# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3224414

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
VIRENDER K. SHARMA	01/15/2015
SHAI POLICKER	01/20/2015
PAUL V. GOODE	01/21/2015
BEVIL HOGG	01/16/2015

## **RECEIVING PARTY DATA**

Name:	Endostim, Inc.
Street Address:	4041 Forest Park Avenue
Internal Address:	Suite 220
City:	St. Louis
State/Country:	MISSOURI
Postal Code:	63108

### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	13602184
PCT Number:	US1253576

### **CORRESPONDENCE DATA**

Fax Number: (714)464-5413

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 9497952386

michael@novelip.com Email:

**Correspondent Name:** SONA DALAL

191 WEST SECOND STREET Address Line 1: Address Line 4: SANTA ANA, CALIFORNIA 92701

ATTORNEY DOCKET NUMBER:	ENDO133.ORD_PCT
NAME OF SUBMITTER:	SONA DALAL
SIGNATURE:	/SONA DALAL/
DATE SIGNED:	02/12/2015

**Total Attachments: 5** 

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#### **ASSIGNMENT**

WHEREAS, we, below named, listed and undersigned inventors,

Co-Inventor: Virender K. Sharma, a citizen of the United States of America, residing at 6531 N. 60<sup>th</sup> Street, Paradise Valley, AZ 85253, United States of America

Co-Inventor: Shai Policker, a citizen of the State of Israel, residing at 258 West Clinton Avenue, Tenafly, NJ 07670, United States of America

Co-Inventor: Paul V. Goode, a citizen of the United States of America, residing at 2709 Plantation Drive, Round Rock, TX 78681, United States of America; and

Co-Inventor: Bevil Hogg, a citizen of the United States of America, residing at 18555 Avenida Escalera, Murrieta, CA 92562, United States of America

have invented certain new and useful improvements in an "Endoscopic Lead Implantation Method", for which an application for Letters Patent in the United States has been filed on September 2, 2012 and has been assigned Serial No. 13/602,184 and for which a Patent Cooperation Treaty Application, of the same title, has been filed on September 2, 2012 and has been assigned application number PCT/US12/53576, both of which rely on United States Provisional Patent Application Number 61/530,781, of the same title and filed on September 2, 2011, for priority (collectively all applications are referred to as "Applications"):

AND WHEREAS, EndoStim, Inc., (hereinafter "ASSIGNEE"), a corporation, with its principal place of business at 4041 Forest Park Avenue, Suite 220, St. Louis, MO 63108, desires to acquire the entire right, title, and interest in and to the said Applications:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said Applications, and all provisional applications relating thereto, and all divisions, renewals and continuations or continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all reissues, reexaminations, and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said Applications in any country or countries foreign to the United States, and all Letters Patent which may be granted for said Applications in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said Applications to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said Applications, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reexamination, and reissue applications, make all rightful oaths and declarations and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said Applications in all countries.

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document.	ened, and not the fluintumess, accuracy, or various of that
IN TESTIMONY WHEREOF, Assignor intending to	of be legally bound has hereunto affixed his signature.
This 15th day of January, 2015 Signature	re of Virender K. Sharma
This 15th day of January 2015  Signature  STATE OF AVIZORA  COUNTY OF Manicopa  Signature  Signatur	
On Ol 15 5, before me, Ardrea Ren. Virender K. Sharma who proved to me on the basis of satisfacthe within instrument, and acknowledged to me that he execusignature on the instrument the person, or the entity upon behind the same of the contract of the co	ted the same in his authorized capacity, and that by his
I certify under PENALTY OF PERJURY under the laws of is true and correct.	the above-mentioned jurisdiction that the foregoing paragraph
WITNESS my hand and official seal.	Ashea Kare Dandonet
Notary Public State of Arizona Maricopa County Andrea Rene Danelowitz My Commission Expires 02/09/2015	Notary Signature
In some jurisdictions, a Notary Public or other office comple who signed the document to which this certificate is attac document.	
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This day of, 20	re of Shai Policker
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[SEAL]	Notary Signature

Attorney Docket Number: ENDO133.Ord Application No.: 13/602,184

PATENT Page 2

PATENT Page 2

II.	NTESTIMONY	WHEREOF, Assigno	or intending to be legally bound has hereunto affixed his signature.
This	day of	, 20	Signature of Virender K. Sharma
			Signature of Vitelider K. Sharma
STATE OI	7	} ss.	
COUNTY	OF	j	
the within i signature o	instrument, and a in the instrument	cknowledged to me the person, or the en	, Notary Public, personally appeared asis of satisfactory evidence to be the person whose name is subscribed to that he executed the same in his authorized capacity, and that by his tity upon behalf of which the person acted, executed the instrument.
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who signed document.	TESTIMONY V	to which this certif	office completing this certificate verifies only the identity of the individual ficate is attached, and not the truthfulness, accuracy, or validity of that are intending to be legally bound has hereunto affixed his signature.
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On Policker wh instrument,	ANUARY ZOTH o proved to me o and acknowledge	ed to me that he exec	RHA MORIK, Notary Public, personally appeared Shai actory vidence to be the person whose name is subscribed to the within cuted the same in his authorized capacity, and that by his signature on the of which the person acted, executed the instrument.
I certify und is true and c		F PERJURY under	the laws of the above-mentioned jurisdiction that the foregoing paragraph
WI	TNESS my hand	and official seal.	
[SEAL]	The state of the s	Y. van Mou	Notary Signature

Attorney Docket Number: ENDO133,Ord Application No.: 13/602,184

who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. IN TESTIMONY WHEREOF, Assignor intending to be legally bound has bereunto affixed his signature. STATE OF TEXAS COUNTY OF WILLIAMSDY)  $\mathfrak{D} | \mathfrak{H}$ , before me, ]Notary Public, personally appeared Paul V. Goode who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct. WITNESS my hand and official seal. [SEAL] THANIA OCHOA Notary Signature NOTARY PUBLIC STATE OF TEXAS MY COMM. EXP. 03/04/2018 In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature. day of , 20 Signature of Bevil Hogg STATE OF COUNTY OF , before me, Hogg who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct. WITNESS my hand and official seal. [SEAL]

Notary Signature

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual

Commission # 14493219

My Commission Expires Jun 22, 2018

**RECORDED: 02/12/2015** 

Application No.: 13/602,184
Page 3

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

This	_ day of	, 20						
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