

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3170510

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER AND CHANGE OF NAME
EFFECTIVE DATE:	02/07/2014
CONVEYING PARTY DATA	
Name	Execution Date
INSTITUT FUR MIKROTECHNIK MAINZ GMBH	02/07/2014
NEWLY MERGED ENTITY DATA	
Name	Execution Date
FRAUNHOFER-GESELLSCHAFT ZUR FÖRDERUNG DER ANGEWANDTEN FORSCHUNG E.V.	02/07/2014
MERGED ENTITY'S NEW NAME (RECEIVING PARTY)	
Name:	FRAUNHOFER-GESELLSCHAFT ZUR FÖRDERUNG DER ANGEWANDTEN FORSCHUNG E.V.
Street Address:	HANSASTRASSE 27C
City:	MÜNCHEN
State/Country:	GERMANY
Postal Code:	80686
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12924788
CORRESPONDENCE DATA	
Fax Number:	(330)535-2220
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	220-535-2220
Email:	dperkins@hsf-iplaw.com
Correspondent Name:	HUDAK, SHUNK & FARINE CO. LPA
Address Line 1:	2020 FRONT ST., SUITE 307
Address Line 4:	CUYAHOGA FALLS, OHIO 44221
ATTORNEY DOCKET NUMBER:	FMW-EZ-RCE
NAME OF SUBMITTER:	DANIEL J. HUDAK, JR.
SIGNATURE:	/djhudakjr/
DATE SIGNED:	01/06/2015

Total Attachments: 4

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Explanation

regarding the legal stations in the framework of the integration of IMM GmbH into the Fraunhofer-Gesellschaft zur Foerderung der angewandten Forschung e.V.:

In a first step, the German *Land* Rheinland-Pfalz sold and transferred its shares to the Fraunhofer-Gesellschaft (19 Sep. 2013).

Then the Fraunhofer-Gesellschaft transferred a portion of the acquired shares to a trustee (22 Nov. 2013).

Pursuant to Sections 190ff, 226ff UmwG [German Transformation Act], the change in the legal form of IMM GmbH into IMM e.V. & Co. KG was made in a second step by a (notarial) shareholders' resolution (22 Nov. 2013) and with the entry into the commercial register as of the effective date of the transformation (2 Jan. 2014), with the Fraunhofer-Gesellschaft as *Komplementär* (general partner) and a trustee as *Kommanditist* (limited partner).

Immediately after the performance of the transformation, the trustee assigned his limited partner share to the Fraunhofer-Gesellschaft and withdrew from IMM e.V. & Co. KG again, so that the Fraunhofer-Gesellschaft became the sole shareholder in IMM e.V. & Co. KG.

This obligatorily resulted in a complete winding up of IMM e.V. & Co. KG without previous liquidation (entered into the commercial register on 7 Feb. 2014) and in the company assets of IMM e.V. & Co. KG accruing to the Fraunhofer-Gesellschaft e.V. (Sections 161ff HGB [German Commercial code], 738 BGB [German Civil Code]).

Fraunhofer-Gesellschaft zur Foerderung der angewandten Forschung e.V. is consequently the legal successor of IMM GmbH.

As a state-certified, duly registered and commissioned translator for the English language, in Bavaria publicly appointed and generally sworn by the President of the Munich I Regional Court (Landgericht Muenchen I), I hereby certify that the foregoing English translation of the document submitted to me in the German language as a copy is correct and complete.

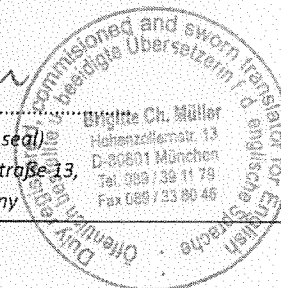
Munich I Regional Court Reg. No. UE 131/91

Munich, 8 December 2014

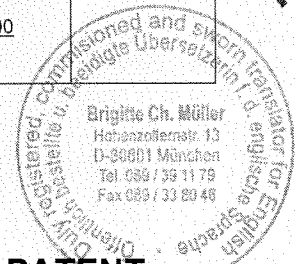


(Sworn translator's signature and seal)

Brigitte Ch. Müller, Hohenzollernstraße 13,
D-80801 Munich, Bavaria, Germany



Entry number	a) Corporate name b) Registered seat, establishment, domestic business address, branches c) Object of the company	a) General provisions on representation b) Holder, personally liable partners, managing directors, executive board, authorized representatives and special power of representation	Prokura (general commercial power of representation)	a) Legal form, beginning and statutes b) Other legal relationships c) <i>Kommanditisten</i> (limited partners), members	a) Day of entry b) Remarks
1	2	3	4	5	6
1	a) Institut für Mikrotechnik Mainz e.V. & Co. KG b) Mainz Business address: Carl-Zeiss-Straße 18-20, 55129 Mainz	a) Each personally liable partner represents individually. Each personally liable partner is authorized to conduct legal transactions in the name of the company with itself in its own name or as a representative of a third party. b) Personally liable partner: Fraunhofer Gesellschaft zur Foerderung der angewandten Forschung, eingetragener Verein, Munich (Munich Local Court, association register 4461).		a) <i>Kommanditgesellschaft</i> [Limited Partnership] b) Created through transformation by way of the change in form of the Institut für Mikrotechnik Mainz GmbH, Mainz (Mainz Local Court Commercial Register B 4471) in accordance with the resolution of the shareholder's meeting of the Institut für Mikrotechnik Mainz GmbH from 22 Nov. 2013. c) <i>Kommanditist</i> : [limited partner]: <u>Dr. Kaiser, Lorenz, Maisach, DOB 4 Aug. 1952, capital contribution: 100 EUR</u>	a) 2 Jan. 2014 Loitz b) Case 1
2				b) The company is dissolved. The firm is terminated. The register page is closed. c) <u>By way of special legal succession to the Fraunhofer-Gesellschaft zur Foerderung der angewandten Forschung eingetragener Verein, Munich (Munich Local Court, association register 4461), withdrawn as <i>Kommanditist</i> [limited partner]: <u>Dr. Kaiser, Lorenz, Maisach, DOB 4 Aug. 1952, capital contribution 100.00 EUR</u></u>	a) 6 Feb. 2014 Dannegger b) Case 2

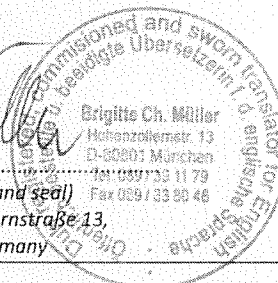


PATENT

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Munich I Regional Court Reg. No. UE 131/91

Munich, 8 December 2014



(Sworn translator's signature and seal)
Brigitte Ch. Müller, Hohenzollernstraße 13,
D-80801 Munich, Bavaria, Germany

CERTIFIED TRANSLATION FROM GERMAN

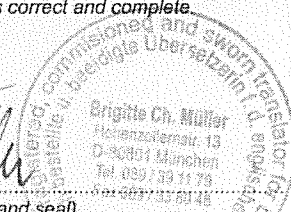
Association Register of Munich Local Court (Amtsgericht)	Reproduction of current contents of the Register Retrieved on 24 November 2014 at 12:54 hrs	Association number: VR 4461
	Page 1 of 1	

1. **Number of entries to date:**
23
2. **a) Name:**
Fraunhofer-Gesellschaft zur Foerderung der angewandten Forschung eingetragener Verein
b) Locale of registered offices:
Munich (Germany)
3. **a) General regulation of representation:**
Any two members of the Board of Directors jointly represent the Association.
b) Parties authorised to represent and special representation authorisation:
Director: Prof. (Stellenbosch University) Dr. Gossner, Alfred, Erharting, d/o/b 15 October 1950
Director: Dr. Kurz, Alexander, Haar, d/o/b 26 February 1961
Director: Dr. Neugebauer, Reimund, Dresden, d/o/b 27 June 1953
Director: Dr. Verl, Alexander, Ludwigsburg, d/o/b 13 July 1966

Authorised to represent jointly with a member of the Board of Directors:
Special representative as per sec. 30 (German) Civil Code for the sphere of Finances and
Accounting: Meuer, Andreas, Munich, d/o/b 12 June 1961
4. **a) Constitution:**
Registered association

Constitution dated 26 March 1949
Most recently amended by resolution dated 20 May 2010
b) Other legal features:
The Association has, by way of separation in accordance with the separation and takeover
contract dated 23 June 2009 as well as the decision of its General Membership Meeting held on
24 June 2009 and the decision of the General Membership Meeting of the transferring
association held on 08 May 2009, taken over portions of the assets of Forschungsinstitut fuer
Pigmente und Lacke with registered office in Stuttgart (Stuttgart Local Court, Association Register
number VR 537).
Forschungsgesellschaft fuer angewandte Naturwissenschaften e.V., with registered office in
Bonn (Bonn Local Court, Association Register number VR 2530) has, due to the merger contract
dated 23 June 2009 as well as the decision by the General Membership Meeting held on
24 June 2009 and the decision of the General Membership Meeting of the transferring
association held on 04 June 2009, merged with the Association.
The Institut fuer Solare Energieversorgungstechnik Association at the University of Kassel, with
registered office in Kassel (Kassel Local Court, Association Register number VR 2029) has
merged with the Association on the basis of the offer dated 07 May 2009 to sign a merger
contract and acceptance of the offer dated 23 June 2009 as well as the decision by the General
Membership Meeting held on 24 June 2009 and the decision by the General Membership
Meeting of the transferring association held on 07 May 2009.
5. **a) Date of most recent entry:**
3 April 2014

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Munich I Regional Court Reg. No. UE 131/91
Munich, 27 November 2014

(Sworn translator's signature and seal)
Brigitte Ch. Mueller, Hohenzollerstrasse 13,
D-80801 Munich, Bavaria, Federal Republic of Germany

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