

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3131485

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
CELAL ATES	06/20/2011
ARNAUD SCHULE	06/08/2011
MAGALI PALACIO	06/20/2011
PAUL DEUTSCH	06/20/2011
MARIA LUISA ESCUDERO HERNANDEZ	06/20/2011
VERONIQUE PINILLA	06/20/2011
JEAN-PIERRE DELATINNE	06/20/2011
RECEIVING PARTY DATA	
Name:	UCB PHARMA GMBH
Street Address:	10 ALFRED-NOBEL-STRASSE
City:	MONHEIM
State/Country:	GERMANY
Postal Code:	D-40789
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13806378
CORRESPONDENCE DATA	
Fax Number:	(312)913-0002
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	312-913-0001
Email:	docketing@mbhb.com
Correspondent Name:	MCDONNELL BOEHNNEN HULBERT & BERGHOFF LLP
Address Line 1:	300 SOUTH WACKER DRIVE
Address Line 2:	SUITE 3100
Address Line 4:	CHICAGO, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	12-1263-WO-US
NAME OF SUBMITTER:	MICHAEL S. GREENFIELD
SIGNATURE:	/Michael S. Greenfield/
DATE SIGNED:	12/03/2014

PATENT

Total Attachments: 28

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CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND UNDERTAKING AGREEMENT

THIS AGREEMENT is made the 20th day of June 2011 between

- (1) Célal ATEs
20, avenue Maurice Maeterlinck
B-1348 Louvain-La-Neuve
Belgium

("the Inventor");
- (2) UCB PHARMA GmbH
10, Alfred-Nobel-Strasse
D-40789 Monheim
Germany

("UCB GMBH")
- (3) UCB Pharma S.A.
60 Allée de la Recherche
1070 Brussels
Belgium

("UCB SA")

WHEREAS

(A) The Inventor has been determined as being one of the joint inventors of the invention or inventions entitled "NOVEL PROCESS FOR THE PREPARATION OF NITROGEN SUBSTITUTED AMINOTETRALINS DERIVATIVES" and identified by the reference number D0112 ("the Invention");

(B) At the time the Invention was made, the Inventor was employed by UCB SA;

(C) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of UCB GMBH with the patent offices shown:

Patent Office	Filing Date	Application Number
European Patent Office	25 June 2010	10006621.6

(D) UCB GMBH and UCB SA **hereby agree** that UCB GMBH shall have the exclusive rights to the inventions and all patent applications and resulting patents pertaining to said inventions, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for said inventions, and

(E) It is the wish and intention of all the parties hereto that:

- (i) the Application shall continue in the name of UCB GMBH;
- (ii) any patent application or like application filed in any country or territory and being directed to the Invention or any improvement thereof (whether or not

claiming priority under the International Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, regional applications and international applications and like corresponding applications.) ("Corresponding Application(s)") shall be in the name of and shall vest in UCB GMBH; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in UCB GMBH;

NOW THIS AGREEMENT WITNESSETH:

1. THE INVENTOR ACKNOWLEDGES that he made the Invention as part of his normal duties as UCB SA's employee and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his duties;

2. UCB SA ACKNOWLEDGES that by virtue of this agreement, UCB GMBH has the exclusive rights to:

- (i) the invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in UCB GMBH;
- (iv) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto UCB GMBH absolutely;

3. Insofar as the rights in the Invention, any improvement thereof and the Application are still vested in the Inventor, THE INVENTOR HEREBY ASSIGNS to UCB GMBH:

- (i) the invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any

7. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.

8. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement;

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

Signed by **Célaï ATES**

Signature :

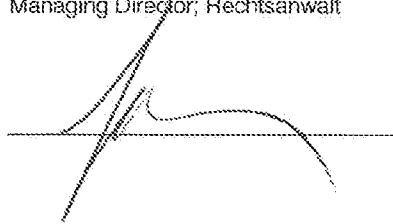


Signed for and on behalf of **UCB GMBH**

By: Name : Dr. Eric SCHEMANN

Title : Managing Director; Rechtsanwalt

Signature :

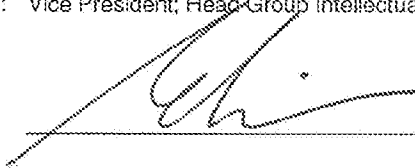


Signed for and on behalf of **UCB SA**

By: Name : Allen NORRIS

Title : Vice President; Head Group Intellectual Property

Signature :



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TO HOLD unto UCB GMBH absolutely;

4. UCB GMBH HEREBY ACCEPTS all that is transferred to it under this Agreement;

5. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY UNDERTAKES to do or have done as and when required by UCB GMBH or its nominee as UCB GMBH shall direct and at the expense of UCB GMBH any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto;

6. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY AUTHORISES UCB GMBH or its nominee and the agents of any of them as UCB GMBH shall direct to insert herebelow at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	_____
	and/or PCT Application No :	_____
	Filing Date :	_____

CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND UNDERTAKING AGREEMENT

THIS AGREEMENT is made the 8th day of June 2011 between

- (1) Arnaud SCHÜLE
12/52 Avenue de Menden
B-1420 Braine-l'Alleud
Belgium ("the Inventor");
- (2) UCB PHARMA GmbH ("UCB GMBH")
10, Alfred-Nobel-Strasse
D-40789 Monheim
Germany
- (3) UCB Pharma S.A. ("UCB SA")
60 Allée de la Recherche
1070 Brussels
Belgium

WHEREAS

(A) The Inventor has been determined as being one of the joint inventors of the invention or inventions entitled "NOVEL PROCESS FOR THE PREPARATION OF NITROGEN SUBSTITUTED AMINOTETRALINS DERIVATIVES" and identified by the reference number D0112 ("the Invention");

(B) At the time the Invention was made, the Inventor was employed by UCB SA;

(C) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of UCB GMBH with the patent offices shown:

Patent Office	Filing Date	Application Number
European Patent Office	25 June 2010	10006621.6

(D) UCB GMBH and UCB SA **hereby agree** that UCB GMBH shall have the exclusive rights to the inventions and all patent applications and resulting patents pertaining to said inventions, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for said inventions, and

(E) It is the wish and intention of all the parties hereto that:

- (i) the Application shall continue in the name of UCB GMBH;
- (ii) any patent application or like application filed in any country or territory and being directed to the Invention or any improvement thereof (whether or not



claiming priority under the International Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, regional applications and international applications and like corresponding applications.) ("Corresponding Application(s)") shall be in the name of and shall vest in UCB GMBH; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in UCB GMBH;

NOW THIS AGREEMENT WITNESSETH:

1. THE INVENTOR ACKNOWLEDGES that he made the Invention as part of his normal duties as UCB SA's employee and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his duties;

2. UCB SA ACKNOWLEDGES that by virtue of this agreement, UCB GMBH has the exclusive rights to:

- (i) the Invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in UCB GMBH;
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TO HOLD unto UCB GMBH absolutely;

3. Insofar as the rights in the Invention, any improvement thereof and the Application are still vested in the Inventor, THE INVENTOR HEREBY ASSIGNS to UCB GMBH:

- (i) the Invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any

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TO HOLD unto UCB GMBH absolutely;

4. UCB GMBH HEREBY ACCEPTS all that is transferred to it under this Agreement;

5. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY UNDERTAKES to do or have done as and when required by UCB GMBH or its nominee as UCB GMBH shall direct and at the expense of UCB GMBH any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto;

6. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY AUTHORISES UCB GMBH or its nominee and the agents of any of them as UCB GMBH shall direct to insert herebelow at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*) US Serial No : _____
and/or PCT Application No : _____
Filing Date : _____

7. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.

8. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement;

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

Signed by **Arnaud SCHÜLE**

Signature :

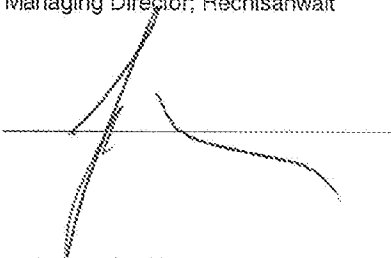


Signed for and on behalf of **UCB GMBH**

By: Name : Dr. Eric SCHEMANN

Title : Managing Director; Rechtsanwalt

Signature :

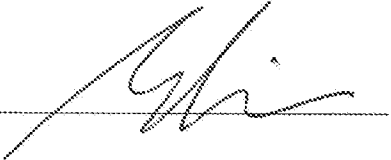


Signed for and on behalf of **UCB SA**

By: Name : Allen NORRIS

Title : Vice President; Head Group Intellectual Property

Signature :



**CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND
UNDERTAKING AGREEMENT**

THIS AGREEMENT is made the 20th day of June 2011 between

- (1) Magali PALACIO
27, rue Longue
B-1420 Braine-l'Alleud
Belgium ("the Inventor");
- (2) UCB PHARMA GmbH ("UCB GMBH")
10, Alfred-Nobel-Strasse
D-40789 Monheim
Germany
- (3) UCB Pharma S.A. ("UCB SA")
60 Allée de la Recherche
1070 Brussels
Belgium

WHEREAS

(A) The Inventor has been determined as being one of the joint inventors of the invention or inventions entitled "NOVEL PROCESS FOR THE PREPARATION OF NITROGEN SUBSTITUTED AMINOTETRALINS DERIVATIVES" and identified by the reference number D0112 ("the Invention");

(B) At the time the Invention was made, the Inventor was employed by UCB SA;

(C) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of UCB GMBH with the patent offices shown:

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European Patent Office	25 June 2010	10006621.6

(D) UCB GMBH and UCB SA **hereby agree** that UCB GMBH shall have the exclusive rights to the inventions and all patent applications and resulting patents pertaining to said inventions, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for said inventions, and

(E) It is the wish and intention of all the parties hereto that:

- (i) the Application shall continue in the name of UCB GMBH;
- (ii) any patent application or like application filed in any country or territory and being directed to the Invention or any improvement thereof (whether or not

claiming priority under the International Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, regional applications and international applications and like corresponding applications.) ("Corresponding Application(s)") shall be in the name of and shall vest in UCB GMBH; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in UCB GMBH;

NOW THIS AGREEMENT WITNESSETH:

1. THE INVENTOR ACKNOWLEDGES that he made the invention as part of his normal duties as UCB SA's employee and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his duties;

2. UCB SA ACKNOWLEDGES that by virtue of this agreement, UCB GMBH has the exclusive rights to:

- (i) the invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in UCB GMBH;
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TO HOLD unto UCB GMBH absolutely;

3. Insofar as the rights in the invention, any improvement thereof and the Application are still vested in the inventor, THE INVENTOR HEREBY ASSIGNS to UCB GMBH:

- (i) the invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any

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TO HOLD unto UCB GMBH absolutely;

4. UCB GMBH HEREBY ACCEPTS all that is transferred to it under this Agreement;

5. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY UNDERTAKES to do or have done as and when required by UCB GMBH or its nominee as UCB GMBH shall direct and at the expense of UCB GMBH any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto;

6. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY AUTHORISES UCB GMBH or its nominee and the agents of any of them as UCB GMBH shall direct to insert herebelow at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*) US Serial No : _____
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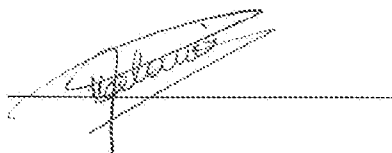
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IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

Signed by **Magali PALACIO**

Signature :

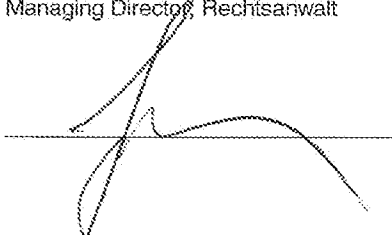


Signed for and on behalf of **UCB GMBH**

By: Name : Dr. Eric SCHEMANN

Title : Managing Director, Rechtsanwalt

Signature :

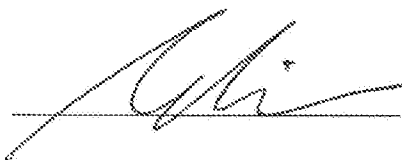


Signed for and on behalf of **UCB SA**

By: Name : Allen NORRIS

Title : Vice President, Head Group Intellectual Property

Signature :



CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND UNDERTAKING AGREEMENT

THIS AGREEMENT is made the 20th day of June 2011 between

- (1) Paul DEUTSCH
6, Chemin de la Ramée
B-1380 Lasne
Belgium ("the Inventor");
- (2) UCB PHARMA GmbH ("UCB GMBH")
10, Alfred-Nobel-Strasse
D-40789 Monheim
Germany
- (3) UCB Pharma S.A. ("UCB SA")
60 Allée de la Recherche
1070 Brussels
Belgium

WHEREAS

(A) The Inventor has been determined as being one of the joint inventors of the invention or inventions entitled "NOVEL PROCESS FOR THE PREPARATION OF NITROGEN SUBSTITUTED AMINOTETRALINS DERIVATIVES" and identified by the reference number D0112 ("the Invention");

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TO HOLD unto UCB GMBH absolutely;

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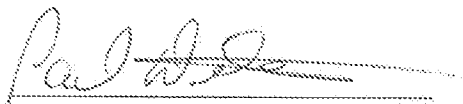
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Signed by **Paul DEUTSCH**

Signature : 

Signed for and on behalf of **UCB GMBH**

By: Name : Dr. Eric SCHEMANN

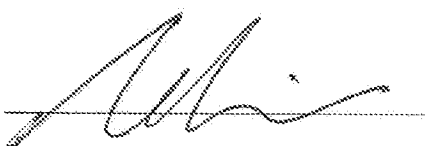
Title : Managing Director/Rechtsanwalt

Signature : 

Signed for and on behalf of **UCB SA**

By: Name : Allen NORRIS

Title : Vice President, Head Group Intellectual Property

Signature : 

CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND UNDERTAKING AGREEMENT

THIS AGREEMENT is made the 20th day of June 2011 between

- (1) Jean-Pierre DELATINNE
55A Rue Eugène Gilles
B-6210 Les Bons Villers
Belgium
("the Inventor");
- (2) UCB PHARMA GmbH
10, Alfred-Nobel-Strasse
D-40789 Monheim
Germany
("UCB GMBH")
- (3) UCB Pharma S.A.
60 Allée de la Recherche
1070 Brussels
Belgium
("UCB SA")

WHEREAS

(A) The Inventor has been determined as being one of the joint inventors of the invention or inventions entitled "NOVEL PROCESS FOR THE PREPARATION OF NITROGEN SUBSTITUTED AMINOTETRALINS DERIVATIVES" and identified by the reference number D0112 ("the Invention");

(B) At the time the Invention was made, the Inventor was employed by UCB SA;

(C) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of UCB GMBH with the patent offices shown:

Patent Office	Filing Date	Application Number
European Patent Office	25 June 2010	10006621.6

(D) UCB GMBH and UCB SA hereby agree that UCB GMBH shall have the exclusive rights to the inventions and all patent applications and resulting patents pertaining to said inventions, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for said inventions, and

(E) It is the wish and intention of all the parties hereto that:

- (i) the Application shall continue in the name of UCB GMBH;
- (ii) any patent application or like application filed in any country or territory and being directed to the Invention or any improvement thereof (whether or not

claiming priority under the International Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, regional applications and international applications and like corresponding applications.) ("Corresponding Application(s)") shall be in the name of and shall vest in UCB GMBH; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in UCB GMBH;

NOW THIS AGREEMENT WITNESSETH:

1. THE INVENTOR ACKNOWLEDGES that he made the Invention as part of his normal duties as UCB SA's employee and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his duties;

2. UCB SA ACKNOWLEDGES that by virtue of this agreement, UCB GMBH has the exclusive rights to:

- (i) the Invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in UCB GMBH;
- (iv) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto UCB GMBH absolutely;

3. Insofar as the rights in the Invention, any improvement thereof and the Application are still vested in the inventor, THE INVENTOR HEREBY ASSIGNS to UCB GMBH:

- (i) the Invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any

other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in UCB GMBH;

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TO HOLD unto UCB GMBH absolutely;

4. UCB GMBH HEREBY ACCEPTS all that is transferred to it under this Agreement;

5. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY UNDERTAKES to do or have done as and when required by UCB GMBH or its nominee as UCB GMBH shall direct and at the expense of UCB GMBH any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto;

6. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY AUTHORISES UCB GMBH or its nominee and the agents of any of them as UCB GMBH shall direct to insert herebelow at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	_____
	and/or PCT Application No :	_____
	Filing Date :	_____

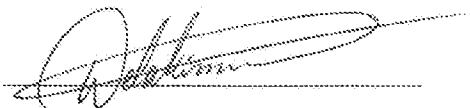
7. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.

8. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement;

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

Signed by **Jean-Pierre DELATINNE**

Signature :

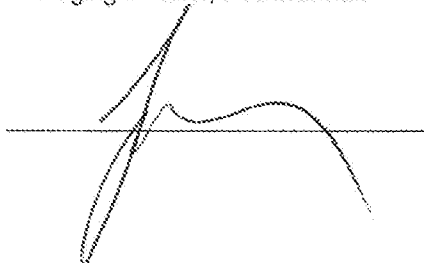


Signed for and on behalf of **UCB GMBH**

By: Name : Dr. Eric SCHEMANN

Title : Managing Director; Rechtsanwalt

Signature :

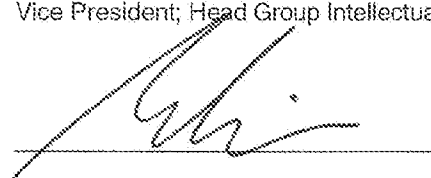


Signed for and on behalf of **UCB SA**

By: Name : Allen NORRIS

Title : Vice President; Head Group Intellectual Property

Signature :



**CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND
UNDERTAKING AGREEMENT**

THIS AGREEMENT is made the 20th day of June 2011 between

- (1) Maria Luisa ESCUDERO HERNANDEZ
6 Via Gaetano Callani
I-43123 Parma
Italy ("the Inventor");
- (2) UCB PHARMA GmbH ("UCB GMBH")
10, Alfred-Nobel-Strasse
D-40789 Monheim
Germany
- (3) UCB Pharma S.A. ("UCB SA")
60 Allée de la Recherche
1070 Brussels
Belgium

WHEREAS

- (A) The Inventor has been determined as being one of the joint inventors of the invention or inventions entitled "NOVEL PROCESS FOR THE PREPARATION OF NITROGEN SUBSTITUTED AMINOTETRALINS DERIVATIVES" and identified by the reference number D0112 ("the Invention");
- (B) At the time the Invention was made, the Inventor was employed by UCB SA;
- (C) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of UCB GMBH with the patent offices shown:

Patent Office	Filing Date	Application Number
European Patent Office	25 June 2010	10006621.6

- (D) UCB GMBH and UCB SA **hereby agree** that UCB GMBH shall have the exclusive rights to the inventions and all patent applications and resulting patents pertaining to said inventions, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for said inventions, and

- (E) It is the wish and intention of all the parties hereto that:
- (i) the Application shall continue in the name of UCB GMBH;
 - (ii) any patent application or like application filed in any country or territory and being directed to the Invention or any improvement thereof (whether or not

claiming priority under the International Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, regional applications and international applications and like corresponding applications.) ("Corresponding Application(s)") shall be in the name of and shall vest in UCB GMBH; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in UCB GMBH;

NOW THIS AGREEMENT WITNESSETH:

1. THE INVENTOR ACKNOWLEDGES that he made the Invention as part of his normal duties as UCB SA's employee and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his duties;

2. UCB SA ACKNOWLEDGES that by virtue of this agreement, UCB GMBH has the exclusive rights to:

- (i) the Invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in UCB GMBH;
- (iv) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto UCB GMBH absolutely;

3. Insofar as the rights in the Invention, any improvement thereof and the Application are still vested in the inventor, THE INVENTOR HEREBY ASSIGNS to UCB GMBH;

- (i) the Invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any

Handwritten signature and initials, possibly "ES" and "GMBH", written vertically.

other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in UCB GMBH;

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TO HOLD unto UCB GMBH absolutely;

4. UCB GMBH HEREBY ACCEPTS all that is transferred to it under this Agreement;

5. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY UNDERTAKES to do or have done as and when required by UCB GMBH or its nominee as UCB GMBH shall direct and at the expense of UCB GMBH any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto;

6. EACH OF THE INVENTOR, UCB SA AND UCB GMBH HEREBY AUTHORISES UCB GMBH or its nominee and the agents of any of them as UCB GMBH shall direct to insert herebelow at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	_____
	and/or PCT Application No :	_____
	Filing Date :	_____

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7. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.

8. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement;

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

Signed by Maria Luisa ESCUDERO HERNANDEZ

Signature :

Signed for and on behalf of **UCB GMBH**

By: Name : Dr. Eric SCHEMANN

Title : Managing Director; Rechtsanwalt

Signature :

Signed for and on behalf of **UCB SA**

By: Name : Allen NORRIS

Title : Vice President; Head Group Intellectual Property

Signature :

**CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND
UNDERTAKING AGREEMENT**

THIS AGREEMENT is made the 20th day of June 2011 between

- (1) Véronique PINILLA
2A rue de Hal
B-1440 Braine-le-Château
Belgium ("the Inventor");
- (2) UCB PHARMA GmbH ("UCB GMBH")
10, Alfred-Nobel-Strasse
D-40789 Monheim
Germany
- (3) UCB Pharma S.A. ("UCB SA")
60 Allée de la Recherche
1070 Brussels
Belgium

WHEREAS

(A) The Inventor has been determined as being one of the joint inventors of the invention or inventions entitled "NOVEL PROCESS FOR THE PREPARATION OF NITROGEN SUBSTITUTED AMINOTETRALINS DERIVATIVES" and identified by the reference number D0112 ("the Invention");

(B) At the time the Invention was made, the Inventor was employed by UCB SA;

(C) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of UCB GMBH with the patent offices shown:

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- (ii) any patent application or like application filed in any country or territory and being directed to the Invention or any improvement thereof (whether or not

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claiming priority under the International Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, regional applications and international applications and like corresponding applications.) ("Corresponding Application(s)") shall be in the name of and shall vest in UCB GMBH; and

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1. THE INVENTOR ACKNOWLEDGES that he made the Invention as part of his normal duties as UCB SA's employee and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his duties;

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TO HOLD unto UCB GMBH absolutely;

3. Insofar as the rights in the Invention, any improvement thereof and the Application are still vested in the Inventor, THE INVENTOR HEREBY ASSIGNS to UCB GMBH:

- (i) the Invention;
- (ii) any improvement thereof;
- (iii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the International Convention or any



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and/or PCT Application No : _____
Filing Date : _____

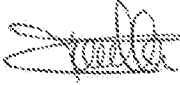
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UA

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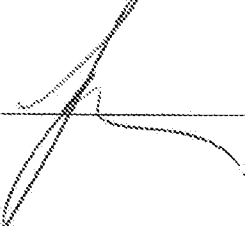
Signed by **Véronique PINILLA**

Signature :  _____

Signed for and on behalf of **UCB GMBH**

By: Name : Dr. Eric SCHEMANN


Title : Managing Director; Rechtsanwalt

Signature :  _____

Signed for and on behalf of **UCB SA**

By: Name : Allen NORRIS

Title : Vice President; Head Group Intellectual Property

Signature :  _____