503084502 12/03/2014

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
YU-CHONG TAI	09/09/2013
BO LU	09/09/2013

RECEIVING PARTY DATA

Name:	CALIFORNIA INSTITUTE OF TECHNOLOGY	
Street Address:	1200 EAST CALIFORNIA BLVD.	
City:	PASADENA	
State/Country:	CALIFORNIA	
Postal Code:	91125	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14020313

CORRESPONDENCE DATA

Fax Number: (415)576-0300

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 4155760200

Email: SAMDEMICHAEL@KILPATRICKTOWNSEND.COM
Correspondent Name: KILPATRICK TOWNSEND AND STOCKTON LLP

Address Line 1: 2 EMBARCADERO CENTER, 8TH FLOOR Address Line 4: 2 EMBARCADERO CENTER, 8TH FLOOR SAN FRANCISCO, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER:	86544-884164-011520US	
NAME OF SUBMITTER:	SAMRA AMDEMICHAEL	
SIGNATURE:	/Samra Amdemichael/	
DATE SIGNED:	12/03/2014	

Total Attachments: 2 source=Caltech#page1.tif source=Caltech#page2.tif

PATENT 503084502 REEL: 034361 FRAME: 0845

CIT Docket, No.: 5367 USC Tech ID: 09-032

ASSIGNMENT

(Patent Application)

We [I], the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

"METHOD FOR CANCER DETECTION, DIAGNOSIS AND PROGNOSIS,"

filed with the U.S. Patent & Trademark Office on May 20, 2010

and assigned serial no. 12/784,446.

For one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we [1] acknowledge, we [1]:

- Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to California Institute of Technology, having a principal place of business at 1200 East California Blvd., Pasadena, CA 91125 ("Assignee"), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of

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PATENT REEL: 034361 FRAME: 0846 this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
- 2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
- 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
- 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us [me], as well as our [my] heirs, legal representatives, and assigns.
- 5. Promise and affirm that we [I] have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.
- 6. Authorize Kilpatrick Townsend & Stockton to insert above the application number and filing date of the above-described patent application when known.

Signed on the dates indicated beside our [my] signatures [signature].

Signature:

Yu-Chong Tai

Signature:

Bo Lu

Date: 9/9/2015

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PATENT

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