

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT3217305

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
FRANK CHANG	08/03/2011
ROBERT SCOTT	08/03/2011
JINYU HUANG	08/03/2011
ARTURO N. MEDINA	08/05/2011
DAWN A. SMITH	08/05/2011
LAURA ANN SANDERS	08/19/2011
JOHN DALLAS PRUITT	08/08/2011
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	NOVARTIS AG
<b>Street Address:</b>	LICHTSTRASSE 35
<b>City:</b>	BASEL
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	4056
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14014631
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	678-415-3749
<b>Email:</b>	ciba.patent@alcon.com
<b>Correspondent Name:</b>	ALCON RESEARCH LTD
<b>Address Line 1:</b>	11460 JOHNS CREEK PARKWAY
<b>Address Line 4:</b>	JOHNS CREEK, GEORGIA 30097
<b>ATTORNEY DOCKET NUMBER:</b>	PAT054298-US-CNT
<b>NAME OF SUBMITTER:</b>	JIAN ZHOU
<b>SIGNATURE:</b>	/Jian Zhou/
<b>DATE SIGNED:</b>	02/09/2015

**Total Attachments: 18**

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**ASSIGNMENT**

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we,

Frank Chang	residing at	8489 Spring Breeze Terrace Suwanee, Georgia 30024
Robert Scott	residing at	1220 Longpointe Pass Alpharetta, Georgia 30005
Jinyu Huang	residing at	917 Summer Forest Drive Suwanee, Georgia 30024
Arturo N. Medina	residing at	4021 McGinnis Ferry Road, #2222 Suwanee, Georgia 30024
Dawn A. Smith	residing at	616 Avenue C, SE Cullman, Alabama 35055
Laura Ann Sanders	residing at	1359 Sanden Ferry Drive Decatur, Georgia 30033
John Dallas Pruitt	residing at	5060 Winding Rose Drive Suwanee, Georgia 30024,

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, (hereinafter referred to as the "**ASSIGNEE**") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled **Amphiphilic Polysiloxane Prepolymers and Uses Thereof** and filed in the United States Patent and Trademark Office on July 29, 2011, and accorded Application Number 13/193,642\* and/or filed in the RO/US on July 29, 2011, and accorded International Patent Application Number PCT/US2011/045809\*, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on July 30, 2010, and accorded Application Number 61/369,109, (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of **ASSIGNEE** or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating

the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said **ASSIGNEE** and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me if this sale, assignment and transfer had not been made.

\*I hereby authorize **ASSIGNEE** and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And I hereby covenant and agree that I will, at any time, (i) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in **ASSIGNEE** or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEE** or their successors, assigns or legal representatives.

This Assignment is effective as from the earliest priority date as stated above.



Executed this 3 day of August, 2011.

Jinyu Huang L.S.  
Jinyu Huang

**ACKNOWLEDGEMENT**

State of Georgia )  
County of Fulton ) s.s.:

On this 3 day of August, 2011, personally appeared before me Jinyu Huang to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that he executed the same of his own free will for the purpose set forth.

(Seal)

Barbara L. Wagner

Notary Public WAGNER  
NOTARY PUBLIC  
GWINNETT County  
State of Georgia  
My Comm. Expires May 16, 2013

Executed this 5th day of August, 2011.

Arturo N. Medina L.S.  
Arturo N. Medina

**ACKNOWLEDGEMENT**

State of Georgia )  
County of Fulton ) s.s.:

On this 5 day of August, 2011, personally appeared before me Arturo N. Medina to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that he executed the same of his own free will for the purpose set forth.

(Seal)

Barbara L. Wagner

Notary Public WAGNER  
NOTARY PUBLIC  
GWINNETT County  
State of Georgia  
My Comm. Expires May 16, 2013







**ASSIGNMENT**

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we,

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Robert Scott	residing at	1220 Longpointe Pass Alpharetta, Georgia 30005
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Laura Ann Sanders	residing at	1359 Sanden Ferry Drive Decatur, Georgia 30033
John Dallas Pruitt	residing at	5060 Winding Rose Drive Suwanee, Georgia 30024,

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, (hereinafter referred to as the "**ASSIGNEE**") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled **Amphiphilic Polysiloxane Prepolymers and Uses Thereof** and filed in the United States Patent and Trademark Office on July 29, 2011, and accorded Application Number 13/193,642\* and/or filed in the RO/US on July 29, 2011, and accorded International Patent Application Number PCT/US2011/045809\*, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on July 30, 2010, and accorded Application Number 61/369,109, (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of **ASSIGNEE** or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating

the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said **ASSIGNEE** and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me if this sale, assignment and transfer had not been made.

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This Assignment is effective as from the earliest priority date as stated above.





Executed this 5<sup>th</sup> day of August, 2011

Dawn A. Smith L.S.  
Dawn A. Smith

**ACKNOWLEDGEMENT**

County of BAY OF PLENTY )  
State of NEW ZEALAND )  
) s.s.:

On this 5<sup>th</sup> day of AUGUST, 2011, personally appeared before me Dawn A. Smith to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that she executed the same of her own free will for the purpose set forth.

(Seal)



J.G. Jordan, JP  
#8020  
WHAKATANE  
Justice of the Peace for New Zealand  
Notary Public

ARCHIVIST  
JL 5-5-2011

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 2011.

\_\_\_\_\_  
Laura Ann Sanders L.S.

**ACKNOWLEDGEMENT**

State of \_\_\_\_\_ )  
) s.s.:

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2011, personally appeared before me Laura Ann Sanders to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that she executed the same of her own free will for the purpose set forth.

(Seal)

\_\_\_\_\_  
Notary Public



**ASSIGNMENT**

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