

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3179113

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
WEI CHEN	12/09/2014
LONGCHENG WANG	12/11/2014
ZHAOZHONG J. JIA	10/14/2014
DAVID J. LOURY	10/14/2014
RECEIVING PARTY DATA	
Name:	PHARMACYCLICS, INC.
Street Address:	995 EAST ARQUES AVENUE
City:	SUNNYVALE
State/Country:	CALIFORNIA
Postal Code:	94085
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14500897
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6504939300
Email:	patentdocket@wsgr.com, lkim@wsgr.com
Correspondent Name:	WILSON SONSINI GOODRICH & ROSATI
Address Line 1:	650 PAGE MILL ROAD
Address Line 4:	PALO ALTO, CALIFORNIA 94304
ATTORNEY DOCKET NUMBER:	25922-889.201
NAME OF SUBMITTER:	LORA C. KIM
SIGNATURE:	/Lora Kim/
DATE SIGNED:	01/12/2015
Total Attachments: 6	
source=25922-889.201 Patent Assignment (Inventors Signed)#page1.tif	
source=25922-889.201 Patent Assignment (Inventors Signed)#page2.tif	
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PATENT ASSIGNMENT

Docket Number 25922-889.201 & 25922-889.601

WHEREAS, the undersigned:

- | | | | |
|--------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------|--------------------------------------------------------------|
| 1. CHEN, Wei
1668 Walden Court
Fremont, CA 94539 | 2. WANG, Longcheng
4294 Wilkie Way, Apt. L
Palo Alto, CA 94306 | 3. JIA, Zhaozhong J.
3416 Leafwood Court
San Mateo, CA 94403 | 4. LOURY, David J.
7130 Galli Drive
San Jose, CA 95129 |
|--------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------|--------------------------------------------------------------|

(hereinafter "Inventor(s)"), have invented certain new and useful improvements in

INHIBITORS OF BRUTON'S TYROSINE KINASE

☒ for which application serial number PCT/US2014/058132 was filed on September 29, 2014 in the U.S. Receiving Office of the Patent Cooperation Treaty;

☒ for which application serial number 14/500,897 was filed on September 29, 2014 in the United States Patent and Trademark Office;

(hereinafter, "Application(s)"). The term "Application(s)" also includes all patent applications that share or claim priority to or from the above application(s).

WHEREAS, Pharmacvclics, Inc., a corporation of the State OR Commonwealth of Delaware, having a place of business at 955 East Argus Avenue, Sunnyvale, CA 94085, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s), and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise (hereinafter "Patent(s)").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions; (b) in and to said Applications, including the right to claim priority to and from said Application(s); (c) in and to each and every application that is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); (d) in and to said Patent(s) and each and every patent issuing or reissuing from any of the foregoing; (e) in and to each and every reissue, reexamination, renewal or extension of any kind of any of the foregoing; (f) in and to each and every patent and application filed outside the United States and corresponding to any of the foregoing; and (g) in and to all claims for past, present and future infringement of the Patent(s), including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

4. Said Inventor(s) hereby warrant, represent and covenant that said Inventor(s) have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

PATENT ASSIGNMENT

Docket Number 25922-889.201 & 25922-889.601

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: _____

Wei CHEN

State/Commonwealth of _____)

County of _____)

On _____ before me, _____

(Name/Title of Notary) personally appeared Wei CHEN (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: _____ (Notary Seal)

Date: _____

Longcheng WANG

State/Commonwealth of _____)

County of _____)

On _____ before me, _____

(Name/Title of Notary) personally appeared Longcheng WANG (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: _____ (Notary Seal)

PATENT ASSIGNMENT

Docket Number 25922-889.201 & 25922-889.601

Date: _____
Zhaozhong J. JIA

State/Commonwealth of _____)
 County of _____)

On _____ before me, _____
 (Name/Title of Notary) personally appeared Zhaozhong J. JIA (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: _____ (Notary Seal)

Date: 10/14/2014
David J. LOURY

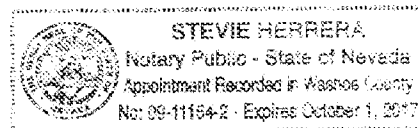
State/Commonwealth of NEVADA)
 County of WASHOE)

On 10/14/2014 before me, STEVIE HERRERA, Notary
 (Name/Title of Notary) personally appeared David J. LOURY (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of NEVADA that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: _____ (Notary Seal)



RECEIVED AND AGREED TO BY ASSIGNEE:

Date: _____

Pharmacyclies, Inc.

By: _____

Name: Richard B. Love

Title: General Counsel

PATENT ASSIGNMENT

Docket Number 25922-889.201 & 25922-889.601

WHEREAS, the undersigned:

- | | | | |
|--------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------|--------------------------------------------------------------|
| 1. CHEN, Wei
1668 Walden Court
Fremont, CA 94539 | 2. WANG, Longcheng
4294 Wilkie Way, Apt. L
Palo Alto, CA 94306 | 3. JIA, Zhaozhong J.
3416 Leafwood Court
San Mateo, CA 94403 | 4. LOURY, David J.
7130 Galli Drive
San Jose, CA 95129 |
|--------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------|--------------------------------------------------------------|

(hereinafter "Inventor(s)), have invented certain new and useful improvements in

INHIBITORS OF BRUTON'S TYROSINE KINASE

☒ for which application serial number PCT/US2014/058132 was filed on September 29, 2014 in the U.S. Receiving Office of the Patent Cooperation Treaty;

☒ for which application serial number 14/500,897 was filed on September 29, 2014 in the United States Patent and Trademark Office;

(hereinafter, "Application(s)"). The term "Application(s)" also includes all patent applications that share or claim priority to or from the above application(s).

WHEREAS, Pharmacyclics, Inc., a corporation of the State OR Commonwealth of Delaware, having a place of business at 955 East Argues Avenue, Sunnyvale, CA 94085, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s), and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise (hereinafter "Patent(s)").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions; (b) in and to said Applications, including the right to claim priority to and from said Application(s); (c) in and to each and every application that is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); (d) in and to said Patent(s) and each and every patent issuing or reissuing from any of the foregoing; (e) in and to each and every reissue, reexamination, renewal or extension of any kind of any of the foregoing; (f) in and to each and every patent and application filed outside the United States and corresponding to any of the foregoing; and (g) in and to all claims for past, present and future infringement of the Patent(s), including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

4. Said Inventor(s) hereby warrant, represent and covenant that said Inventor(s) have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

PATENT

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PATENT ASSIGNMENT

Docket Number 25922-889.201 & 25922-889.601

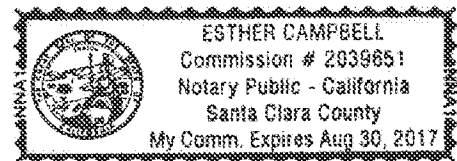
IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 12/9/2014 Wei CHEN
Wei CHENState/Commonwealth of CALIFORNIA)
County of Santa Clara)

On 9 Dec 2014 before me, ESTHER CAMPBELL, Notary
(Name/Title of Notary) personally appeared Wei CHEN (Name of Signer) who proved to me on the basis
of satisfactory evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or entity upon behalf of which the person acted, executed the
instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of
CALIFORNIA that the foregoing paragraph is true and correct.

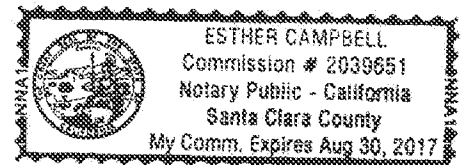
WITNESS my hand and official seal.

Signature: Esther Campbell (Notary Seal)Date: 11 Dec 2014 Longcheng WANG
Longcheng WANGState/Commonwealth of CALIFORNIA)
County of Santa Clara)

On 11 Dec 2014 before me, ESTHER CAMPBELL, Notary
(Name/Title of Notary) personally appeared Longcheng WANG (Name of Signer) who proved to me on
the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or entity upon behalf of which the person acted, executed the
instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of
CALIFORNIA that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: Esther Campbell (Notary Seal)

PATENT ASSIGNMENT

Docket Number 25922-889.201 & 25922-889.601

Date: 14 OCT 2014
Zhaozhong J. JIA

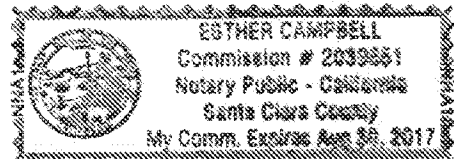
State/Commonwealth of CALIFORNIA)
 County of SANTA CLARA)

On OCT. 14, 2014 before me, ESTHER L. CAMPBELL, Notary
 (Name/Title of Notary) personally appeared Zhaozhong J. JIA (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of CALIFORNIA that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: [Signature] (Notary Seal)



Date: _____
David J. LOURY

State/Commonwealth of _____)
 County of _____)

On _____ before me, _____
 (Name/Title of Notary) personally appeared David J. LOURY (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: _____ (Notary Seal)

RECEIVED AND AGREED TO BY ASSIGNEE:

Date: 10 OCT 2014

Pharmacyclics, Inc.

By: [Signature]

Name: Richard B. Love

Title: General Counsel