

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3120365

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HEIDELBERGER DRUCKMASCHINEN AG	11/17/2014
RECEIVING PARTY DATA	
Name:	MUELLER MARTINI HOLDING AG
Street Address:	SONNENBERGSTRASSE 13
City:	HERGISWIL NW
State/Country:	SWITZERLAND
Postal Code:	CH-6052
PROPERTY NUMBERS Total: 24	
Property Type	Number
Patent Number:	8037795
Patent Number:	7448305
Patent Number:	7603934
Patent Number:	8656818
Patent Number:	8157143
Patent Number:	7637489
Patent Number:	7621708
Patent Number:	7976002
Patent Number:	7762538
Patent Number:	7918635
Patent Number:	8132995
Patent Number:	7780157
Patent Number:	7988138
Patent Number:	8382416
Patent Number:	8840351
Application Number:	12971838
Application Number:	13714709
Application Number:	13847829
Application Number:	13938775
Application Number:	14183736
Patent Number:	6832758

PATENT

Property Type	Number
Patent Number:	8123447
Patent Number:	7337937
Patent Number:	7913378

CORRESPONDENCE DATA

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Address Line 4: HOLLYWOOD, FLORIDA 33022-2480

ATTORNEY DOCKET NUMBER:	HEI-MUELLER
NAME OF SUBMITTER:	RALPH E LOCHER
SIGNATURE:	/RALPH E LOCHER/
DATE SIGNED:	11/24/2014

Total Attachments: 4
source=HEI-MUELLER-signed-Assign#page1.tif
source=HEI-MUELLER-signed-State3.73b#page1.tif
source=HEI-MUELLER-signed-State3.73b#page2.tif
source=HEI-MUELLER-signed-State3.73b#page3.tif

ASSIGNMENT

WHEREAS, HEIDELBERGER DRUCKMASCHINEN AG, a German corporation doing business at Heidelberg, Germany is the owner of the entire right, title and interest in and to the following United States Patents & Applications:


Patent/Applic. No.	Issue/Filing Date	Title	Reel/Frame
8,037,795	10/18/11	Trimmer for Trimming Brochures	016442/0368
7,448,305	11/11/08	Apparatus for Trimming Brochures	016444/0987
7,603,934 (Parent Pat. No. 7,448,305)	10/20/09	Apparatus for Trimming Brochures	016444/0987
8,656,818	02/25/14	Device for Three-Sided Trimming of Products	018105/0671
8,157,143	04/17/12	Stitching Device and Method for Setting up a Stitching....	018272/0027
7,637,489	12/29/09	Stitching Apparatus and Gathering and Stitching	018317/0221
7,621,708	11/24/09	Apparatus and Method for Pressing a Cover Onto a	019225/0604
7,976,002	07/12/11	Gatherer-Stitcher Having a Folded-Sheet Feeder	019186/0419
7,762,538	07/27/10	Gatherer-Stitcher with Variable Chain Pitch and Method..	020328/0939
7,918,635	04/05/11	Book Binding Machine	022165/0712
8,132,995	03/13/12	Book Binding Machine with a Book Block Transport.....	021415/0596
7,780,157	08/24/10	Stitching Device and Saddle Stitcher Having the	024573/0301
7,988,138	08/02/11	Gatherer Stitcher with Variable Chain Pitch	024026/0211
8,382,416	02/26/13	Gathering and Stitching Machine and Method for Operating the Gathering and Stitching Machine	024365/0828
8,840,351	09/23/14	Book Binding Machine and Method for Operating a	024365/0864
12/971,838	12/17/10	Apparatus and Method for Cutting and Punching Products	025910/0249
13/714,709	12/14/12	Method for Controlling a Feeder of a Gathering.....	029710/0763
13/647,829	03/20/13	Cutting Device	030132/0467
13/938,775	07/10/13	Apparatus for Applying Adhesive and Method for	030884/0963
14/183,736	02/19/14	Device for Delivering Print Shop Product Stream on.....	032377/0282
6,832,758	12/21/04	Mobile Feeder System	015977/0072
8,123,447	02/28/12	Method and Apparatus for Backing Printed Products	017301/0788
7,337,937	03/04/08	Stitching Apparatus	018321/0964
7,913,378	03/29/11	Wire Stitcher Having a Stitching Head for Processing ...	019157/0697

and the inventions therein described and claimed;

AND WHEREAS, MUELLER MARTINI HOLDING AG, a Swiss corporation doing business at Hergiswil, Switzerland is desirous of acquiring the entire interest in and to said inventions, and in and to said U.S. Patents & Applications;

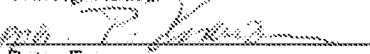
NOW, THEREFORE, be it known that pursuant to and in consideration of a contract between said two companies and other valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, HEIDELBERGER DRUCKMASCHINEN AG has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said MUELLER MARTINI HOLDING AG, its legal representatives, successors and assigns, the full and exclusive right to the said inventions as fully set forth and described in the above-mentioned Patents and Applications of the United States, and any and all reissues of said patents and applications, the same to be held and enjoyed by the said MUELLER MARTINI HOLDING AG, its legal representatives, successors and assigns, to the full end of the term for which said patents and applications have been granted or may be reissued or extended, as fully and entirely as the same would have been held by said MUELLER MARTINI HOLDING AG, had this assignment and sale not been made.

for: HEIDELBERGER DRUCKMASCHINEN AG


Christof Haust

Authorized Representative

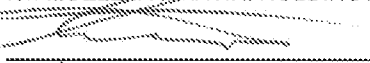
17.11.2014
Date


Peter Franzen

Authorized Representative

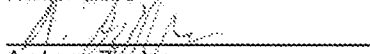
17.11.2014
Date

for: MUELLER MARTINI HOLDING AG


Rainer Liebe

Authorized Representative

21.11.2014


Andreas Ziegler

Vice President

21.11.2014

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: HEIDELBERGER DRUCKMASCHINEN AGApplication No./Patent No.: SEE LIST ATTACHED Filed/Issue Date: SEE LIST ATTACHEDEntitled: SEE LIST ATTACHEDHEIDELBERGER DRUCKMASCHINEN AG

(Name of Assignee)

a CORPORATION

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel SEE LIST, Frame SEE LIST, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1. Peter Franzen 2. Christof Haust
Signature

12-11-2014
Date

1. Peter Franzen 2. Christof Haust
Printed or Typed Name

Telephone Number

Prokurist Authorized Officer
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

List for Statement 3.73(b) – Heidelberger Druckmaschinen AG to Mueller Martini Holding AG

Patent/Applic. No.	Issue/Filing Date	Title	Reel/Frame
8,037,795	10/18/11	Trimmer for Trimming Brochures	016442/0368
7,448,305	11/11/08	Apparatus for Trimming Brochures	016444/0987
7,603,934 (Parent Pat. No. 7,448,305)	10/20/09	Apparatus for Trimming Brochures	016444/0987
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8,382,416	02/26/13	Gathering and Stitching Machine and Method for Operating the Gathering and Stitching Machine	024365/0828
8,840,351	09/23/14	Book Binding Machine and Method for Operating a	024365/0864
12/971,838	12/17/10	Apparatus and Method for Cutting and Punching Products	025910/0249
13/714,709	12/14/12	Method for Controlling a Feeder of a Gathering.....	029710/0763
13/847,829	03/20/13	Cutting Device	030132/0467
13/938,775	07/10/13	Apparatus for Applying Adhesive and Method for	030884/0963
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7,913,378	03/29/11	Wire Stitcher Having a Stitching Head for Processing ...	019157/0697

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.