

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	VIVALDI BIOSCIENCES INC.	11/13/2014
RECEIVING PARTY DATA		
Name:	ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	
Street Address:	ONE GUSTAVE L. LEVY PLACE	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10029	
PROPERTY NUMBERS Total: 3		
Property Type	Number	
Patent Number:	6468544	
Patent Number:	6866853	
Application Number:	12480410	
CORRESPONDENCE DATA		
Fax Number:	(212)348-3116	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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Correspondent Name:	MOUNT SINAI INNOVATION PARTNERS	
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ATTORNEY DOCKET NUMBER:	980601 & 990201	
NAME OF SUBMITTER:	SYBIL A. LOMBILLO	
SIGNATURE:	/Sybil A. Lombillo/	
DATE SIGNED:	12/01/2014	
Total Attachments: 2		
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ASSIGNMENT

For valuable consideration, **VIVALDI BIOSCIENCES INC.**, a Delaware corporation with a principal address of Research Innovation Center 3185-A Rampart Road Fort Collins, Colorado 80523 ("Vivaldi") and (hereinafter referred to as the "ASSIGNOR"), hereby assigns to: **ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI**, a not-for-profit New York education corporation with a principal address of One Gustave L. Levy Place New York, NY 10029 ("ISMMS") and (hereinafter referred to as the "ASSIGNEE"):

(i) as of the date of the last signature hereto, the patent rights Vivaldi purchased from Baxter Healthcare Corporation ("Baxter"). Specifically, Vivaldi hereby assigns to ISMMS Vivaldi's ownership interest acquired from Baxter in and to all patent rights represented by or issuing from:

U.S. Patent Nos. 6,866,853; 6,468,544 and U.S. Patent Application No. 12/480,410;

(ii) all patent applications filed in any jurisdiction corresponding to or claiming priority from the patents and/or patent applications referred to in the foregoing clause (i) or from the patent applications from which the foregoing items in clause (i) claim priority;

(iii) all divisional patent applications, continuations and continuations-in-part of the patent applications referred to in the foregoing clauses (i) and (ii);

(iv) all patents issuing from the patent applications referred to in the foregoing clauses (i), (ii) and (iii);

(v) all reissues, re-examination certificates, registrations, confirmations, extensions, substitutions, renewals and supplementary protection certificates of the patent and/or patent applications referred to in the foregoing clauses (i), (ii), (iii) and (iv);

and (vi) all foreign counterparts of the patents and patent applications referred to in the foregoing clauses (i), (ii), (iii), (iv) and (v) (collectively, the "Assigned Rights.") Additionally, Vivaldi hereby assigns to ISMMS, Vivaldi's ownership interest acquired from Baxter in the written documentation or electronic records relating to the foregoing:

IN WITNESS WHEREOF, the parties hereto have caused this Third Amendment to License Agreement to be duly executed by their duly authorized representatives.

VIVALDI BIOSCIENCES INC.

By: [Signature]

Name: Lawrence O. Uher, Jr.

Title: CEO

ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI

By: Erik Liem

Name: Erik Liem

Title: Vice President & Executive Director

Nov 13, 2014