

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3185097

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST
CONVEYING PARTY DATA	
Name	Execution Date
AQUASANA, INC.	12/02/2014
RECEIVING PARTY DATA	
Name:	FCP FUND II, L.P.
Street Address:	1620 DODGE STREET
Internal Address:	SUITE 800
City:	OMAHA
State/Country:	NEBRASKA
Postal Code:	68102
PROPERTY NUMBERS Total: 6	
Property Type	Number
Application Number:	14160498
Application Number:	61754843
Application Number:	13987291
Application Number:	13987320
Application Number:	14214534
Application Number:	13252038
CORRESPONDENCE DATA	
Fax Number:	(414)298-8097
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4142981000
Email:	ipadmin@reinhardt.com
Correspondent Name:	REINHART BOERNER VAN DEUREN/A. SMYCZEK
Address Line 1:	1000 NORTH WATER STREET
Address Line 2:	SUITE 1700
Address Line 4:	MILWAUKEE, WISCONSIN 53202
ATTORNEY DOCKET NUMBER:	032205-0005
NAME OF SUBMITTER:	ALEC D. SMYCZEK
SIGNATURE:	/Alec D. Smyczek/
DATE SIGNED:	01/15/2015

PATENT

Total Attachments: 4

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NOTICE OF SECURITY INTEREST IN PATENTS

THIS NOTICE OF SECURITY INTEREST IN PATENTS is made the 2nd day of December, 2014 by and between FCP FUND II, L.P., a Delaware limited partnership, in its capacity as collateral agent (in such capacity, the "Collateral Agent") for certain lenders and AQUASANA, INC., a Texas corporation (the "Debtor").

The Debtor and the Collateral Agent acknowledge the following:

A. The Debtor owns the patents or patent applications (the "Patents") listed on Exhibit A attached hereto, which Patents have been granted by, or applications therefor are pending before, the United States Patent and Trademark Office.

B. The Debtor has granted to the Collateral Agent a security interest in the Patents on the terms and conditions set forth in that certain Security Agreement dated as of July 11, 2012, as amended to date (as so amended and as the same may be further amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement"), by and among the Debtor, Water Filtration Holdings, Inc., Aquasana Global, Inc. and the Collateral Agent, to secure the payment and performance of the Secured Obligations (as defined in the Security Agreement).

C. Pursuant to the Security Agreement, the Debtor has granted to the Collateral Agent a security interest in and to all of the Patents and applications therefor, now owned or from time to time after the date hereof owned or acquired by the Debtor, and in and to all proceeds and benefits thereof to secure the payment and performance of the Secured Obligations, and does hereby confirm and reaffirm the grant of the security interest in such assets.

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IN WITNESS WHEREOF, the parties have executed this Notice of Security Interest in Patents as of the date first written above.

Address:

~~6034 West Courtyard Drive~~
Austin, TX 78730

1609 Shad Creek Blvd
Austin, Tx 78701

Address:

1620 Dodge Street, Suite 800
Omaha, NE 68102

AQUASANA, INC.

By: 

Name: Abdullah

Title: President

FCP FUND II, L.P.

By: FCP General Partner II, LLC,
its general partner

By: _____

Name: _____

Title: _____

Signature Page to Notice of Security Interest in Patents

PATENT

REEL: 034730 FRAME: 0335

IN WITNESS WHEREOF, the parties have executed this Notice of Security Interest in Patents as of the date first written above.

Address:
6034 West Courtyard Drive
Austin, TX 78730

AQUASANA, INC.

By: _____
Name: _____
Title: _____

Address:
1620 Dodge Street, Suite 800
Omaha, NE 68102

FCP FUND II, L.P.

By: FCP General Partner II, LLC,
its general partner

By: D. F. McLesca
Name: D. F. McLesca
Title: one of its managers

Signature Page to Notice of Security Interest in Patents

EXHIBIT A

PATENTS

Title	Appl. Date	Appl. No.
LIQUID FILTRATION SYSTEMS, COMPONENTS, AND METHODS	1/21/2014	14/160498
LIQUID FILTRATION SYSTEMS, COMPONENTS, AND METHODS	1/21/2013	61/754843
PITCHERS, PITCHER AND FILTER BASE COMBINATIONS, DISPENSERS, DISPENSER AND FILTER BASE COMBINATIONS, AND FILTER BASES	5/13/2012	13/987291
PITCHERS, FILTRATION UNITS, AND FILTRATION SYSTEMS AND METHODS	3/1/2013	13/987320
FILTRATION UNITS, FILTRATION SYSTEMS, AND FILTRATION METHODS	3/14/2014	14/214534

Title	Appl. Date	Appl. No.	Reg. Date	Reg. No.
FAUCET DIVERTER VALVES	10/3/2011	13/252038	6/10/14	8746283