

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3156465

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
XIAOJIE WU	12/12/2014
ZHENG GONG	12/12/2014
PENG DAI	12/12/2014
GAIGE LIANG	12/12/2014
YIWEN GENG	12/12/2014
QUANJUN GUO	12/12/2014
JUAN ZHOU	12/12/2014
EN FANG	12/12/2014
XIA ZHAO	12/12/2014
HUAXIA DAI	12/12/2014
XIAO ZONG	12/12/2014
GUANGSHENG LIU	12/12/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CHINA UNIVERSITY OF MINING AND TECHNOLOGY
<b>Street Address:</b>	SCIENCE ACADEMY OF CHINA UNIVERSITY OF MINING AND TECHNOLOGY, NO. 1 UNIVERSITY ROAD, XUZHOU
<b>City:</b>	JIANGSU
<b>State/Country:</b>	CHINA
<b>Postal Code:</b>	221116
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14409231
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	asuazo@nathlaw.com
<b>Correspondent Name:</b>	NATH, GOLDBERG & MEYER
<b>Address Line 1:</b>	112 S. WEST ST.
<b>Address Line 2:</b>	33557U_JLM/ALS
<b>Address Line 4:</b>	ALEXANDRIA, VIRGINIA 22314
<b>PATENT</b>	

<b>ATTORNEY DOCKET NUMBER:</b>	33557U
<b>NAME OF SUBMITTER:</b>	JERALD L. MEYER
<b>SIGNATURE:</b>	/Jerald L. Meyer/
<b>DATE SIGNED:</b>	12/19/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).
<b>Total Attachments: 12</b> source=DOP14US865-executedDeclarationandAssignment#page1.tif source=DOP14US865-executedDeclarationandAssignment#page2.tif source=DOP14US865-executedDeclarationandAssignment#page3.tif source=DOP14US865-executedDeclarationandAssignment#page4.tif source=DOP14US865-executedDeclarationandAssignment#page5.tif source=DOP14US865-executedDeclarationandAssignment#page6.tif source=DOP14US865-executedDeclarationandAssignment#page7.tif source=DOP14US865-executedDeclarationandAssignment#page8.tif source=DOP14US865-executedDeclarationandAssignment#page9.tif source=DOP14US865-executedDeclarationandAssignment#page10.tif source=DOP14US865-executedDeclarationandAssignment#page11.tif source=DOP14US865-executedDeclarationandAssignment#page12.tif	

**DECLARATION UNDER 37 CFR §1.63 AND ASSIGNMENT**

doCKET no. 335570

As the undersigned inventor, I hereby declare that this Declaration under 37 CFR §1.63 is directed to the following invention:

Title: **MODULATION POLICY FOR MODULAR MULTI-LEVEL CONVERTOR**

U.S. application serial number or PCT international application number

**PCT/CN2014/072229**

Filed on **19 February 2014**

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS,

**CHINA UNIVERSITY OF MINING AND TECHNOLOGY**

whose business address is

Science Academy of China University of Mining and Technology, No. 1 University Road, Xuzhou, Jiangsu 221116, China

hereinafter referred to as the ASSIGNEE, desires to acquire the entire right, title and interest for the United States and elsewhere throughout the world in and to said invention and application, including any and all divisions and continuations thereof, all rights of priority under the terms of the International Convention for the Protection of Industrial Property, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof.

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LEGAL NAME OF INVENTOR: **Guangsheng LIU**

INVENTOR SIGNATURE: Guangsheng Liu

DATE: 2014.12.12

**DECLARATION UNDER 37 CFR §1.63 AND ASSIGNMENT**

docket no. 325570

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LEGAL NAME OF INVENTOR: **Xiao ZONG**

INVENTOR SIGNATURE: Xiao ZONG

DATE: December 12, 2014

**DECLARATION UNDER 37 CFR §1.63 AND ASSIGNMENT**

docket no. 335570

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U.S. application serial number or PCT international application number

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LEGAL NAME OF INVENTOR: **Xiaojie WU**

INVENTOR SIGNATURE: Xiaojie WU

DATE: Dec 12, 2014

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docket no. 335570

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U.S. application serial number or PCT international application number **PCT/CN2014/072229**

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LEGAL NAME OF INVENTOR: **Juan ZHOU**

INVENTOR SIGNATURE: Juan Zhou

DATE: Dec. 12, 2014

**DECLARATION UNDER 37 CFR §1.63 AND ASSIGNMENT**

docket no. 335570

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U.S. application serial number or PCT international application number

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LEGAL NAME OF INVENTOR: **Xia ZHAO**

INVENTOR SIGNATURE: Xia Zhao

DATE: Dec. 11, 2014

**DECLARATION UNDER 37 CFR §1.63 AND ASSIGNMENT**

docket no. 335570

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LEGAL NAME OF INVENTOR: **Peng DAI**

INVENTOR SIGNATURE: Peng Dai

DATE: Dec. 12, 2014



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docket no. 335570

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LEGAL NAME OF INVENTOR: **Gaige LIANG**

INVENTOR SIGNATURE: Gaige Liang

DATE: Dec. 12, 2014

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LEGAL NAME OF INVENTOR: **Quanjun GUO**

INVENTOR SIGNATURE: Quanjun Guo

DATE: 2014.12.18

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LEGAL NAME OF INVENTOR: **Zheng GONG**

INVENTOR SIGNATURE: Zheng GONG

DATE: 2014. 12. 12

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NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt, adequacy and sufficiency of which are hereby acknowledged, the undersigned hereby assigns, sells and transfers to said ASSIGNEE, its assigns and legal representatives, the entire right, title and interest for the United States and elsewhere throughout the world, in and to said invention and application, including any and all divisions and continuations thereof, all rights of priority under the terms of the International Convention for the Protection of Industrial Property, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges, and advantages in any way arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues and prolongations thereof, for the use and benefit of said ASSIGNEE and its assigns and legal representatives, in as ample and as beneficial a manner for all intents and purposes as the undersigned might or could have held and enjoyed the same had this assignment not been made.

The undersigned hereby agrees to execute all papers necessary to file said applications in the United States and elsewhere throughout the world, and to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing any and all Letters Patent thereon. The undersigned authorizes and requests the Commissioner of Patents to issue a Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEE, its assigns and legal representatives, in accordance herewith.

I hereby acknowledge that any willful false statement made in this Declaration is punishable under 18 U.S.C. §1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTOR: **Huaxia DAI**

INVENTOR SIGNATURE: Huaxia DAI

DATE: DEC. 12. 2014

**DECLARATION UNDER 37 CFR §1.63 AND ASSIGNMENT**

docket no. 335570

As the undersigned inventor, I hereby declare that this Declaration under 37 CFR §1.63 is directed to the following invention:

Title: **MODULATION POLICY FOR MODULAR MULTI-LEVEL CONVERTOR**

U.S. application serial number or PCT international application number

**PCT/CN2014/072229**

Filed on **19 February 2014**

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, **CHINA UNIVERSITY OF MINING AND TECHNOLOGY**

whose business address is

Science Academy of China University of Mining and Technology, No. 1 University Road, Xuzhou, Jiangsu 221116, China

hereinafter referred to as the ASSIGNEE, desires to acquire the entire right, title and interest for the United States and elsewhere throughout the world in and to said invention and application, including any and all divisions and continuations thereof, all rights of priority under the terms of the International Convention for the Protection of Industrial Property, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof.

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LEGAL NAME OF INVENTOR: **En FANG**

INVENTOR SIGNATURE: En FANG

DATE: DEC 12 2014

**DECLARATION UNDER 37 CFR §1.63 AND ASSIGNMENT**

doCKET NO. 33557U

As the undersigned inventor, I hereby declare that this Declaration under 37 CFR §1.63 is directed to the following invention:

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U.S. application serial number or PCT international application number

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LEGAL NAME OF INVENTOR: **Yiwen GENG**

INVENTOR SIGNATURE: \_\_\_\_\_

*Yiwen Geng*

DATE: Dec 12, 2014