

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3212606

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LEE HONIGBERG	04/27/2010
ERIK J. VERNER	03/12/2010
JOSEPH J. BUGGY	03/12/2010
DAVID J. LOURY	03/12/2010
WEI CHEN	03/12/2010
RECEIVING PARTY DATA	
Name:	PHARMACYCLICS, INC.
Street Address:	995 EAST ARQUES AVENUE
City:	SUNNYVALE
State/Country:	CALIFORNIA
Postal Code:	94085
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14605854
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6504939300
Email:	patentdocket@wsgr.com, lkim@wsgr.com
Correspondent Name:	WILSON SONSINI GOODRICH & ROSATI
Address Line 1:	650 PAGE MILL ROAD
Address Line 4:	PALO ALTO, CALIFORNIA 94304
ATTORNEY DOCKET NUMBER:	25922-780.317
NAME OF SUBMITTER:	LORA C. KIM
SIGNATURE:	/Lora Kim/
DATE SIGNED:	02/04/2015
Total Attachments: 4	
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PATENT ASSIGNMENT

Docket Number 25922-780.831

WHEREAS, the undersigned:

- | | | |
|---|--|--|
| 1. HONIGBERG, Lee
965 Esmeralda Ave. #3
San Francisco, CA 94110 | 2. VERNER, Erik J.
1521 Nortre Dame Ave.
Belmont, CA 94002 | 3. BUGGY, Joseph J.
858 Cuesta Drive
Mountain View, CA 94040 |
| 4. LOURY, David J.
7130 Galli Drive
San Jose, CA 95129 | 5. CHEN, Wei
791 Ridgeview Terrace
Fremont, CA 94536 | |

(hereinafter "Inventor(s)), have invented certain new and useful improvements in

INHIBITORS OF BRUTON'S TYROSINE KINASE

- ☐ for which a United States patent application is executed on even date herewith;
- ☒ for which Application No. 12/594,805 was filed on October 5, 2009 in the United States Patent Office;
- ☐ for which Application No. was filed on in the U.S. Receiving Office of the Patent Cooperation Treaty;
- ☐ for which Application No. was filed on in the Patent Office; and/or
- ☐ for which an application was filed upon which a United States Patent issued on , as U.S. Patent No.

(hereinafter "Application(s)").

WHEREAS, Pharmacyclics, Inc., a corporation of the State of Delaware, having a place of business at 995 East Arques Ave., Sunnyvale, CA 94085, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

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4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 4/27/2010 Lee Honigberg
Lee Honigberg

State/Commonwealth of _____)
County of _____)

On _____ before me,

(Name/Title of Notary) personally appeared **Lee Honigberg** (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of _____ that the foregoing paragraph is true and correct.

(Notary Seal)

WITNESS my hand and official seal.

Signature:

Date: May 12, 2010 Erik J. Verner
Erik J. Verner

State/Commonwealth of _____)
County of _____)

On _____ before me,

(Name/Title of Notary) personally appeared **Erik J. Verner** (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of _____ that the foregoing paragraph is true and correct.

(Notary Seal)

WITNESS my hand and official seal.

Signature:

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Date: 3/12/10 Joseph J. Buggy
Joseph J. BuggyState/Commonwealth of _____)
County of _____)

On _____ before me,

(Name/Title of Notary) personally appeared **Joseph J. Buggy** (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the
State/Commonwealth of _____ that the foregoing paragraph is true
and correct.

(Notary Seal)

WITNESS my hand and official seal.

Signature:
_____Date: 12 March 2010 David J. Loury
David J. LouryState/Commonwealth of _____)
County of _____)

On _____ before me,

(Name/Title of Notary) personally appeared **David J. Loury** (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the
State/Commonwealth of _____ that the foregoing paragraph is true
and correct.

(Notary Seal)

WITNESS my hand and official seal.

Signature:

Date: 3/12/2010 Wei Chen
Wei Chen

State/Commonwealth of _____)
County of _____)

On _____ before me,

(Name/Title of Notary) personally
appeared **Wei Chen** (Name of Signer) who proved to me on the basis of satisfactory
evidence to be the person whose name is subscribed to the within instrument and
acknowledged to me that s/he executed the same in his/her authorized capacity, and
that by his/her signature on the instrument the person, or entity upon behalf of which
the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the
State/Commonwealth of _____ that the foregoing paragraph is true
and correct.

(Notary Seal)

WITNESS my hand and official seal.

Signature:

RECEIVED AND AGREED TO BY ASSIGNEE: Pharmacyclics, Inc.

Date: 12 March 2010

By: David Loury
Name: David Loury, Ph.D.
Title: Chief Scientific Officer