURE:	/Craig Tischler/
DATE SIGNED:	11/10/2014
Total Attachments: 6	
source=018491-9assignment#page1.tif	
source=018491-9assignment#page2.tif	
source=018491-9assignment#page3.tif	
source=018491-9assignment#page4.tif	
source=018491-9assignment#page5.tif	
source=018491-9assignment#page6.tif	

PATENT

PATENT

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

ASSIGNORS (Inventors):

Germany
Nationality

German
Nationality

Italian
Nationality

Nationality

Nationality

PATENT
REEL: 034133 FRAME: 0712

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

CHIESI FARMACEUTICI S.p.A.
(type or print name of ASSIGNEE)

VIA PALERMO, 26/A
Address

ITALIAN
Nationality

PARMA, ITALY

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) right, title and interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:
A METHOD OF ADMINISTRATION OF A PULMONARY SURFACTANT

Name of inventor(s) Egbert HERTING; Wolfgang GOPEL and Paolo CHIESI

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
(b) ☐ Not yet filed U.S. patent application executed on _____
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for
the above-entitled invention
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my
attorney to insert below the filing date and application number when
they become known.
(d) ☒ U.S. patent application no. 11/811,351
filed on June 8, 2007
(e) ☐ International application no. PCT/_____/_____
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding
patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Egbert HERTING
(type name of Assignor)

(x) [Signature]
Signature of ASSIGNOR

this (x) 24.07.2007
date of signing

Wolfgang GOPEL
(type name of Assignor)

(x) [Signature]
Signature of ASSIGNOR

this (x) 25.07.2007
date of signing

Paolo CHIESI
(type name of Assignor)

(x) [Signature]
Signature of ASSIGNOR

this (x) 25.07.2007
date of signing

(type name of Assignor)

Signature of ASSIGNOR

this _____
date of signing

(type name of Assignor)

Signature of ASSIGNOR

this _____
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

CHIESI FARMACEUTICI S.p.A.

(type or print name of ASSIGNEE)

VIA PALERMO, 26/A

Address

ITALIAN

Nationality

PARMA, ITALY

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) right, title and interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:
A METHOD OF ADMINISTRATION OF A PULMONARY SURFACTANT

Name of inventor(s) Egbert HERTING, Wolfgang GOPEL and Paolo CHIESI

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
(b) ☐ Not yet filed U.S. patent application executed on _____
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for
the above-entitled invention
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my
attorney to insert below the filing date and application number when
they become known.
(d) ☒ U.S. patent application no. 11/811,351
filed on June 8, 2007
(e) ☐ International application no. PCT/_____/_____
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding
patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Egbert HERTING

(type name of Assignor)

(x) [Signature]
Signature of ASSIGNOR

this (x) 24.07.2007
date of signing

Wolfgang GOPEL

(type name of Assignor)

(x) [Signature]
Signature of ASSIGNOR

this (x) 25.07.2007
date of signing

Paolo CHIESI

(type name of Assignor)

(x) [Signature]
Signature of ASSIGNOR

this (x) 25.07.2007
date of signing

(type name of Assignor)

Signature of ASSIGNOR

this _____
date of signing

(type name of Assignor)

Signature of ASSIGNOR

this _____
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.