

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3204519

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
APEX COMPRESSED AIR ENERGY STORAGE, LLC	01/27/2015
RECEIVING PARTY DATA	
Name:	APEX COMPRESSED AIR ENERGY STORAGE, LLC
Street Address:	3200 SOUTHWEST FREEWAY, SUITE 2210
City:	HOUSTON
State/Country:	TEXAS
Postal Code:	77027
Name:	DRESSER-RAND COMPANY
Street Address:	PAUL CLARK DRIVE
City:	OLEAN
State/Country:	NEW YORK
Postal Code:	14760
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	13941200
PCT Number:	US2013051093
CORRESPONDENCE DATA	
Fax Number:	(713)623-4846
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	713-623-4844
Email:	tfish@pattersonsheridan.com, psdocketing@pattersonsheridan.com
Correspondent Name:	WILLIAM B. PATTERSON
Address Line 1:	24 GREENWAY PLAZA, SUITE 1600
Address Line 4:	HOUSTON, TEXAS 77046
ATTORNEY DOCKET NUMBER:	APEX/0002US AND PC
NAME OF SUBMITTER:	WILLIAM B. PATTERSON
SIGNATURE:	/William B. Patterson/
DATE SIGNED:	01/29/2015

Total Attachments: 2

source=APEX0002US_Assignment#page1.tif

source=APEX0002US_Assignment#page2.tif

ASSIGNMENT

WHEREAS, **Apex Compressed Air Energy Storage, LLC** (hereinafter referred to as ASSIGNOR), an entity registered in the State of Delaware, having a place of business at 3200 Southwest Freeway, Suite 2210, Houston, Texas 77027, is the owner of all right, title and interest in and to the patent applications identified below:

United States Patent Application Serial No. 13/941,200
Filed: July 12, 2013
Title: COMPRESSED AIR ENERGY STORAGE SYSTEM HAVING
VARIABLE GENERATION MODES
Inventor: Stephen Ward Naeve

International Patent Application No. PCT/US2013/051093
Filed: July 18, 2013
Title: COMPRESSED AIR ENERGY STORAGE SYSTEM HAVING
VARIABLE GENERATION MODES
Inventor: Stephen Ward Naeve

WHEREAS, **Apex Compressed Air Energy Storage, LLC**, an entity registered in the State of Delaware, having a place of business at 3200 Southwest Freeway, Suite 2210, Houston, Texas 77027 and **Dresser-Rand Company**, an entity registered in the State of New York, having a place of business at Paul Clark Drive, Olean, New York 14760 (hereinafter referred to, collectively, as ASSIGNEE) are desirous of obtaining the entire right, title and interest in, to and under said applications (hereinafter referred to as Application) and the invention disclosed therein (hereinafter referred to as Invention).

FURTHERMORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the Application and Invention, and any United States Patent applications and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models and designs which may hereafter be filed for the Invention in any country or countries other than the United States together with the right to file such applications and the right to claim for the same the priority rights derived from the United States application under the Patent Laws of the United States, the

International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for the Invention in any country or countries other than the United States and all extensions, renewals and reissues thereof.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

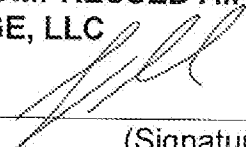
ASSIGNOR hereby covenants and agrees that ASSIGNOR has full right to convey the entire interest assigned, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict herewith.

ASSIGNOR hereby further covenants and agrees that ASSIGNOR will communicate to ASSIGNEE, its successor, legal representatives and assigns, any facts known to ASSIGNOR regarding the Invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for the Invention in all countries all at ASSIGNEE'S expense.

IN WITNESS WHEREOF, we hereunto set out hand and seal this 27 day of JANUARY, 2015.

APEX COMPRESSED AIR ENERGY STORAGE, LLC

By: _____



(Signature)

Name: JACK C. FARRELL

Title: CEO