

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT3108542

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the TO CORRECT DOC DATE OF CHARLES W GAINEY JR TO READ 02/02/1970 previously recorded on Reel 032463 Frame 0240. Assignor(s) hereby confirms the ASSIGNMENT.

CONVEYING PARTY DATA

Name	Execution Date
CHARLES W GAINEY JR	02/02/1970
MICHAEL K GSCHWIND	03/18/2014

RECEIVING PARTY DATA

Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION
Street Address:	NEW ORCHARD ROAD
City:	ARMONK
State/Country:	NEW YORK
Postal Code:	10504

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14217824

CORRESPONDENCE DATA

Fax Number: (845)432-9601
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 8454331175
Email: poiplaw2@us.ibm.com
Correspondent Name: WILLIAM A KINNAMAN JR
Address Line 1: 2455 SOUTH ROAD
Address Line 4: POUGHKEEPSIE, NEW YORK 12601

ATTORNEY DOCKET NUMBER:	POU920140020US1
NAME OF SUBMITTER:	WILLIAM A. KINNAMAN JR.
SIGNATURE:	/WILLIAM A. KINNAMAN JR/
DATE SIGNED:	11/14/2014

Total Attachments: 9

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 19, 2014

PTAS

HESLIN ROTHENBERG FARLEY & MESITI P.C.
5 COLUMBIA CIRCLE
ALBANY, NY 12203

502726499

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RECORDATION DATE: 03/18/2014

REEL/FRAME: 032463/0240
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: POU920140020US1-0560.1249

ASSIGNOR:

GAINEY, CHARLES W., JR.

DOC DATE: 03/05/2014

ASSIGNOR:

GSCHWIND, MICHAEL K.

DOC DATE: 03/18/2014

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
NEW ORCHARD ROAD
ARMONK, NEW YORK 10504

APPLICATION NUMBER: 14217824

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: MANAGING PROCESSING ASSOCIATED WITH SELECTED ARCHITECTURAL FACILITIES

ASSIGNMENT RECORDATION BRANCH
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PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT						
NATURE OF CONVEYANCE:	ASSIGNMENT						
CONVEYING PARTY DATA							
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>CHARLES W. GAINNEY, JR.</td> <td>03/05/2014</td> </tr> <tr> <td>MICHAEL K. GSCHWIND</td> <td>03/18/2014</td> </tr> </tbody> </table>		Name	Execution Date	CHARLES W. GAINNEY, JR.	03/05/2014	MICHAEL K. GSCHWIND	03/18/2014
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CHARLES W. GAINNEY, JR.	03/05/2014						
MICHAEL K. GSCHWIND	03/18/2014						
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<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14217824</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14217824		
Property Type	Number						
Application Number:	14217824						

CORRESPONDENCE DATA

Fax Number: (518)452-5579
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Correspondent Name: HESLIN ROTHENBERG FARLEY & MESITI P.C.
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Address Line 4: ALBANY, NEW YORK 12203

ATTORNEY DOCKET NUMBER:

POU920140020US1-0560.1249

NAME OF SUBMITTER:

BLANCHE E. SCHILLER, ESQ.

Signature:

/Blanche E. Schiller/

Date:

03/18/2014

This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 4

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RECEIPT INFORMATION

EPAS ID: PAT2773105
Receipt Date: 03/18/2014

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Jill Becker

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Doc code: Oath
 Document Description: Oath or declaration filed

PTO/AIA/02 (07-13)
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 OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	MANAGING PROCESSING ASSOCIATED WITH SELECTED ARCHITECTURAL FACILITIES		
This statement is directed to:			
<input checked="" type="checkbox"/> The attached application,			
OR			
<input type="checkbox"/> United States application or PCT international application number _____ filed on _____			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Charles W. Gainey, Jr.			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Mailing Address (except for a deceased or legally incapacitated inventor):			
City	State	Zip	Country
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input checked="" type="checkbox"/> Assignee,			
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint inventor.			

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.**

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SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased.
- Inventor is under legal incapacity.
- Inventor cannot be found or reached after diligent effort, or
- Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
- OR
- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

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PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Pryor A. Garnett, Reg. No. 32,136** 3/5/2014
Date (Optional):

Signature: 

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

International Business Machines Corporation

Applicant Name:

Title of Person Executing This Substitute Statement: **Senior Counsel**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Portland** State **OR** Country **US**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)
1385 NW Amberglen Parkway

City **Hillsboro** State **OR** Zip **97006** Country **US**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

IBM DOCKET NUMBER: POU920140020US1

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENTTitle of Invention: **MANAGING PROCESSING ASSOCIATED WITH SELECTED ARCHITECTURAL FACILITIES**

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

 United States application or PCT international application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

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IBM DOCKET NUMBER: **POU920140020US1**

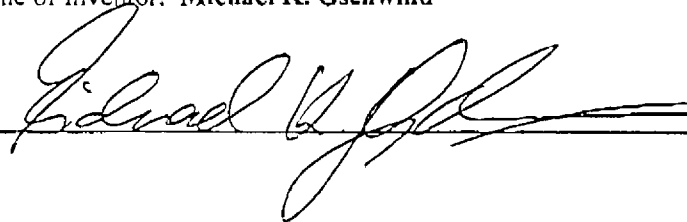
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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Charles W. Gainey, Jr.**

Signature: (See Substitute Statement in Lieu of Oath or Declaration) Date: _____

(2) Legal Name of Inventor: **Michael K. Gschwind**

Signature:  Date: 3/18/14



Employee Confidential Information and Invention Agreement

(To be signed by all employees on the first day of employment)

In consideration of my employment by IBM:

1. I will not disclose to anyone outside of IBM, or use in other than IBM's business, any confidential information or material relating to the business of IBM or its subsidiaries, either during or after my IBM employment, except with IBM's written permission. I also understand that information and materials received in confidence from third parties by IBM and its subsidiaries is included within the meaning of this paragraph.
2. I will not disclose to IBM, or induce IBM to use, any confidential information or material belonging to others.
3. I will comply, and do all things necessary for IBM to comply, with United States Government regulations, and with provisions of contracts between the agencies of the United States Government or their contractors and IBM, which relate either to patent rights or to the safeguarding of information pertaining to the defense of the United States.
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except any invention or idea which I cannot assign to IBM because of a prior invention agreement with

none which is effective until _____ (Give name and date or write "none").

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 - a. I will disclose it promptly to the local IBM Patent Operations Manager; and
 - b. I will, on his request, promptly execute a specific assignment of title to IBM, and do anything else reasonably necessary to enable IBM to secure a patent therefor in the United States and in foreign countries.
6. I represent that I have indicated on the back of this form any inventions or ideas, not covered by Paragraph 4, in which I have any right, title or interest, and which were previously conceived either wholly or in part by me, but neither published nor filed in the United States Patent Office, and identified all of these.

If you do not have any inventions or ideas to indicate, write "none" on this line: none

(It is in your interest to establish that any such inventions or ideas were made before employment by IBM. You should not disclose such inventions or ideas in detail, but only identify them by the titles and dates of documents describing them. If you wish to interest IBM in such inventions and ideas, you may submit them to IBM in accordance with the provisions outlined in IBM's Form MO5-4472.)

7. I acknowledge receipt of a copy of this agreement, and agree that with respect to the subject matter hereof, it is my entire agreement with IBM, superseding any previous oral or written communications, representations, understandings, or agreements with IBM or any official or representative thereof.

Witness: Robert J. Manny
Employee's Manager or other appropriate IBM representative

Signed: Charles W. Gaisney, Jr.
Employee's Full Name

Date: February 2, 1970

Date: February 2, 1970