

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT3224414

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
VIRENDER K. SHARMA	01/15/2015
SHAI POLICKER	01/20/2015
PAUL V. GOODE	01/21/2015
BEVIL HOGG	01/16/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	Endostim, Inc.
<b>Street Address:</b>	4041 Forest Park Avenue
<b>Internal Address:</b>	Suite 220
<b>City:</b>	St. Louis
<b>State/Country:</b>	MISSOURI
<b>Postal Code:</b>	63108
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	13602184
<b>PCT Number:</b>	US1253576
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(714)464-5413
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	9497952386
<b>Email:</b>	michael@novelip.com
<b>Correspondent Name:</b>	SONA DALAL
<b>Address Line 1:</b>	191 WEST SECOND STREET
<b>Address Line 4:</b>	SANTA ANA, CALIFORNIA 92701
<b>ATTORNEY DOCKET NUMBER:</b>	ENDO133.ORD_PCT
<b>NAME OF SUBMITTER:</b>	SONA DALAL
<b>SIGNATURE:</b>	/SONA DALAL/
<b>DATE SIGNED:</b>	02/12/2015
<b>Total Attachments: 5</b>	

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**ASSIGNMENT**

WHEREAS, we, below named, listed and undersigned inventors,

**Co-Inventor: Virender K. Sharma, a citizen of the United States of America, residing at 6531 N. 60<sup>th</sup> Street, Paradise Valley, AZ 85253, United States of America**

**Co-Inventor: Shai Policker, a citizen of the State of Israel, residing at 258 West Clinton Avenue, Tenafly, NJ 07670, United States of America**

**Co-Inventor: Paul V. Goode, a citizen of the United States of America, residing at 2709 Plantation Drive, Round Rock, TX 78681, United States of America; and**

**Co-Inventor: Bevil Hogg, a citizen of the United States of America, residing at 18555 Avenida Escalera, Murrieta, CA 92562, United States of America**

have invented certain new and useful improvements in an "Endoscopic Lead Implantation Method", for which an application for Letters Patent in the United States has been filed on September 2, 2012 and has been assigned Serial No. 13/602,184 and for which a Patent Cooperation Treaty Application, of the same title, has been filed on September 2, 2012 and has been assigned application number PCT/US12/53576, both of which rely on United States Provisional Patent Application Number 61/530,781, of the same title and filed on September 2, 2011, for priority (collectively all applications are referred to as "Applications"):

AND WHEREAS, EndoStim, Inc., (hereinafter "ASSIGNEE"), a corporation, with its principal place of business at 4041 Forest Park Avenue, Suite 220, St. Louis, MO 63108, desires to acquire the entire right, title, and interest in and to the said Applications:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said Applications, and all provisional applications relating thereto, and all divisions, renewals and continuations or continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all reissues, reexaminations, and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said Applications in any country or countries foreign to the United States, and all Letters Patent which may be granted for said Applications in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said Applications to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

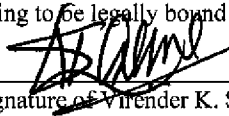
AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said Applications, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reexamination, and reissue applications, make all rightful oaths and declarations and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said Applications in all countries.

**[REST OF PAGE INTENTIONALLY LEFT BLANK]**

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.

This 15<sup>th</sup> day of January, 2015

  
\_\_\_\_\_  
Signature of Virender K. Sharma

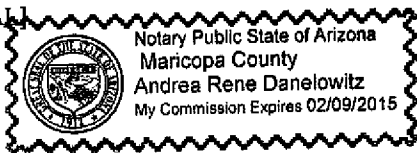
STATE OF Arizona }  
                                  } ss.  
COUNTY OF Maricopa }

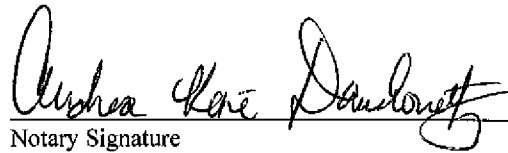
On 01/15/15, before me, Andrea Rene Danelowitz, Notary Public, personally appeared Virender K. Sharma who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



  
\_\_\_\_\_  
Notary Signature

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

\_\_\_\_\_  
Signature of Shai Policker

STATE OF \_\_\_\_\_ }  
                                  } ss.  
COUNTY OF \_\_\_\_\_ }

On \_\_\_\_\_, before me, \_\_\_\_\_, Notary Public, personally appeared Shai Policker who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

\_\_\_\_\_  
Notary Signature

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In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
Signature of Virender K. Sharma

STATE OF \_\_\_\_\_ }  
  } ss.  
COUNTY OF \_\_\_\_\_ }

On \_\_\_\_\_, before me, \_\_\_\_\_, Notary Public, personally appeared Virender K. Sharma who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

\_\_\_\_\_  
Notary Signature

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.

This 20th day of JANUARY, 2015  
Signature of Shai Policker

STATE OF \_\_\_\_\_ }  
  } ss.  
COUNTY OF \_\_\_\_\_ }

On JANUARY 20th 2015 before me, Mr. R.H.V. van Mourik, Notary Public, personally appeared Shai Policker who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



\_\_\_\_\_  
Notary Signature

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound, has hereunto affixed his signature.

This 21 day of January, 2015

Paul V. Goode  
Signature of Paul V. Goode

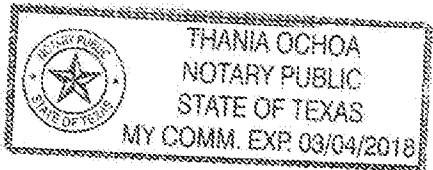
STATE OF Texas  
COUNTY OF Williamson } ss.

On Jan 21<sup>st</sup> 2015, before me, Thania Ochoa, Notary Public, personally appeared Paul V. Goode who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



Thania Ochoa  
Notary Signature

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Signature of Bevil Hogg

STATE OF \_\_\_\_\_ }  
COUNTY OF \_\_\_\_\_ } ss.

On \_\_\_\_\_, before me, \_\_\_\_\_, Notary Public, personally appeared Bevil Hogg who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

\_\_\_\_\_  
Notary Signature

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Signature of Paul V. Goode

STATE OF \_\_\_\_\_ }  
  } ss.  
COUNTY OF \_\_\_\_\_ }

On \_\_\_\_\_, before me, \_\_\_\_\_, Notary Public, personally appeared Paul V. Goode who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

\_\_\_\_\_  
Notary Signature

In some jurisdictions, a Notary Public or other office completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.

This 16th day of Jan, 2015

\_\_\_\_\_  
Signature Bevil Hogg

Digitally signed by Bevil Hogg  
DN: cn=Bevil Hogg,  
o=EndoStim, ou=EndoStim,  
email=bhogg@endostim.com,  
c=US  
Date: 2015.01.16 09:13:34 -06'00'

STATE OF \_\_\_\_\_ }  
  } ss.  
COUNTY OF \_\_\_\_\_ }

On Jan. 16, 2015, before me, Linda Thomas, Notary Public, personally appeared Bevil Hogg who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Linda Thomas  
\_\_\_\_\_  
Notary Signature

