

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3225024

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JAYARAMAN V RAMAN	02/06/2015
SWAROOP K VAKKALANKA	02/12/2015
RECEIVING PARTY DATA	
Name:	RHIZEN PHARMACEUTICALS SA
Street Address:	FRITZ COURVOISIER 40
City:	LA CHAUX-DE-FONDS
State/Country:	SWITZERLAND
Postal Code:	2300
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	14398902
Application Number:	61671956
PCT Number:	IB1353544
CORRESPONDENCE DATA	
Fax Number:	(917)332-3773
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	202 772 5800
Email:	jyeddo@blankrome.com
Correspondent Name:	BLANK ROME LLP (NY) C/O BLANK ROME LLP
Address Line 1:	ATTN: PATENT DOCKETING
Address Line 2:	600 NEW HAMPSHIRE AVENUE, NW
Address Line 4:	WASHINGTON, D.C. 20037
ATTORNEY DOCKET NUMBER:	139095.01320
NAME OF SUBMITTER:	JUDY YEDDO
SIGNATURE:	/Judy Yeddo/
DATE SIGNED:	02/12/2015
Total Attachments: 4	
source=RecordationCoverSheet#page1.tif	
source=Assignment#page1.tif	

source=Assignment#page2.tif

source=Assignment#page3.tif

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Jayaraman V. RAMAN (02/06/2015) and Swaroop K. VAKKALANKA (02/12/2015)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Rhizen Pharmaceuticals SA

Internal Address: _____

Street Address: _____

Fritz Courvoisier 40

City: La Chaux-de-Fonds

State: _____

Country: Switzerland Zip: 2300

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

14/398,902

61/671,956

PCT/IB2013/053544

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Jay P. Lessler
Name: BLANK ROME LLP

Internal Address: _____

Street Address: The Chrysler Building

405 Lexington Ave.

City: New York

State: NY Zip: 10174-0208

Phone Number: (212) 885-5000

Docket Number: 139095.01320

Email Address: patents@blankrome.com

6. Total number of applications and patents involved:

3

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 02-2555

Authorized User Name Jay P. Lessler

9. Signature: /Jay P. Lessler/ February 12, 2015
Signature Date

Jay P. Lessler - 41,151

Name of Person Signing

Total number of pages including
cover
sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT is made by Swaroop Kumar V.S. VAKKALANKA, residing at c/o Rhizen Pharmaceuticals SA, Fritz Courvoisier 40, 2300 La Chaux de Fonds, SWITZERLAND; and **Jayaraman VENKAT RAMAN**, residing 201, Florence Exotica, 14/1, Lane # 3; Arunoday Society, Alkapuri, Vadodara-390003 (hereinafter referred to as Assignors);

WHEREAS, Assignors have invented certain new and useful improvements in **"NOVEL PROCESS FOR PREPARATION OF OPTIONALLY SUBSTITUTED 2-(1-HYDROXY-ALKYL)-CHROMEN-4-ONE DERIVATIVES, AND THEIR USE IN PREPARING PHARMACEUTICALS"** set forth in (1) Indian Patent Application No. 1737/CHE/2012, filed May 4, 2012, (2) U.S. Patent Application No. 61/671,956, filed July 16, 2012, (3) U.S. Patent Application No. 14/398,902, filed November 4, 2014, and (4) PCT Application No. PCT/IB2013/053544 filed May 3, 2013; and

WHEREAS, Rhizen Pharmaceuticals SA, a Corporation organized under and pursuant to the laws of Switzerland and having its principal place of business at Fritz Courvoisier 40, 2300 La Chaux de Fonds, SWITZERLAND (hereinafter referred to as Assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said Applications for Letters Patents **throughout the world**, and in and to any Letters Patent to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) or equivalent thereof and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, their successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and applications for Letters Patents, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in India, the United States (US) and all countries **throughout the world** which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for their own use and benefit and the use and benefit of their successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent

may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, their successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.


AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, their successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, their successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of their successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, their successors, legal representatives and assigns.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Date: 12th February 2015

Signature: 
Swaroop Kumar V.S. VAKKALANKA

Date: 06th February 2015

Signature: 
Jayaraman VENKAT RAMAN