

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3203629

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	TO CORRECT THE ASSIGNOR'S NAME/CONVEYING PARTY DATA ON THE COVER SHEET OF PREVIOUSLY RECORDED CONFIRMATORY LICENSE, REEL/FRAAME 021711/0275	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM	11/02/2004
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	NATIONAL INSTITUTES OF HEALTH (NIH), U.S. DEPT. OF HEALTH AND HUMAN SERVICES (DHHS), U.S. GOVERNMENT	
<b>Street Address:</b>	NIH DIVISION OF EXTRAMURAL INVENTIONS AND TECHNOLOGY RESOURCES (DEITR)	
<b>Internal Address:</b>	6705 ROCKLEDGE DRIVE, SUITE 310, MSC 7980	
<b>City:</b>	BETHESDA	
<b>State/Country:</b>	MARYLAND	
<b>Postal Code:</b>	20892-7980	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Patent Number:	6818213
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(713)993-0084	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	713-439-0108	
<b>Email:</b>	levans@peregrineinc.com	
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<b>ATTORNEY DOCKET NUMBER:</b>	3999.002382	
<b>NAME OF SUBMITTER:</b>	SHELLEY P.M. FUSSEY, PH.D.	
<b>SIGNATURE:</b>	/shelley p.m. fussey, ph.d./	
<b>DATE SIGNED:</b>	01/29/2015	
<b>Total Attachments: 4</b>		
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UNITED STATES PATENT AND TRADEMARK OFFICE

3999.002382

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 05, 2009

PTAS

DIRECTOR, DEITR  
NIH, 6705 ROCKLEDGE DRIVE, SUITE 310  
MSC 7980  
BETHESDA, MD 20892-7980

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/21/2008

REEL/FRAME: 021711/0275  
NUMBER OF PAGES: 2

BRIEF: CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

UNIVERSITY OF TEXAS SW MEDICAL  
CENTER AT DALLAS

DOC DATE: 11/02/2004

ASSIGNEE:

NATIONAL INSTITUTES OF HEALTH  
(NIH), U.S. DEPT. OF HEALTH AND  
HUMAN SERVICES (DHHS), U.S.  
GOVERNMENT  
NIH DIVISION OF EXTRAMURAL  
INVENTIONS AND TECHNOLOGY  
RESOURCES (DEITR)  
6705 ROCKLEDGE DRIVE, SUITE 310,  
MSC 7980  
BETHESDA, MARYLAND 20892-7980

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SERIAL NUMBER: 09351598

FILING DATE: 07/12/1999

PATENT NUMBER: 6818213

ISSUE DATE: 11/16/2004

TITLE: CANCER TREATMENT COMPOSITIONS COMPRISING THERAPEUTIC CONJUGATES  
THAT BIND TO AMINOPHOSPHOLIPIDS

ALVESTA BEARD

ASSIGNMENT SERVICES BRANCH

PUBLIC RECORDS DIVISION

<b>PATENT ASSIGNMENT</b>
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Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	EXECUTIVE ORDER 9424, CONFIRMATORY LICENSE
<b>CONVEYING PARTY DATA</b>	
Name	Execution Date
UNIVERSITY OF TEXAS SW MEDICAL CENTER AT DALLAS	11/02/2004
<b>RECEIVING PARTY DATA</b>	
Name:	National Institutes of Health (NIH), U.S. Dept. of Health and Human Services (DHHS), U.S. Government
Street Address:	NIH Division of Extramural Inventions and Technology Resources (DEITR)
Internal Address:	6705 Rockledge Drive, Suite 310, MSC 7980
City:	Bethesda
State/Country:	MARYLAND
Postal Code:	20892-7980
<b>PROPERTY NUMBERS Total: 1</b>	
Property Type	Number
Application Number:	09351598
<b>CORRESPONDENCE DATA</b>	
Fax Number:	(301)480-0272
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	Director, DEITR
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Address Line 2:	MSC 7980
Address Line 4:	Bethesda, MARYLAND 20892-7980
<b>NAME OF SUBMITTER:</b>	Director, DEITR, NIH
Total Attachments: 1 source=09351598#page1.tif	

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**PATENT**  
**REEL: 021711 FRAME: 0275**

**PATENT**  
**REEL: 034849 FRAME: 0393**

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**License to the United States Government**

Invention Title: "Cancer Treatment Methods Using Therapeutic Conjugates That Bind To Aminophospholipids"

UTSD Docket No.: 0556-1 US  
NIH EIR Ref No.: 0578404-98-0017  
NIH Grant No.: CA74951 and CA54168  
Lead Inventor: Thorpe, et al

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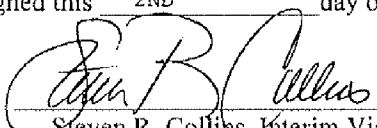
US Application No.: 09/351,598  
Filing Date: 07/12/99  
Patent No.:  
Issue Date:

The invention identified above is a Subject Invention under 35 U.S.C. 200, et seq., and the Standard Patent Rights clause at 37 CFR 401.14 or FAR 52.227-11, which are included among the terms of the above-identified grant/contract award from the Public Health Service/National Institutes of Health. This document is confirmatory of:

1. The nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the invention described in any patent application and in any and all divisions, continuations, and continuations in part, and in any and all patents and re-issues granted thereon throughout the world; and
2. All other rights acquired by the government by reason of the above-identified grant/contract award and the laws and regulations that are applicable to the award.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 2ND day of November, 20 04.

By   
Steven R. Collins, Interim Vice Chancellor and General Counsel

For Board of Regents, The University of Texas System

At 201 West 7<sup>th</sup> Street, Austin, Texas 78701

RECORDED: 10/21/2008

PATENT  
REEL: 021711 FRAME: 0276

RECORDED: 01/29/2015

PATENT  
REEL: 034849 FRAME: 0394