

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3168400

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
YANLI ZHANG	12/08/2014
JOHANN ALSMEIER	12/08/2014
YINGDA DONG	12/08/2014
AKIRA MATSUDAIRA	12/05/2014
RECEIVING PARTY DATA	
Name:	SANDISK TECHNOLOGIES, INC.,
Street Address:	TWO LEGACY TOWN CENTER
Internal Address:	6900 NORTH DALLAS PARKWAY
City:	PLANO
State/Country:	TEXAS
Postal Code:	75024
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14564526
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ATTORNEY DOCKET NUMBER:	3590-491A
NAME OF SUBMITTER:	SOPHEAREAK C. IN
SIGNATURE:	/Sopheareak C. In/
DATE SIGNED:	01/02/2015
Total Attachments: 2	

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ASSIGNMENT AND AGREEMENT

WHEREAS, Yanli ZHANG, Johann ALSMEIER, Yingda DONG, and Akira MATSUDAIRA (hereinafter referred to singly and collectively as "ASSIGNOR") has invented a certain invention entitled **THREE-DIMENSIONAL MEMORY STRUCTURE HAVING A BACK GATE ELECTRODE** for which a U.S. non-provisional application is submitted herewith.

WHEREAS, SANDISK TECHNOLOGIES, INC., having its principal place of business at *Two Legacy Town Center, 6900 North Dallas Parkway, Plano, Texas 75024* (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in view of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.





ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and

protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **The Marbury Law Group PLLC** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **The Marbury Law Group PLLC** do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

NAMES AND SIGNATURES OF INVENTOR		
Name: Yanli ZHANG	Signature: 	Date: 12/8/2014
Name: Johann ALSMEIER	Signature: 	Date: 12/8/2014
Name: Yingda DONG	Signature: 	Date: 12/8/2014
Name: Akira MATSUDAIRA	Signature: 	Date: 12/5/2014