

## PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT3106822

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JUAN FUEYO-MARGARETO	10/24/2014
CANDELARIA GOMEZ-MANZANO	10/24/2014
W.K. ALFRED YUNG	10/27/2014
HONG JIANG	10/24/2014
VICTOR KRASNYKH	10/24/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM
<b>Street Address:</b>	201 W 7TH STREET
<b>City:</b>	AUSTIN
<b>State/Country:</b>	TEXAS
<b>Postal Code:</b>	78701
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14375842
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(512)334-2999
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	512-334-2900
<b>Email:</b>	mburke@phiplaw.com
<b>Correspondent Name:</b>	STEVEN L. HIGHLANDER - PARKERHIGHLANDER
<b>Address Line 1:</b>	1120 SO. CAPITAL OF TEXAS HWY
<b>Address Line 2:</b>	BLDG ONE, SUITE 200
<b>Address Line 4:</b>	AUSTIN, TEXAS 78746
<b>ATTORNEY DOCKET NUMBER:</b>	DNAT.P0006US
<b>NAME OF SUBMITTER:</b>	STEVEN L. HIGHLANDER
<b>SIGNATURE:</b>	/Steven L. Highlander/
<b>DATE SIGNED:</b>	11/13/2014
<b>Total Attachments: 5</b>	
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source=DNAT.P0006US - Assignment\_MDA inventors signed (00193259)#page5.tif

**ASSIGNMENT**

We, Juan FUEYO-MARGARETO, of Houston, TX, Candelaria GOMEZ-MANZANO, of Houston, TX, W.K. Alfred YUNG, of Houston, TX, Victor KRASNYKH, of Missouri City, TX, and Hong JIANG, of Houston, TX, have invented **ADENOVIRUSES EXPRESSING HETEROLOGOUS TUMOR-ASSOCIATED ANTIGENS**. This is an Assignment of the following rights, title and interest (check all that apply):

- ☒ United States of America rights, title and interest in the invention
- ☒ Foreign rights, title and interest in the invention
- ☐ An application to the Commissioner for Patents of the United States of America filed herewith
- ☐ United States Provisional Patent Application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_
- ☒ United States Patent Application Serial No. 14/375,842 filed on July 31, 2014
- ☐ United States Patent No(s). \_\_\_\_\_
- ☐ International (PCT) Patent Application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_
- ☐ Other (specify): \_\_\_\_\_

We were employed by The University of Texas M. D. Anderson Cancer, a State Institution of Higher Education established under the laws of the State of Texas within The University of Texas System, at the time the invention was made. The conditions under which said invention was made are such that the Board of Regents, The University of Texas System (hereinafter SYSTEM), having a place of business at 201 West 7<sup>th</sup> St., Austin, TX 78701, owns the entire right, title, and interest in the invention, in the United States and all other countries throughout the world.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, the undersigned, have voluntarily sold, assigned, and transferred and do voluntarily sell, assign, and transfer to SYSTEM, and successors and assigns, our full and exclusive right, title and interest in and to the invention(s) disclosed in said application throughout the world, including the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in said application. This includes an assignment of all Letters Patent that may be granted on the invention in the United States of America and all countries throughout the world, and any divisional, renewal, continuation in whole or in part, substitution, conversion, reexamination, reissue, prolongation or extension thereof; and the right to claim priority from the patent application as provided for by United States law, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, or other applicable law.

We have authorized SYSTEM to file and prosecute this patent application, as well as any corresponding international or national applications that claim priority from it. SYSTEM has the right to select attorneys or agents of its choice to prosecute at its discretion these applications on its behalf. We authorize and request the issuance of said Letters Patent to SYSTEM, as assignee of the entire right, title, and interest to be held as fully and entirely as the same would have been held by us had this assignment not been made.

We warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied that will impair, diminish, limit, or abridge the interest

herein conveyed at the time of the execution of the present assignment. We shall not communicate nor cooperate in any manner with any third party, other than SYSTEM, that claims any rights in and to said invention(s) and said application.

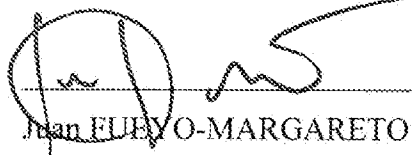
We also hereby assign all rights, title and interest to any improvements related to said invention(s) to SYSTEM and agree to promptly and fully communicate to SYSTEM any facts and information known to us respecting any improvements related to said invention(s) and said application while any patent application anywhere in the world is still pending that claims priority, whether directly or indirectly, from said invention(s) or said application(s). We will maintain any such facts and information in confidence and will only reveal such facts and information to SYSTEM or its assigns.

We also covenant that we will communicate to SYSTEM, its representatives, assigns or agents, any facts known to us respecting said invention(s) or said application(s), and to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, provide all requested documents, and do everything reasonably possible to aid SYSTEM and its assigns to obtain and enforce proper patent protection for said invention(s) or said application(s) in the United States or any foreign country. These provisions are binding upon our heirs, legal representatives, administrators and assigns.

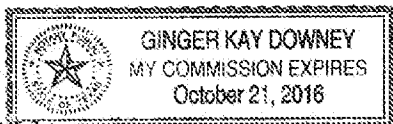
We grant SYSTEM, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

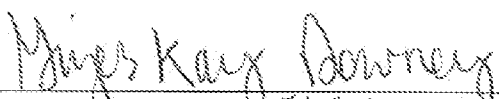
Dated: 10.24.14  
STATE OF TEXAS )  
 ) ss.  
COUNTY OF HARRIS )

  
Juan FUEYO-MARGARETO

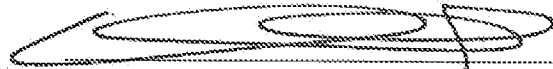
This <sup>24<sup>TH</sup></sup> day of OCTOBER 2014, before me personally came the above-named Juan FUEYO-MARGARETO, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



[SEAL]

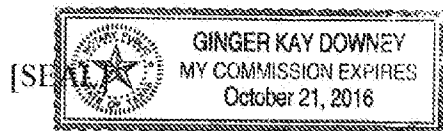
  
Notary Public for THE STATE OF TEXAS  
My commission expires: 10/21/2016

Dated: OCT 24, 2014  
STATE OF TEXAS )  
COUNTY OF HARRIS ) ss.



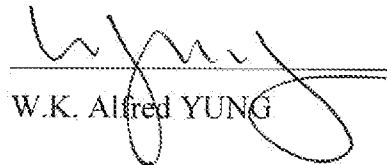
Candelaria GOMEZ-MANZANO

This 24<sup>TH</sup> day of OCTOBER 2014, before me personally came the above-named Candelaria GOMEZ-MANZANO, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



Ginger Kay Downey  
Notary Public for THE STATE OF TEXAS  
My commission expires: 10/21/2016

Dated: OCTOBER 27, 2014  
STATE OF TEXAS )  
COUNTY OF HARRIS ) ss.



W.K. Alfred YUNG

This 27<sup>TH</sup> day of OCTOBER 2014, before me personally came the above-named W.K. Alfred YUNG, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



Ginger Kay Downey  
Notary Public for THE STATE OF TEXAS  
My commission expires: 10/21/2016

Victor KRASNYKH

COUNTY OF \_\_\_\_\_

SS.

This day of \_\_\_\_\_ 20\_\_, before me personally came the above-named Victor KRASNYKH who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.

Notary Public for \_\_\_\_\_

[SEAL]

My commission expires: \_\_\_\_\_

Dated: OCTOBER 24 2014

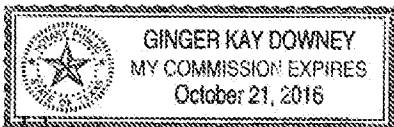
STATE OF TEXAS

Hong JIANG

COUNTY OF HARRIS

ss.

This 24<sup>th</sup> day of OCTOBER 2014 before me personally came the above-named Hong JIANG, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



~~SECRET~~

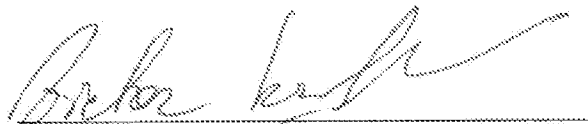
Notary Public for THE STATE OF TEXAS

My commission expires: 10/21/2016

Dated: 10/24/2014

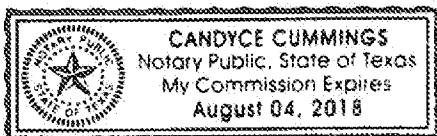
STATE OF Texas )  
 ) ss.

COUNTY OF Harris )

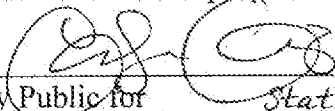


Victor KRASNYKH

This 24<sup>th</sup> day of October 2014, before me personally came the above-named Victor KRASNYKH who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



[SEAL]

  
Notary Public for State of Texas

My commission expires: 8/4/2018

Dated: \_\_\_\_\_

STATE OF \_\_\_\_\_ )  
 ) ss.

COUNTY OF \_\_\_\_\_ )

Hong JIANG

This \_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_, before me personally came the above-named Hong JIANG, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.

\_\_\_\_\_  
Notary Public for \_\_\_\_\_

[SEAL]

My commission expires: \_\_\_\_\_