503158559 01/30/2015

PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|----------------------|----------------|
| WUXI APPTEC CO., LTD | 05/07/2014 |

RECEIVING PARTY DATA

| Name: | WUXI APPTEC (BVI) INC. | |
|-------------------|-------------------------------------|--|
| Street Address: | NO. 1 BUILDING, 288 FUTE ZHONG ROAD | |
| Internal Address: | WAIGAOQIAO FREE TRADE ZONE | |
| City: | SHANGHAI | |
| State/Country: | CHINA | |
| Postal Code: | 200131 | |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 14418147 |

CORRESPONDENCE DATA

Fax Number: (732)594-4720

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 732 594 4000

Email: us_efs@merck.com

Correspondent Name: MERCK & CO., INC.

Address Line 1: P. O. BOX 2000

Address Line 2: RY86-2011A

Address Line 4: RAHWAY, NEW JERSEY 07065

| ATTORNEY DOCKET NUMBER: | 23274-US-PCT | |
|-------------------------|-------------------|--|
| NAME OF SUBMITTER: | PAMELA SPALDING | |
| SIGNATURE: | /PAMELA SPALDING/ | |
| DATE SIGNED: | 01/30/2015 | |

Total Attachments: 2

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PATENT 503158559 REEL: 034850 FRAME: 0230

Attorney Docket No.: 23274

WORLDWIDE ASSIGNMENT

WHEREAS,

WuXi Apptec Co., Ltd. (hereinafter **ASSIGNOR**), is a corporation organized under the laws of China, (and is a primary operating subsidiary of WuXi AppTec (BVI) Inc.), having an office at No.1 Building, 288 Fute Zhong Road, WaiGaoQiao Free Trade Zone, Shanghai 200131, People's Republic of China.

WHEREAS **ASSIGNOR** covenants that the rights and property herein conveyed to the invention described in an application for Patents having the Attorney Docket Number indicated above and the following Title of the Invention:

ANTIDIABETIC TRICYCLIC COMPOUNDS

and/or in the following application(s):

- U.S. Provisional Application No. 61/696,572 filed on 09/04/2012;
- U.S. Application No. 13/955,282 having a filing date of 07/31/2013;
- U.S. Application No. <u>14/418,147</u> having a filing date of <u>01/29/2015</u>;
- PCT International Application No. PCT/CN2012/079558 having a filing date of 08/02/2012; and
- PCT International Application No. PCT/US2013/052961 having a filing date of 07/31/2013.

are free and clear of any encumbrance, and that ASSIGNOR has the full right to convey the same as herein expressed.

WHEREAS, WuXi AppTec (BVI) Inc., a British Virgin Islands corporation, having an office at No.1 Building, 288 Fute Zhong Road, Waigaoqiao Free Trade Zone, Shanghai 200131, People's Republic of China, and its successors, assigns and legal representatives (hereinafter **ASSIGNEE**), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, in consideration of the good and valuable consideration provided between the parties, the receipt and sufficiency of which are hereby acknowledged, said **ASSIGNOR** does hereby sell, assign and transfer or confirm the grant and assignment to said **ASSIGNEE** its entire right, title and interest in all countries of the world in and to the invention described in said application for Patents, in and to the right to file patent applications in the name of **ASSIGNEE** or its designee, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said **ASSIGNEE**, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by **ASSIGNOR** if this assignment, sale and transfer or confirmation of the grant and assignment had not been made.

AND **ASSIGNOR** HEREBY covenants and agrees that it will at any time, upon the request and at the expense of **ASSIGNEE**, without further compensation or charge to said **ASSIGNEE**, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of **ASSIGNEE**, including the execution and procurement of any and all further documents evidencing this assignment as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

ASSIGNOR HEREBY authorizes **ASSIGNEE** to insert in this instrument the Application Number(s) and the filling date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.