# 503132177 01/12/2015

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3178787

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	03/07/2006

## **CONVEYING PARTY DATA**

Name	Execution Date
NEW YORK STOCK EXCHANGE, INC.	03/07/2006

#### **RECEIVING PARTY DATA**

Name:	NYSE MERGER CORPORATION SUB, INC.	
Street Address:	11 WALL STREET	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10005	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	11182982

## **CORRESPONDENCE DATA**

**Fax Number:** (215)656-2498

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 215-656-3385

Email: pto.phil@dlapiper.com

Correspondent Name: PAUL A. TAUFER, DLA PIPER LLP (US)
Address Line 1: 1650 MARKET STREET, SUITE 4900
Address Line 4: PHILADELPHIA, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER:	NSE-14-1131R3
NAME OF SUBMITTER:	NANCY NUNEZ
SIGNATURE:	/NN/
DATE SIGNED:	01/12/2015

#### **Total Attachments: 4**

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PATENT 503132177 REEL: 034683 FRAME: 0809



PAGE I

# The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"NEW YORK STOCK EXCHANGE, INC.", A NEW YORK CORPORATION,
WITH AND INTO "NYSE MERGER CORPORATION SUB, INC." UNDER THE
NAME OF "NYSE MERGER CORPORATION SUB, INC.", A CORPORATION
ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE,
AS RECEIVED AND FILED IN THIS OFFICE THE SEVENTH DAY OF MARCH,
A.D. 2006, AT 12 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.

3995359 8100M

060221553

Warriet Smith Windson Secretary of State

AUTHENTICATION: 4572273

DATE: 03-07-06

PATENT REEL: 034683 FRAME: 0810 State of Delaware Secretary of State Division of Corporations Delivered 11:01 AM 03/07/2006 FILED 12:00 PM 03/07/2006 SRV 060221553 - 3995359 FILE

#### CERTIFICATE OF MERGER

 $\mathbf{of}$ 

## NEW YORK STOCK EXCHANGE, INC.

into

# NYSE MERGER CORPORATION SUB, INC.

Pursuant to the provisions of Section 258 of the Delaware General Corporation Law (the "DGCL"), NYSE Merger Corporation Sub, Inc., a Delaware corporation ("NYSE Merger Corporation Sub"), hereby certifies the following information relating to the merger (the "Merger") of New York Stock Exchange, Inc., a New York Type A Not-for-Profit corporation ("NYSE"), with and into NYSE Merger Corporation Sub:

FIRST: The names and states of incorporation of the constituent corporations (the "Constituent Corporations") in the Merger are:

Name

State of Incorporation

New York Stock Exchange, Inc.

New York

NYSE Merger Corporation Sub, Inc.

Delaware

SECOND: The Agreement and Plan of Merger, dated as of April 20, 2005, as amended and restated as of July 20, 2005, and as further amended on October 20, 2005 and November 2, 2005, by and among the NYSE, Archipelago Holdings, Inc. (a Delaware corporation), NYSE Group, Inc. (a Delaware corporation), NYSE Merger Sub LLC (a New York limited liability company). NYSE Merger Corporation Sub and Archipelago Merger Sub, Inc. (a Delaware corporation) (the "Merger Agreement"), setting forth the terms and conditions of the Merger, has been approved, adopted, certified, executed and acknowledged by each of the Constituent Corporations in accordance with the provisions of subsection (b) of Section 258 of the DGCL.

THIRD: The name of the corporation surviving the Merger (the "Surviving Corporation") is NYSE Merger Corporation Sub, Inc.

FOURTH: The certificate of incorporation of NYSE Merger Corporation Sub, as in effect immediately prior to the effective time of the Merger shall be the certificate of incorporation of the Surviving Corporation.

FIFTH: The executed Merger Agreement is on file at the principal place of business of the Surviving Corporation whose address is c/o New York Stock Exchange, 11 Wall Street, New York, NY 10005.

PATENT REEL: 034683 FRAME: 0811 SIXTH: A copy of the Merger Agreement will be furnished by the Surviving Corporation, on request and without cost, to any member or stockholder of any Constituent Corporation.

SEVENTH: The Merger shall be effective as of 12:00pm on March 7, 2006.

[Signature page follows]

PATENT REEL: 034683 FRAME: 0812 IN WITNESS WHEREOF, NYSE Merger Corporation Sub, Inc. has caused this Certificate of Merger to be executed by its duly authorized officer on March 7, 2006.

NYSE MERGER CORPORATION SUB, INC.

By: /s/ Richard P. Bernard

Name: Richard P. Bernard

Title: Chief Executive Officer and Secretary

PATENT REEL: 034683 FRAME: 0813

**RECORDED: 01/12/2015**