

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3174288

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JURG ZUMBRUNN	09/20/2010
STEVEN J. DEMARCO	08/24/2010
SERGIO LOCIURO	10/11/2010
JAN WIM VRIJBLOED	09/15/2010
FRANK GOMBERT	09/10/2010
RESHMI MUKHERJEE	08/31/2010
KERSTIN MOEHLE	09/28/2010
DANIEL OBRECHT	09/18/2010
JOHN ANTHONY ROBINSON	09/28/2010
HEIKO HENZE	09/04/2010
BARBARA ROMAGNOLI	08/24/2010
CHRISTIAN LUDIN	08/24/2010
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	POLYPHORE LTD.
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<b>Postal Code:</b>	CH-4123
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<b>City:</b>	ZURICH
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	CH-8006
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14495737
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent</i>	
<b>PATENT</b>	

***using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.***

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<b>ATTORNEY DOCKET NUMBER:</b>	753-57 PCT/US/DIV/CON
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<b>NAME OF SUBMITTER:</b>	PERRY Y. WOO
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<b>SIGNATURE:</b>	/perry y. woo/
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<b>DATE SIGNED:</b>	01/08/2015
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**Total Attachments: 9**

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**CHANGE OF ADDRESS**

Polyphor Ltd  
Gewerbstrasse 14  
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*has moved to*

Polyphor Ltd  
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Switzerland

Respectfully submitted,

/perry y woo/\_\_\_\_\_  
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Attorney's Docket No.: 753-57 PCT/US/DIV

PATENT

For: ☒ U.S. and/or ☐ Foreign Rights  
For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
By: ☒ Inventors or ☐ Present Owners

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Jürg Zumbrunn</u> Name	<u>Steven J. Demarco</u> Name	<u>Sergio Lociuoro</u> Name
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<u>Dutch</u> Nationality	<u>German</u> Nationality	<u>Indian</u> Nationality

Assignment of Invention - Page 1 of 5

PATENT  
REEL: 034662 FRAME: 0883

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Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_  
Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

Polyphor Ltd.  
(Type or print name of ASSIGNEE)

Gewerbestrasse 14  
Address

CH-4123 Allschwil, Switzerland

Swiss  
Nationality

Assignment of Invention - Page 2 of 5

Universität Zürich  
(Type or print name of ASSIGNEE)

Rämistrasse 71  
Address

CH-8006 Zürich, Switzerland

Swiss  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:  
**TEMPLATE-FIXED BETA-HAIRPIN PEPTIDOMIMETICS WITH CXCR4  
ANTAGONIZING ACTIVITY**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 12/828,998 filed on July 1, 2010  
(e) ☐ International application No. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X 20. September 2010  
Date of signing

X [Signature]  
Jürg Zumbunn

Date: X 24. August 2010  
Date of signing

X [Signature]  
Steven J. Demarco

Date: X 11 October 2010  
Date of signing

X [Signature]  
Sergio Lociuo

Date: X 15.9.2010  
Date of signing

X [Signature]  
Jan Wijn Vrijbloed

Date: X 10.9.2010  
Date of signing

X [Signature]  
Frank Gombert

Date: X 31st August 2010  
Date of signing

X [Signature]  
Reshmi Mukherjee

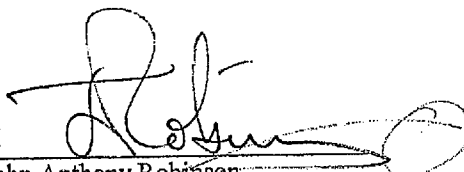
Date: X 28.9.2010  
Date of signing

X [Signature]  
Kerstin Moehle


Date: X 18.1.2011  
Date of signing

X [Signature]  
Daniel Obrecht

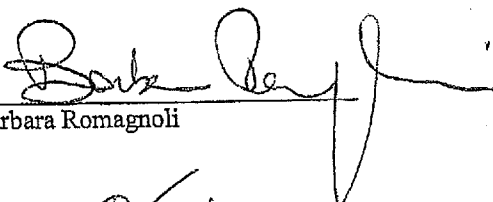
Date: X 28.09.2010  
Date of signing

X   
John Anthony Robinson


Date: X 04. September 2010  
Date of signing

X   
Heiko Henze

Date: X 24 August 2010  
Date of signing

X   
Barbara Romagnoli

Date: X 24. August 2010  
Date of signing

X   
Christian Ludin

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 3, 2014

PTAS

HOFFMANN & BARON, LLP  
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**503002776**

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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*under*  
RECORDATION DATE: 10/02/2014

REEL/FRAME: 033872/0588  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 753-57 PCT/US/DIV/CON

ASSIGNOR:  
ZUMBRUNN, JURG

DOC DATE: 09/20/2010

ASSIGNOR:  
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DOC DATE: 08/24/2010

ASSIGNOR:  
LOCIURO, SERGIO

DOC DATE: 10/11/2010

ASSIGNOR:  
VRIJBLOED, JAN WIM

DOC DATE: 09/15/2010

ASSIGNOR:  
GOMBERT, FRANK

DOC DATE: 09/10/2010

ASSIGNOR:  
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DOC DATE: 08/31/2010

ASSIGNOR:  
MOEHLE, KERSTIN

DOC DATE: 09/28/2010

ASSIGNOR:  
OBRECHT, DANIEL

DOC DATE: 01/18/2011

ASSIGNOR:  
ROBINSON, JOHN ANTHONY

DOC DATE: 09/28/2010

ASSIGNOR:  
HENZE, HEIKO

DOC DATE: 09/04/2010

ASSIGNOR:  
ROMAGNOLI, BARBARA

DOC DATE: 08/24/2010

ASSIGNOR:  
LUDIN, CHRISTIAN

DOC DATE: 08/24/2010

ASSIGNEE:  
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APPLICATION NUMBER: 14495737

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: TEMPLATE-FIXED BETA-HAIRPIN PEPTIDOMIMETICS WITH CXCR4  
ANTAGONIZING ACTIVITY

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3049375

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT

  
**CONVEYING PARTY DATA**

Name	Execution Date
JURG ZUMBRUNN	09/20/2010
STEVEN J. DEMARCO	08/24/2010
SERGIO LOCIURO	10/11/2010
JAN WIM VRIJBLOED	09/15/2010
FRANK GOMBERT	09/10/2010
RESHMI MUKHERJEE	08/31/2010
KERSTIN MOEHLE	09/28/2010
DANIEL OBRECHT	01/18/2011
JOHN ANTHONY ROBINSON	09/28/2010
HEIKO HENZE	09/04/2010
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**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14495737

  
**CORRESPONDENCE DATA**

Fax Number:

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent*

PATENT