## 503069558 11/20/2014

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3116161

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| WERNER GERHARD BARTON | 11/20/2014     |

### **RECEIVING PARTY DATA**

| Name:           | GE WIND ENERGY GMBH |  |
|-----------------|---------------------|--|
| Street Address: | HOLSTERFELD 16      |  |
| City:           | SALZBERGEN          |  |
| State/Country:  | GERMANY             |  |
| Postal Code:    | 48499               |  |

### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14548570 |

#### **CORRESPONDENCE DATA**

**Fax Number:** (864)233-7342

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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**Email:** docketing@dority-manning.com

Correspondent Name: DORITY & MANNING, PA AND GENERAL ELECTRI

Address Line 1: POST OFFICE BOX 1449

Address Line 4: GREENVILLE, SOUTH CAROLINA 29602

| ATTORNEY DOCKET NUMBER: | 279294-1/GEC-1015         |  |
|-------------------------|---------------------------|--|
| NAME OF SUBMITTER:      | MAEGEN W. STOKES          |  |
| SIGNATURE:              | /maegen w. stokes 67,106/ |  |
| DATE SIGNED:            | 11/20/2014                |  |

#### **Total Attachments: 3**

source=279294-1\_US\_GmbH\_True\_and\_Accurate\_Copy\_of\_Assignment\_20NOV2014\_GEC1015#page1.tif source=279294-1\_US\_GmbH\_True\_and\_Accurate\_Copy\_of\_Assignment\_20NOV2014\_GEC1015#page2.tif source=279294-1\_US\_GmbH\_True\_and\_Accurate\_Copy\_of\_Assignment\_20NOV2014\_GEC1015#page3.tif

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#### GLOBAL ASSIGNMENT

In accordance with my obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and my employer), and/or for other good and valuable consideration of which I acknowledge receipt, I,

| Inventor<br>First Name | Inventor<br>Middle<br>Name | Inventor Last<br>Name |    | Residence Town | Residence<br>State | Residence<br>Country |
|------------------------|----------------------------|-----------------------|----|----------------|--------------------|----------------------|
| Werner                 | Gerhard                    | Barton                | of | Gescher        |                    | Germany              |

hereby sell and assign to:

| ********                                | Name of Company: GE Wind Energy GmbH               |  |
|---|--|--|
| *************************************** | Entity Type (optional): Salzbergen Corporation     |  |
|   | Address: Holsterfeld 16, 48499 Salzbergen, Germany |  |

herein referred to as "Company", its successors and assigns my entire rights, titles and interests in and to the invention and improvements made or conceived by me described in the application(s) listed in the following table:

| Country of Filing | Type of Filing                 | Application Number | Filing Date | Title   |
|-------------------|--------------------------------|--------------------|-------------|---|
| USA               | Non-<br>provisional<br>utility | 14/548,570         | 11/20/2014  | SYSTEM AND<br>METHOD FOR<br>OPTIMIZING<br>WIND TURBINE<br>OPERATION |
|                   |                                |                    |             |   |
|                   |                                |                    |             |   |

(I hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, I will communicate to the Company or its representatives or nominees any facts known to me respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers

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and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor/assignor potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

| GE Docket No. 279294-1/GEC-1015  |                               |  |  |  |
|--|-------------------------------|--|--|--|
|  |                               |  |  |  |
| INVENTOR   |                               |  |  |  |
| Signature: 494 594 Werner Gerhard Barton   | Date: <u>20. Nov - 20 / 4</u> |  |  |  |
| Witnessed by: Signature  | Date: <u>20. 41. 7016</u>     |  |  |  |
| Printed Name of Witness  |                               |  |  |  |
| Witnessed by:  | Date: <u>2011-2014</u>        |  |  |  |
| Stefan Voss  |                               |  |  |  |
| Printed Name of Witness  |                               |  |  |  |
|  |                               |  |  |  |
| SECTION BELOW IS FOR USE ONLY IF SIGNING   | IN PRESENCE OF A NOTARY       |  |  |  |
|  |                               |  |  |  |
| Date:  |                               |  |  |  |
| Werner Gerhard Barton  |                               |  |  |  |
| STATE OF   |                               |  |  |  |
| COUNTY OF  |                               |  |  |  |
| This day of before me personally came the above-named <u>Werner Gerhard Barton</u> , to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth. |                               |  |  |  |
| Seal   |                               |  |  |  |
|  | (Notary Public)               |  |  |  |
|  | (Notary Public)               |  |  |  |

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