

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DAVID APELIAN	10/23/2013
THOMAS H. KING	10/16/2013
ZHIMIN GUO	10/16/2013
CLAIRE COESHOTT	10/16/2013
RECEIVING PARTY DATA	
Name:	GLOBEIMMUNE, INC.
Street Address:	1450 INFINITE DRIVE
City:	LOUISVILLE
State/Country:	COLORADO
Postal Code:	80027
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14591592
CORRESPONDENCE DATA	
Fax Number:	(303)863-0223
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	303-863-9700
Email:	adomitrovich@sheridanross.com
Correspondent Name:	SHERIDAN ROSS P.C.
Address Line 1:	1560 BROADWAY
Address Line 2:	SUITE 1200
Address Line 4:	DENVER, COLORADO 80202
ATTORNEY DOCKET NUMBER:	7704-1-PCT-CON-1-DIV
NAME OF SUBMITTER:	ANGELA M. DOMITROVICH
SIGNATURE:	/Angela M. Domitrovich/
DATE SIGNED:	02/02/2015
Total Attachments: 5	
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Assignment

WHEREAS, We, David APELIAN of 3 Old Beach Glen Road, Boonton Township, New Jersey 07005, UNITED STATES, Thomas H. KING, of 4105 Perry Street, Denver, Colorado 80212, UNITED STATES, Zhimin GUO, of 3130 Huron Peak Avenue, Superior, Colorado 80027, UNITED STATES, and Claire COESHOTT, of 875 South Josephine Street, Denver, Colorado 80209, UNITED STATES, have invented a certain new and useful invention entitled, COMPOSITIONS AND METHODS FOR THE TREATMENT OR PREVENTION OF HEPATITIS B VIRUS INFECTION, for which an application has been prepared and filed in the United States Patent Office on March 13, 2013, receiving Application No. 13/798,837 and further identified as Attorney File No. 7704-1-PCT-CON.

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, GLOBEIMMUNE, INC., a Delaware corporation having a place of business at 1450 Infinite Drive, Louisville, Colorado 80027, UNITED STATES, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America, all National Stage applications of the international application and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than GLOBEIMMUNE, INC., and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to GLOBEIMMUNE, INC., its successors, legal representatives and assigns.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 10-16-13

Thomas H. King
Thomas H. King

STATE OF Colorado)
COUNTY OF Boulder)

Before me, a Notary Public in and for said County and State, personally appeared **Thomas H. King** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 16th day of October, 2013.

ANGELA SEBOR
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20104048033
MY COMMISSION EXPIRES NOVEMBER 5, 2014

Angela Sebor
Notary Public

My Commission Expires: 11/05/2014

Date: 10/16/2013

Zhimin Guo
Zhimin Guo

STATE OF Colorado)
COUNTY OF Boulder)

Before me, a Notary Public in and for said County and State, personally appeared **Zhimin Guo** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 16th day of October, 2013.

ANGELA SEBOR
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20104048033
MY COMMISSION EXPIRES NOVEMBER 8, 2014

Angela Sebor
Notary Public

My Commission Expires: 11/05/2014

Date: 10/16/13

C. Coeshott
Claire Coeshott

STATE OF Colorado)
COUNTY OF Boulder)

Before me, a Notary Public in and for said County and State, personally appeared **Claire Coeshott** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 16th day of October, 2013.

Angela Sebor
Notary Public

My Commission Expires: 11/05/2014

ANGELA SEBOR
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20104048033
MY COMMISSION EXPIRES NOVEMBER 5, 2014