

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3118962

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
CHARLES LARGE	07/18/2013
RECEIVING PARTY DATA	
Name:	AUTIFONY THERAPEUTICS LIMITED
Street Address:	B205 IMPERIAL COLLEGE INCUBATOR
Internal Address:	LEVEL 1 BESSEMER BUILDING IMPERIAL COLLEGE
City:	LONDON
State/Country:	UNITED KINGDOM
Postal Code:	SW7 2AZ
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14403011
CORRESPONDENCE DATA	
Fax Number:	(703)816-4100
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7038164000
Email:	PTOMAIL@nixonvan.com
Correspondent Name:	NIXON & VANDERHYE PC
Address Line 1:	901 NORTH GLEBE ROAD 11TH FLOOR
Address Line 4:	ARLINGTON, VIRGINIA 22203
ATTORNEY DOCKET NUMBER:	BJ5-5483-21
NAME OF SUBMITTER:	B.J. SADOFF
SIGNATURE:	/B.J. Sadoff/
DATE SIGNED:	11/21/2014
Total Attachments: 6	
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PATENT

CONFIRMATORY ASSIGNMENT

WHEREAS I:

Charles LARGE a citizen of the United Kingdom, while a resident of Verona, Italy invented or discovered certain improvements in "**Novel Uses**" (hereinafter referred to as "**the Invention and Improvements**") for which priority application **1209013.0** was filed **22 May 2012**, priority application **1209986.7** was filed on **06 June 2012**, priority application **1222528.0** was filed on **13 December 2012**, all in the United Kingdom, and for which PCT international application **PCT/GB2013/051343** was filed on **22 May 2013** (hereinafter referred to as "**the Applications**");

WHEREAS Charles LARGE was employed at the time of making certain subject matter of the **Invention and Improvements** by **GLAXOSMITHKLINE S.P.A.** a company incorporated in Italy whose registered address is Via Alessandro Fleming 2, 37135 Verona, Italy and was contracted at the time of making the remaining subject matter of **Invention and Improvements** to **AUTIFONY SRL**, a company incorporated in Italy whose registered address is Via Belgio 12, Verona, 37135, Italy, and whereas subject matter of the **Invention and Improvements** made in the course of employment by **GLAXOSMITHKLINE S.P.A.** was made under circumstances whereby all rights in and to said subject matter were vested in **GLAXOSMITHKLINE S.P.A.** by operation of law, and whereas subject matter of the **Invention and Improvements** made while under contract to **AUTIFONY SRL** was made under circumstances whereby all rights in and to said subject matter were vested in **AUTIFONY SRL** by virtue of his contractual obligations;

WHEREAS by virtue of an agreement between **GLAXOSMITHKLINE S.P.A.** and **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED**, a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, effective at 19 August 2011 the whole right, title and interest in and to the **Invention and Improvements** and in and to the **Applications** owned by **GLAXOSMITHKLINE S.P.A.** was transferred to **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED**;

WHEREAS by virtue of an agreement between **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** and **GLAXO GROUP LIMITED**, a company incorporated in England whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, effective at 19 August 2011 the whole right, title and interest in and to the **Invention and Improvements** and in and to the **Applications** owned by **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** was transferred to **GLAXO GROUP LIMITED**;

WHEREAS by virtue of an agreement between **GLAXO GROUP LIMITED** and **AUTIFONY THERAPEUTICS LIMITED** a company incorporated in England and Wales whose registered address is B205 Imperial College Incubator, Level 1 Bessemer Building Imperial College, London, United Kingdom, SW7 2AZ, effective at 19 August 2011 the whole right, title and interest in and to the **Invention and Improvements** and in and to the **Applications** owned by **GLAXO GROUP LIMITED** was transferred to **AUTIFONY THERAPEUTICS LIMITED**;

WHEREAS by virtue of an agreement between **AUTIFONY SRL** and **AUTIFONY THERAPEUTICS LIMITED** a company incorporated in England and Wales whose registered address is B205 Imperial College Incubator, Level 1 Bessemer Building Imperial College, London, United Kingdom, SW7 2AZ, effective as of 6 December 2011 the whole right, title and interest in and to the **Invention and Improvements** and in and to the **Applications** owned by **AUTIFONY SRL** was transferred to **AUTIFONY THERAPEUTICS LIMITED**;

WHEREAS **AUTIFONY THERAPEUTICS LIMITED** is desirous of confirming its entitlements in and to the **Invention and Improvements** and in and to the **Applications**.

NOW, THEREFORE, to all whom it may concern be it known that I **Charles LARGE** hereby confirm the ownership by **GLAXOSMITHKLINE S.P.A.** of subject matter of the **Invention and Improvements** and such subject matter in the **Applications** made in the course of employment by **GLAXOSMITHKLINE S.P.A.** and I confirm the ownership by **AUTIFONY SRL** of subject matter of the **Invention and Improvements** and such subject matter in the **Applications** made while under contract to **AUTIFONY SRL**, whereby said ownership by **GLAXOSMITHKLINE S.P.A.** and said ownership by **AUTIFONY SRL**

derives from operation of law and by virtue of a specific assignment clause in the **Inventor's** contract respectively, and, if under the law of the United States of America I have any ownership right, title and interest in and to the **Invention and Improvements** and the **Applications**, (which I do not believe to be the case and claim no ownership right, title or interest in and to the **Invention and Improvements** and to the **Applications** based on the law of Italy), I nevertheless hereby assign and transfer such ownership right, title and interest in and to the particular subject matter of the **Invention and Improvements** and to the **Applications**, including the right to claim priority from the **Applications** in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension, or re-issue thereof, for subject matter of the **Invention and Improvements** and subject matter in the **Applications** made during employment by **GLAXOSMITHKLINE S.P.A.**, to **GLAXOSMITHKLINE S.P.A.** and for subject matter of the **Invention and Improvements** and subject matter in the **Applications** made while under contract to **AUTIFONY SRL**, to **AUTIFONY SRL**.

GLAXOSMITHKLINE S.P.A. hereby confirms its assignment and transfer to **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** of its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the **Invention and Improvements** and in and to the **Applications** including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof.

GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED in turn hereby confirms its assignment and transfer to **GLAXO GROUP LIMITED** of its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the **Invention and Improvements** and in and to the **Applications** including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof.

GLAXO GROUP LIMITED in turn hereby confirms its assignment and transfer to **AUTIFONY THERAPEUTICS LIMITED** of its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the **Invention and Improvements** and in and to the **Applications** including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof, and **GLAXO GROUP LIMITED** hereby authorise and request any patent arising therefrom, in all countries, including the United States of America, its territories and possessions, be issued to **AUTIFONY THERAPEUTICS LIMITED**.

AUTIFONY SRL in turn hereby confirms its assignment and transfer to **AUTIFONY THERAPEUTICS LIMITED** of its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the **Invention and Improvements** and in and to the **Applications** including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection Certificate, extension or re-issue thereof, and **AUTIFONY SRL** hereby authorise and request any patent arising therefrom, in all countries, including the United States of America, its territories and possessions, be issued to **AUTIFONY THERAPEUTICS LIMITED**.

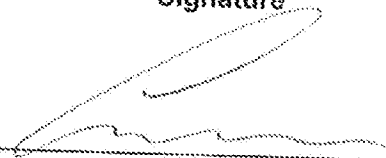
IN WITNESS whereof, **Charles LARGE** and the Attorney & Authorised Officials of **GLAXOSMITHKLINE S.P.A, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, GLAXO GROUP LIMITED, AUTIFONY SRL and AUTIFONY THERAPEUTICS LIMITED** have hereunto set their respective hands.

Inventor Name

Signature

Date

Charles LARGE:



18/7/2013

SIGNED by RACHEL M THORNLEY

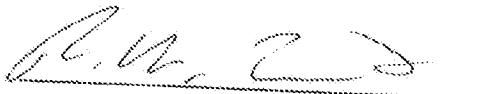
As the Attorney of **GLAXOSMITHKLINE S.P.A.**



Date: 25 July 2013

SIGNED by RACHEL M THORNLEY

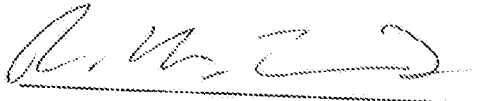
As the Attorney of **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED.**



Date: 25 July 2013

SIGNED by RACHEL M THORNLEY

As the Attorney of **GLAXO GROUP LIMITED.**



Date: 25 July 2013

SIGNED by _____

As the Attorney of **AUTIFONY SRL.**

Date: _____

SIGNED by _____

As the Attorney of **AUTIFONY THERAPEUTICS LIMITED.**

Date: _____

SIGNED by _____
As the Attorney of GLAXOSMITHKLINE S.P.A.

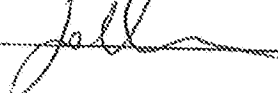
_____ Date: _____

SIGNED by _____
As the Attorney of GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED.

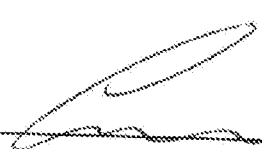
_____ Date: _____

SIGNED by _____
As the Attorney of GLAXO GROUP LIMITED.

_____ Date: _____

SIGNED by _____ 
As the Attorney of AUTIFONY SRL.

JOHN BRADSHAW Date: 30/7/2013

SIGNED by _____ 
As the Attorney of AUTIFONY THERAPEUTICS LIMITED.

CHARLES LARGE Date: 26/07/2013