

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3162251

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JOSEPH AFERZON	10/06/2008
JEFFREY A. BASH	10/07/2008
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	INTERNATIONAL SPINAL INNOVATIONS, LLC
<b>Street Address:</b>	97 BALFOUR DRIVE
<b>City:</b>	WEST HARTFORD
<b>State/Country:</b>	CONNECTICUT
<b>Postal Code:</b>	06117
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14582679
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(516)822-3582
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	516-822-3550
<b>Email:</b>	AGVDOCKET@hbiplaw.com
<b>Correspondent Name:</b>	HOFFMANN & BARON LLP
<b>Address Line 1:</b>	6900 JERICHO TURNPIKE
<b>Address Line 4:</b>	SYOSSET, NEW YORK 11791
<b>ATTORNEY DOCKET NUMBER:</b>	1933-7 CON II
<b>NAME OF SUBMITTER:</b>	ALEXANDER G. VODOVOZOV
<b>SIGNATURE:</b>	/alexander g. vodovozov/
<b>DATE SIGNED:</b>	12/24/2014
<b>Total Attachments: 5</b>	
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FEB 13 2012

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 13, 2012

PTAS

HOFFMANN & BARON, LLP  
6900 JERICHO TURNPIKE  
SYOSSET, NY 11791

**501816998**

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 02/10/2012

REEL/FRAME: 027687/0126  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 1933-7 CON

ASSIGNOR:  
AFERZON, JOSEPH

DOC DATE: 10/06/2008

ASSIGNOR:  
BASH, JEFFREY A.

DOC DATE: 10/07/2008

ASSIGNEE:  
INTERNATIONAL SPINAL INNOVATIONS,  
LLC  
97 BALFOUR DRIVE  
WEST HARTFORD, CONNECTICUT 06117

APPLICATION NUMBER: 13370921

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: MINIMALLY INVASIVE LATERAL INTERVERTEBRAL FIXATION SYSTEM,  
DEVICE AND METHOD

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

# ASSIGNMENT

WHEREAS, Joseph A. Ferzon, a citizen of the United States of America, residing at 11 Wyngate Dr., Avon, CT 06001; and Jeffrey A. Bash, a citizen of the United States of America, residing at 97 Balfour Dr., West Hartford, CT 06117; hereinafter called the "Assignors," have made the invention described in the United States patent application entitled, "MINIMALLY INVASIVE LATERAL INTERVERTBRAL FIXATION SYSTEM, DEVICE AND METHOD," Attorney Docket No. 1860-0004, for a full description of which reference is made herewith; and

WHEREAS, International Spinal Innovations, LLC, a limited liability company organized and existing under the laws of the State of Connecticut, having a place of business at 97 Balfour Drive, West Hartford, CT, 06117, hereinafter called the "Assignee," desires to acquire the entire right, title and interest for the United States of America and elsewhere throughout the world in and to said invention and the patent application identified above, including any and all divisions and continuations thereof and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof.

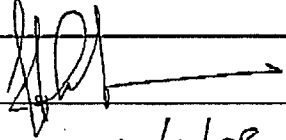
NOW, THEREFORE in consideration of the sum of One Dollar (\$1.00) and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefore in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term and terms for all such patents.

AND said Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee to carry out the terms of this Assignment. Assignors authorize attorney or agent to fill in the U.S. Application Serial No. above, if required.

AND said Assignors authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any all divisions and continuations thereof, to said Assignee, its assigns and legal representatives, in accordance with the terms of this Assignment.

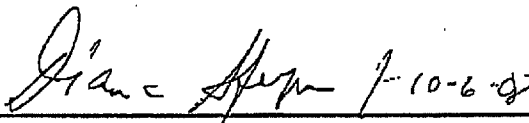
IN TESTIMONY WHEREOF, this Assignment is executed by said Assignors, on the date(s) listed below.

Attorney Docket Number: 1860-0004

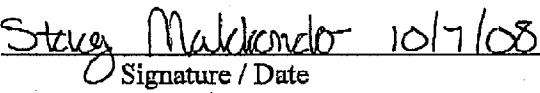
Name of First Inventor: Joseph Aferzon  
Signature of First Inventor:   
Date: 10/6/08

Witnesses for First Inventor

First Witness:

  
Signature / Date  
DIANA AFERZON  
Full Name (printed)

Second Witness:

 10/7/08  
Signature / Date  
  
Full Name (printed)

Name of Second Inventor: Jeffrey A. Bash  
Signature of Second Inventor: \_\_\_\_\_  
Date: \_\_\_\_\_

Witnesses for Second Inventor

First Witness:

\_\_\_\_\_  
Signature / Date  
  
\_\_\_\_\_  
Full Name (printed)

Second Witness:

\_\_\_\_\_  
Signature / Date  
  
\_\_\_\_\_  
Full Name (printed)

## ASSIGNMENT

WHEREAS, Joseph Aferzon, a citizen of the United States of America, residing at 11 Wyngate Dr., Avon, CT 06001; and Jeffrey A. Bash, a citizen of the United States of America, residing at 97 Balfour Dr., West Hartford, CT 06117; hereinafter called the "Assignors," have made the invention described in the United States patent application entitled, "MINIMALLY INVASIVE LATERAL INTERVERTBRAL FIXATION SYSTEM, DEVICE AND METHOD," Attorney Docket No. 1860-0004, for a full description of which reference is made herewith; and

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NOW, THEREFORE in consideration of the sum of One Dollar (\$1.00) and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefore in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term and terms for all such patents.

AND said Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee to carry out the terms of this Assignment. Assignors authorize attorney or agent to fill in the U.S. Application Serial No. above, if required.

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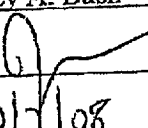
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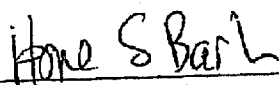
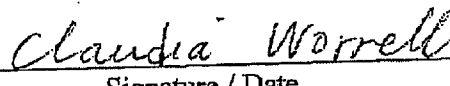
Name of First Inventor: Joseph Aferzon  
Signature of First Inventor: \_\_\_\_\_  
Date: \_\_\_\_\_

Witnesses for First Inventor

First Witness: \_\_\_\_\_  
Signature / Date  
\_\_\_\_\_  
Full Name (printed)  
Second Witness: \_\_\_\_\_  
Signature / Date  
\_\_\_\_\_  
Full Name (printed)

Name of Second Inventor: Jeffrey A. Bash  
Signature of Second Inventor:   
Date: 10/7/08

Witnesses for Second Inventor

First Witness:   
Signature / Date  
Hope S. Bash  
Full Name (printed)  
Second Witness:   
Signature / Date  
CLAUDIA WORRELL  
Full Name (printed)