

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3105525

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HORST LINDHOFER	10/17/2014
MICHAEL STROEHLEIN	10/16/2014
MARKUS HEISS	10/16/2014
RECEIVING PARTY DATA	
Name:	TRION PHARMA GMBH
Street Address:	FRANKFURTER RING 193A
City:	MUENCHEN
State/Country:	GERMANY
Postal Code:	D-80807
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14349898
CORRESPONDENCE DATA	
Fax Number:	(919)419-0383
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	1406/784 PCT/US
NAME OF SUBMITTER:	BELINDA PERKINS
SIGNATURE:	/bperkins/
DATE SIGNED:	11/12/2014
Total Attachments: 4	
source=1406-784_PCT-US_Assignmentasfiled-inventorstoTrion#page1.tif	
source=1406-784_PCT-US_Assignmentasfiled-inventorstoTrion#page2.tif	
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source=1406-784_PCT-US_Assignmentasfiled-inventorstoTrion#page4.tif	

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

**HORST LINDHOFFER
MICHAEL STROEHLEIN
MARKUS HEISS**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Trion Pharma GmbH

Internal Address: _____

Street Address: Frankfurter Ring 193A

City: Muenchen

State: _____

Country: Germany Zip: D-80807

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): 10/17/2014; 10/16/2014; and
10/16/2014

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s) 14/349,898

☐ This document is being filed together with a new application.

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Christopher P. Perkins, Ph.D.

Jenkins, Wilson, Taylor & Hunt, P.A.

Internal Address: _____

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City: Durham

State: NC Zip: 27707

Phone Number: 919-493-8000

Fax Number: 919-419-0383

Email Address: cperkins@jwth.com

6. Total number of applications and patents

involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-0426

Authorized User Name Jenkins, Wilson, Taylor & Hunt, P.A.

Attorney Docket No.: 1406/784 PCT/US

9. Signature: /christopherpperkins/

Signature

November 12, 2014

Date

Total number of pages including cover sheet, attachments, and documents:

4

Christopher P. Perkins
Name of Person Signing

PATENTAttorney Docket No.: 1406/784 PCT/USASSIGNMENT

WHEREAS, we, Horst Lindhofer of Muenchen, Germany; Michael Stroehlein of Köln, Germany; and Markus Heiss of Köln, Germany (hereinafter "Assignors"), have invented certain new and useful improvements in REMOVAL OF TUMOR CELLS FROM INTRAOPERATIVE AUTOLOGOUS BLOOD SALVAGE (hereinafter "Invention") for which application for a U.S. Patent was made on April 4, 2014 as Serial No. 14/349,898 (hereinafter "Application"), and claims priority to International Application No. PCT/EP2012/069584 filed October 4, 2012, which claims priority to European Patent Application No. 11183851.2 filed October 4, 2011.

AND, WHEREAS, Trion Pharma GmbH, a corporation duly organized and existing under the laws of Germany, having its principal office and place of business at Frankfurter Ring 193A, Muenchen D-80807, Germany (hereinafter "Assignee"), is desirous of acquiring an interest therein.

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors have sold and by these presents do hereby sell, assign, transfer and convey unto the Assignee, its successors and assigns, their entire right, title and interest in and to the Invention and Application, and in and to any and all foreign patent applications filed on the Invention, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with their right to claim the priority of the Application in all countries in accordance with the Paris Convention, the Patent Cooperation Treaty, or other agreement or national law under which priority can be claimed, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made.

PATENT

REEL: 034158 FRAME: 0318

Assignors hereby request that said Letters Patent be issued in accordance with this Assignment.

Assignors further covenant and agree that, at the time of the execution and delivery of these presents, Assignors possess full title to the invention and application above-mentioned, and that they have the unencumbered right and authority to make this Assignment.

Assignors further covenant and agree, and likewise bind their heirs, legal representatives and assigns, to promptly communicate to said Assignee or its representatives any facts known to them relating to the Invention, to testify in any interference or legal proceedings involving the Application or Invention, to execute any additional papers which may be requested to confirm the right of the Assignee, its representatives, successors and assigns to secure patent or similar protection for the Invention in all countries and to vest in the Assignee complete title to the Invention and Letters Patent, without further compensation, but at the expense of said Assignee, its successors, assigns and other legal representatives.

Executed this 17 day of October 2014.


Horst Linchofer

Witnessed by:

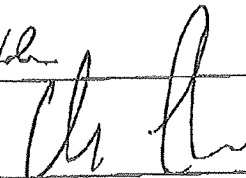

Name

17.10.14
Date


Name


17.10.14
Date

Executed this 16 day of October 2014.



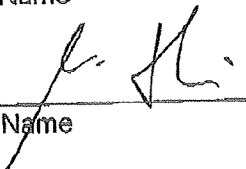
Michael Stroehlein

Witnessed by:



Name Date

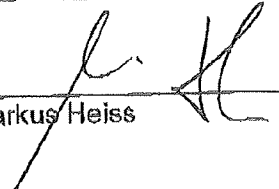
16.10.2014



Name Date

16.10.2014

Executed this 16th day of October 2014.



Markus Heiss

Witnessed by:



Name Date

16.10.2014



Name Date

16.10.2014
