# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3233266

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
TRIKON TECHNOLOGIES, INC.	10/16/2009

# **RECEIVING PARTY DATA**

Name:	SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED
Street Address: C/O SURFACE TECHNOLOGY SYSTEMS PLC	
Internal Address:	IMPERIAL PARK
City:	NEWPORT WALES
State/Country:	GREAT BRITAIN
Postal Code:	NP10 8UJ

# **PROPERTY NUMBERS Total: 5**

Property Type	Number
Patent Number:	5091049
Patent Number:	4990229
Patent Number:	5122251
Patent Number:	5429070
Patent Number:	6110395

## **CORRESPONDENCE DATA**

Fax Number: (571)283-0740

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email: aloomis@volentine.com

**VOLENTINE & WHITT, PLLC Correspondent Name:** 

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Address Line 4: RESTON, VIRGINIA 20190

ATTORNEY DOCKET NUMBER:	WLJ.TTI_SPP
NAME OF SUBMITTER:	ADAM C. VOLENTINE
SIGNATURE:	/ADAM C. VOLENTINE/
DATE SIGNED:	02/19/2015

**Total Attachments: 162** 

**PATENT** REEL: 034987 FRAME: 0764

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# Dated October 16, 2009

AVIZA, INC.

AVIZA TECHNOLOGY, INC.

TRIKON TECHNOLOGIES, INC.

AVIZA TECHNOLOGY INTERNATIONAL, INC.

and

SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED

INTELLECTUAL PROPERTY RIGHTS
ASSIGNMENT AND LICENCE

Addleshaw Goddard

#### Between

- (1) Aviza, Inc., a Delaware corporation;
- (2) Aviza Technology, Inc., a Delaware corporation;
- (3) Trikon Technologies, Inc., a Delaware corporation;
- (4) Aviza Technology International, Inc., a Delaware corporation;
  - (each an Assignor and collectively the Assignors); and
- (5) SPP Process Technology Systems UK Limited a company registered in England and Wales under company number 7037852 with its registered office at c/o Surface Technology Systems pic, Imperial Park, Newport Wales, NP10 8UJ (the Assignee).

### Whereas

- (A) Pursuant to an asset purchase agreement dated 13 August 2009 (Global APA), the Assignors (together with Aviza Technology Limited) agreed to sell to Sumitomo Precision Products Co., Ltd. (a company organised under the laws of Japan) certain businesses operated by the Assignors and assets relating thereto, including certain intellectual property rights used in those businesses. Under clause 13.9 of the Global APA, Sumitomo Precision Products Co., Ltd. was entitled to assign all or any of its rights under the Global APA to its group companies. The Assignee is such a group company.
- (B) Each Assignor has therefore agreed to enter into this Agreement to effect the transfer to the Assignee of its right, title and interest in and to the Assigned Intellectual Property, and to license to the Assignee the Licensed Intellectual Property, in each case upon the terms of this Agreement.

## It is agreed

- 1 Definitions
- 1.1 In this Agreement (and its Recitals):

Acquired Businesses shall have the meaning given to it in the Global APA

Assigned Intellectual Property means all Intellectual Property, other than the Specific Intellectual Property, owned by the Assignors (or any of them) that is used or held for use primarily in all or any of the Acquired Businesses

Assigned Rights means the rights to be assigned to the Assignee pursuant to clause 2

Closing Date shall have the meaning given to it in the Global APA

Global APA shall have the meaning set forth in the recitals to this Agreement

Intellectual Property means any and all (i) trade names, trademarks, service marks and all registrations and applications for any of the foregoing; (ii) goodwill associated with the foregoing; (iii) works of authorship, including mask works and software, all copyrights related thereto and all registrations and applications therefor; (iv) inventions, improvements,

formulations, discoveries, designs and industrial models, and all United States and foreign patent rights covering or related thereto, all registrations and applications therefor, and all reissues, divisions, continuations-in-part, re-examinations and extensions of such patents and patent applications, and (v) unregistered intellectual property, including know-how, trade secrets, processes, technology, discoveries, unpatented inventions and designs, formulae, procedures and other intellectual property, documentation relating to any of the foregoing, and the right to apply for patent, design or other protection therefor anywhere in the world

Licensed Intellectual Property means all Intellectual Property owned by the Assignors (or any of them) that is used or held for use in all or any of the Acquired Businesses, but that is not Assigned Intellectual Property because it is not "primarily" used or held for use in connection therewith

Specific Intellectual Property means the patents, patent applications, registered trade marks, trade mark applications and registered copyright listed and described in Schedule 2.1(b) and Schedule 2.1(l) to the Global APA and assigned to the Assignee on or about the date hereof under a separate assignment agreement

1.2 The headings contained in this Agreement are for reference purposes only and shall not affect in any way the meaning or interpretation of this Agreement. Whenever the words "included," "includes" or "including" are used in this Agreement, they shall be deemed to be followed by the phrase "without limitation," and the included items shall be subject to the provision in which they appear whether or not they are within the scope of the preceding more general term(s).

## 2 Assignment

- 2.1 In consideration of the mutual covenants and benefits set out in the Global APA, and with effect on and from the Closing Date, each Assignor hereby assigns to the Assignee all that Assignor's right, title and interest in and to the Assigned Intellectual Property, in each case:
  - (a) free from any lien, charge or encumbrance and to hold unto the Assignee absolutely,
  - (b) including the right to sue for damages and other remedies in respect of any past, current and/or future infringement or misappropriation of the Assigned Intellectual Property and to retain any damages and other relief obtained as a result of any such action; and
  - (c) including all statutory and common law rights attaching thereto and including the right to apply for, prosecute and obtain registered protection throughout the world with respect to the Assigned Intellectual Property with the intent that such protection shall be in the name of and shall vest in the Assignee absolutely.

## 3 Licence

Each Assignor hereby grants to the Assignee a non-exclusive, worldwide, irrevocable, perpetual, freely transferable and sub-licensable, royalty-free license under and to all Licensed Intellectual Property, to permit the Acquired Businesses to make, have made, use, have used, import, export, offer to sell and sell products and services.

### 4 Further Assurance

4.1 If the validity or ownership of the Assigned Rights, and/or any registrations granted pursuant to the Assigned Rights, are challenged on any point upon which any Assignor has or could

obtain information or could give advice which may assist in defeating or reducing the effect of such challenge, such Assignor shall supply or procure the supply of such information and/or advice without unreasonable delay.

4.2 At the request of the Assignee, each Assignor shall execute all documents and do all acts and things as may be necessary or proper to enable the Assignee to become registered as proprietor of the Assigned Rights at any relevant registry, to confer on the Assignee all rights of action in respect of any claim for infringement or passing off of any kind by any third party with respect thereto and to otherwise enable the Assignee to obtain the full benefit of the rights hereby assigned.

#### 5 General

- 5.1 Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.
- 6.2 Governing law and jurisdiction: Section 13.6 of the Global APA, which deals with governing law, consent to jurisdiction and venue, and service of process, and the other sections of and definitions within the Global APA to which Section 13.6 refers, shall apply to this Agreement mutatis mutandis, and, for the avoidance of doubt, the addresses for service of notice referred to in Section 13.6 shall be, for any Assignor, the addresses stated for Seller Parties in Section 13.1 of the Global APA, and for the Assignee, the addresses stated for the Buyer in Section 13.1 of the Global APA.
- 5.3 Severability: The parties intend each provision of this Agreement to be severable and distinct from the others. If a provision of this Agreement is held to be illegal, invalid or unenforceable, in whole or in part, the parties intend that the legality, validity and enforceability of the remainder of this Agreement shall not be affected.

Signed by the parties or their duly authorised representatives on the date of this Agreement.

Signed for and on behalf of Aviza, Inc. by a duly authorised signatory

rateur Connor

Patrick C. O'Connor Chief Financial Officer

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza, Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of **Aviza Technology, Inc.** by a duly authorised signatory

Patrick C. O'Connor Chief Financial Officer

)

)

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza, Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of

Trikon Technologies, Inc. by a duly authorised signatory

Jerauld & Cutini Chief Executive Officer

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza, Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology International, Inc. by a duly authorised signatory

Jerayle J. Cutini Chief Executive Officer

Signature Page to intellectual Property Rights Assignment and Licence between Aviza, Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of SPP Process	)	Jedane By
Technology Systems UK Limited by a duly	)	and promise
authorised signatory	)	RICHARD REES, DIFFECTIVE

# Dated October 16, 2009

AVIZA TECHNOLOGY SAS

AVIZA TECHNOLOGY KOREA CO., LTD

AVIZA TECHNOLOGY SDN. BHD.

AVIZA TECHNOLOGY PTE. LTD.

AVIZA TECHNOLOGY K.K.

and

SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED

INTELLECTUAL PROPERTY RIGHTS
ASSIGNMENT AND LICENCE

Addleshaw Goddard

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#### Between

- (1) Aviza Technology SAS, a company organized under the laws of France;
- (2) Aviza Technology Korea Co., Ltd., a company organized under the laws of Korea;
- (3) Aviza Technology Sdn. Bhd. (627540-P), a company organized under the laws of Malaysia;
- (4) Aviza Technology Pte. Ltd., a company organized under the laws of Singapore;
- (5) Aviza Technology K.K., a company organized under the laws of Japan,
  - (each an Assignor and collectively the Assignors); and
- (6) SPP Process Technology Systems UK Limited a company registered in England and Wales under company number 7037852 with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport Wales, NP10 8UJ (the Assignee).

## Whereas

- (A) Pursuant to an asset purchase agreement dated 13 August 2009 (Global APA), Aviza Technology, Inc., and others (the Sellers) agreed to sell to Sumitomo Precision Products Co., Ltd. (a company organised under the laws of Japan) (SPP) certain businesses operated by the Sellers, and assets relating thereto, including certain intellectual property rights used in those businesses. The Assignee is a group company of SPP.
- (B) Each Assignor has entered or will enter into purchase and sale agreements (Subsidiary Purchase Agreements) pursuant to which, on the terms and subject to the conditions set forth therein, such Assignor will sell all of their right, title and interest in and to certain assets that are held by them and related to the businesses referred to above, including certain intellectual property rights used in those businesses.
- (C) Each Assignor has therefore agreed to enter into this Agreement to effect the transfer to the Assignee of its right, title and interest in and to the Assigned Intellectual Property, and to license to the Assignee the Licensed Intellectual Property, in each case upon the terms of this Agreement.

### It is agreed

- 1 Definitions
- 1.1 In this Agreement (and its Recitals):

Acquired Businesses shall have the meaning given to it in the Global APA

Assigned Intellectual Property means all Intellectual Property, other than the Specific Intellectual Property, owned by the Assignors (or any of them) that is used or held for use primarily in all or any of the Acquired Businesses

Assigned Rights means the rights to be assigned to the Assignee pursuant to clause 2

Closing Date shall have the meaning given to it in the Global APA

Global APA shall have the meaning set forth in the recitals to this Agreement

Intellectual Property means any and all (i) trade names, trademarks, service marks and all registrations and applications for any of the foregoing; (ii) goodwill associated with the foregoing; (iii) works of authorship, including mask works and software, all copyrights related thereto and all registrations and applications therefor; (iv) inventions, improvements, formulations, discoveries, designs and industrial models, and all United States and foreign patent rights covering or related thereto, all registrations and applications therefor, and all reissues, divisions, continuations-in-part, re-examinations and extensions of such patents and patent applications, and (v) unregistered intellectual property, including know-how, trade secrets, processes, technology, discoveries, unpatented inventions and designs, formulae, procedures and other intellectual property, documentation relating to any of the foregoing, and the right to apply for patent, design or other protection therefor anywhere in the world

Licensed Intellectual Property means all Intellectual Property owned by the Assignors (or any of them) that is used or held for use in all or any of the Acquired Businesses, but that is not Assigned Intellectual Property because it is not "primarily" used or held for use in connection therewith

Specific Intellectual Property means the patents, patent applications, registered trade marks, trade mark applications and registered copyright listed and described in Schedule 2.1(b) and Schedule 2.1(i) to the Global APA and assigned to the Assignee on or about the date hereof under a separate assignment agreement

Subsidiary Purchase Agreements shall have the meaning set forth in the recitals to this Agreement

1.2 The headings contained in this Agreement are for reference purposes only and shall not affect in any way the meaning or interpretation of this Agreement. Whenever the words "included," "includes" or "including" are used in this Agreement, they shall be deemed to be followed by the phrase "without limitation," and the included items shall be subject to the provision in which they appear whether or not they are within the scope of the preceding more general term(s).

## 2 Assignment

- 2.1 In consideration of the mutual covenants and benefits set out in the relevant Subsidiary Purchase Agreement, and with effect on and from the Closing Date, each Assignor hereby assigns to the Assignee all that Assignor's right, title and interest in and to the Assigned Intellectual Property, in each case:
  - (a) free from any lien, charge or encumbrance and to hold unto the Assignee absolutely,
  - (b) including the right to sue for damages and other remedies in respect of any past, current and/or future infringement or misappropriation of the Assigned Intellectual Property and to retain any damages and other relief obtained as a result of any such action; and
  - (c) including all statutory and common law rights attaching thereto and including the right to apply for, prosecute and obtain registered protection throughout the world with respect to the Assigned Intellectual Property with the intent that such protection shall be in the name of and shall vest in the Assignee absolutely.

#### 3 Licence

Each Assignor hereby grants to the Assignee a non-exclusive, worldwide, irrevocable, perpetual, freely transferable and sub-licensable, royalty-free license under and to all Licensed Intellectual Property, to permit the Acquired Businesses to make, have made, use, have used, import, export, offer to sell and sell products and services.

### 4 Further Assurance

- 4.1 If the validity or ownership of the Assigned Rights, and/or any registrations granted pursuant to the Assigned Rights, are challenged on any point upon which any Assignor has or could obtain information or could give advice which may assist in defeating or reducing the effect of such challenge, such Assignor shall supply or procure the supply of such information and/or advice without unreasonable delay.
- 4.2 At the request of the Assignee, each Assignor shall execute all documents and do all acts and things as may be necessary or proper to enable the Assignee to become registered as proprietor of the Assigned Rights at any relevant registry, to confer on the Assignee all rights of action in respect of any claim for infringement or passing off of any kind by any third party with respect thereto and to otherwise enable the Assignee to obtain the full benefit of the rights hereby assigned.

#### 5 General

- 5.1 Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.
- Governing law and jurisdiction: Section 13.6 of the Global APA, which deals with governing law, consent to jurisdiction and venue, and service of process, and the other sections of and definitions within the Global APA to which Section 13.6 refers, shall apply to this Agreement mutatis mutandis, and, for the avoidance of doubt, the addresses for service of notice referred to in Section 13.6 shall be, for any Assignor, the addresses stated for Seller Parties in Section 13.1 of the Global APA, and for the Assignee, the addresses stated for the Buyer in Section 13.1 of the Global APA.
- 5.3 Severability: The parties intend each provision of this Agreement to be severable and distinct from the others. If a provision of this Agreement is held to be illegal, invalid or unenforceable, in whole or in part, the parties intend that the legality, validity and enforceability of the remainder of this Agreement shall not be affected.

Signed by the parties or their duly authorised representatives on the date of this Agreement.

Signed for and on behalf of Aviza Technology SAS by a duly authorised signatory

Andrew J. Noakes

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza Technology S.A.S., inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of
Aviza Technology Korea Co., Ltd. by a duly
authorised signatory

Aviza Technology Korea Co., Ltd. by a duly

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza Technology S.A.S., Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology Sdn. Bhd. by a duly authorised signatory CONNECTION CONNO

Patrick C. O'Connor

) Director

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza Technology S.A.S., Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology Pte. Ltd. by a duly authorised signatory

)

Patrick C. O'Connor

Director

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza Technology S.A.S., Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology K.K. by a duly authorised signatory

Patrick C. O'Connor

Director

)

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza Technology S.A.S., Inc. (and others) and SPP Process Technology Systems UK Limited

Signed for and on behalf of SPP Process

Technology Systems UK Limited by a duly authorised signatory

) RICHARS RES DIRECTME

Dated October 16, 2009

TRIKON EQUIPMENTS LIMITED

TRIKON TECHNOLOGIES LIMITED

AVIZA EUROPE LIMITED

AVIZA TECHNOLOGY (SCOTLAND) LIMITED

AVIZA TECHNOLOGY (CAYMAN) LTD.

AVIZA TECHNOLOGY SUPPLIES LIMITED

TRIKON TECHNOLOGIES SARL

AVIZA TECHNOLOGY (ISRAEL) LIMITED

AVIZA TECHNOLOGY B.V.

and

SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED

INTELLECTUAL PROPERTY RIGHTS
ASSIGNMENT AND LICENCE

Addleshaw Goddard

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5	General	

- to in Section 13.6 shall be, for any Assignor, the addresses stated for Seller Parties in Section 13.1 of the Global APA, and for the Assignee, the addresses stated for the Buyer in Section 13.1 of the Global APA.
- 5.3 Severability: The parties intend each provision of this Agreement to be severable and distinct from the others. If a provision of this Agreement is held to be illegal, invalid or unenforceable, in whole or in part, the parties intend that the legality, validity and enforceability of the remainder of this Agreement shall not be affected.

Signed by the parties or their duly authorised representatives on the date of this Agreement.

Signed for and on behalf of Trikon Equipments Limited by a duly authorised signatory Talmile Unnor

) Patrick C. O'Connor

Director

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Trikon Technologies Limited by a duly authorised signatory

)

Patrick C. O'Connor

Director

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Europe Limited by a duly authorised signatory

Patrick C. O'Connor

Director

)

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology (Scotland) Limited by a duly authorised signatory

Patrick C. O'Connor

Director

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology (Cayman) LTD. by a duly authorised signatory

Patrick C. O'Connor

Director

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology Supplies Limited by a duly authorised signatory

Patrick C. O'Connor

Director

)

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Trikon )
Technologies SARL by a duly authorised ) Andrew J. Noakes signatory

Signature Page to intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology (Israel) Limited by a duly authorised signatory

Patrick C. O'Connor

Director

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of Aviza Technology **B.V.** by a duly authorised signatory

Patrick C. O'Connor

Director

)

Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Signed for and on behalf of SPP Process

Technology Systems UK Limited by a duly
authorised signatory

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Signature Page to Intellectual Property Rights Assignment and Licence between Trikon Equipments Limited and others and SPP Process Technology Systems UK Limited

Dated October 16, 2009

AVIZA TECHNOLOGY UK LIMITED

AVIZA TECHNOLOGY LIMITED

INTELLECTUAL PROPERTY RIGHTS
ASSIGNMENT

Addleshaw Goddard

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#### Between

- (1) AVIZA TECHNOLOGY UK LIMITED (formerly known as Aviza Technology Limited) a company registered in England and Wales under company number 01373344 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent, NP18 2TA (the Assignor); and
- (2) AVIZA TECHNOLOGY LIMITED (formerly known as Aviza Technology UK Limited) a company registered in England and Wales under company number 07000106 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent, NP18 2TA (the Assignee).

#### Whereas

- (A) Pursuant to a business purchase agreement dated 4 September 2009 (BPA), the Assignor assigned to the Assignee (with effect from 00:01 London time on 30 August 2009) ownership of certain businesses carried on by the Assignor and assets relating thereto.
- (B) The Assignor has agreed to assign to the Assignee all its right, title and interest in and to the Intellectual Property Rights upon the terms of this Agreement.

#### It is agreed

#### 1 Definitions

1.1 In this Agreement (and its Recitals):

Acquired Business means the entire business, as carried on by the Assignor immediately prior to the date of the BPA including, without limitation, the business of the sale of complete systems (whether new, refurbished, remanufactured, reconfigured or otherwise), their service, and the sale of parts, spares and upgrades businesses for the business of batch thermal products and technologies, atmospheric-pressure chemical vapour deposition products and technologies, physical vapour deposition including broad ion beam deposition products and technologies chemical vapour deposition products and technologies, and plasma etch products and technologies, but excluding always the atomic layer deposition (ALD) line of business other than the ALD service, supplies, parts and spares business;

Assigned Rights means the rights to be assigned to the Assignee pursuant to clause 2

BPA shall have the meaning given to it in the recitals to this Agreement

Intellectual Property means all patents, trademarks, service marks, rights (registered and unregistered) in any designs; trade or business names; copyright (including rights in computer software); topography rights; rights in know-how; rights in secret formulae and processes; rights in lists of suppliers and customers and other confidential and proprietary knowledge and information; rights in inventions; rights protecting goodwill and reputation; rights to sue for passing off; database rights; and all rights and forms of protection of a similar nature to any of the foregoing or having equivalent effect in each case whether registered or unregistered and including applications for registration of any of the foregoing and the right to apply for registration or protection of any of the foregoing subsisting at any time anywhere in the world and all rights under licences and consents in respect of any of the rights and forms of protection mentioned in this definition;

**Intellectual Property Rights** means all Intellectual Property owned or used by the Assignor as at the date of the BPA in relation to the Acquired Business, including all rights and interest of the Assignor in and to the Intellectual Property listed and described in Schedule 2.1(b) of, and the patents listed in Schedule 2.1(l) of, the SPP Purchase Agreement in each case including the right to sue for damages and other remedies in respect of any past, current and/or future infringement or misuse of such rights and to retain any damages and other relief obtained as a result of any such action

Specific Intellectual Property means the patents, patent applications, registered trade marks, trade mark applications and/or registered copyright (if any) assigned to the Assignee by the Assignor on or about the date hereof and expressly listed in an assignment agreement

SPP Purchase Agreement means the asset purchase agreement by and among Sumitomo Precision Products Co., Ltd, Aviza Technology, Inc., Aviza Inc., Trikon Technologies, Inc., the Assignor and Aviza Technology International, Inc., dated 13 August 2009

Transfer Date means 00:01 London time on 30 August 2009.

1.2 The headings contained in this Agreement are for reference purposes only and shall not affect in any way the meaning or interpretation of this Agreement. In this Agreement the words other, includes, including and in particular do not limit the generality of any preceding words and any words which follow them will not be construed as being limited in scope to the same class as the preceding words where a wider construction is possible.

#### 2 Assignment

- 2.1 The Assignor hereby assigns to the Assignee all the Assignor's right, title and interest in and to the Intellectual Property Rights, in each case:
  - free from any lien, charge or encumbrance and to hold unto the Assignee absolutely;
     and
  - (b) including all statutory and common law rights attaching thereto and including the right to apply for, prosecute and obtain registered protection throughout the world with respect to the Intellectual Property Rights with the intent that such protection shall be in the name of and shall vest in the Assignee absolutely.
- 2.2 Notwithstanding the date of signature of this Agreement, the parties hereto agree that the assignment of Assigned Rights shall be deemed to take effect for economic and accounting purposes on the Transfer Date.

#### 3 Further Assurance

- 3.1 If the validity or ownership of the Assigned Rights, and/or any registrations granted pursuant to the Assigned Rights, are challenged on any point upon which the Assignor has or could obtain information or could give advice which may assist in defeating or reducing the effect of such challenge, the Assignor shall supply or procure the supply of such information and/or advice without unreasonable delay.
- 3.2 At the request of the Assignee the Assignor shall execute all documents and do all acts and things as may be necessary or proper to enable the Assignee to become registered as proprietor of the Assigned Rights at any relevant registry, to confer on the Assignee all rights of action in respect of any claim for infringement or passing off of any kind by any third party

with respect thereto and to otherwise enable the Assignee to obtain the full benefit of the rights hereby assigned.

#### 4 General

- 4.1 Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.
- 4.2 Governing law and jurisdiction: This Agreement shall be governed by, and construed in accordance with, English law. In relation to any legal action or proceedings to enforce this Agreement or arising out of or in connection with this Agreement (Proceedings) each of the parties irrevocably submits to the exclusive jurisdiction of the English courts and waives any objection to Proceedings in such courts on the grounds of venue or on the grounds that the Proceedings have been brought in an inappropriate forum.
- 4.3 Severability: The parties intend each provision of this Agreement to be severable and distinct from the others. If a provision of this Agreement is held to be illegal, invalid or unenforceable, in whole or in part, the parties intend that the legality, validity and enforceability of the remainder of this Agreement shall not be affected.

Executed as a deed by the parties or their duly authorised representatives on the date of this Agreement.

Executed as a deed by	)	B445-49644034404444, *******************************
Aviza Technology UK Limited	)	Jerauld J. Cutini
acting by two directors or by a director and its	)	Director
secretary		3.00
	)	Tabuucclamo
	)	Patrick C. O'Connor
	)	Director

Signature Page to Intellectual Property Rights Assignment between Aviza Technology UK Limited and Aviza Technology Limited

Executed as a deed by

Aviza Technology Limited

acting by two directors or by a director and its secretary

Director

Patrick C. O'Connor

Director

Signature Page to Intellectual Property Rights Assignment between Aviza Technology UK Limited and Aviza Technology Limited

# Dated October 16, 2009

# AVIZA TECHNOLOGY UK LIMITED SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED

INTELLECTUAL PROPERTY RIGHTS
ASSIGNMENT AND LICENCE

Addleshaw Goddard

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#### Between

- (1) Aviza Technology UK Limited (formerly known as Aviza Technology Limited) a company registered in England and Wales under company number 01373344 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent, NP18 2TA; (the Assignor); and
- (2) SPP Process Technology Systems UK Limited a company registered in England and Wales under company number 7037852 with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport Wales, NP10 8UJ (the Assignee).

#### Whereas

- (A) Pursuant to an asset purchase agreement dated 13 August 2009 (Global APA), Aviza Technology, Inc., and others, including the Assignor (the Sellers) agreed to sell to Sumitomo Precision Products Co., Ltd. (a company organised under the laws of Japan) certain businesses operated by the Sellers and assets relating thereto, including certain intellectual property rights used in those businesses. Under clause 13.9 of the Global APA, Sumitomo Precision Products Co., Ltd. was entitled to assign all or any of its rights under the Global APA to its group companies. The Assignee is such a group company.
- (B) As part of the transaction contemplated by the Global APA, the Assignor has assigned certain of the intellectual property rights described above to Aviza Technology Limited (UK company number 07000106) pursuant to the business purchase agreement between those parties dated 4 September 2009.
- (C) The Assignor has therefore agreed to enter into this Agreement to effect the transfer to the Assignee of its right, title and interest in and to the Assigned Intellectual Property, and to license to the Assignee the Licensed Intellectual Property, in each case upon the terms of this Agreement.

#### It is agreed

- 1 Definitions
- 1.1 In this Agreement (and its Recitals):

Acquired Businesses shall have the meaning given to it in the Global APA

Assigned Intellectual Property means all Intellectual Property, other than the Specific Intellectual Property and Hived Down Intellectual Property, owned by the Assignor that is used or held for use primarily in all or any of the Acquired Businesses

Assigned Rights means the rights to be assigned to the Assignee pursuant to clause 2

Closing Date shall have the meaning given to it in the Global APA

Global APA shall have the meaning set forth in the recitals to this Agreement

**Hived Down Intellectual Property** means all Intellectual Property assigned by the Assignor to Aviza Technology Limited (UK company number 07000106) pursuant to the business purchase agreement between those parties dated 4 September 2009

Intellectual Property means any and all (i) trade names, trademarks, service marks and all registrations and applications for any of the foregoing; (ii) goodwill associated with the foregoing; (iii) works of authorship, including mask works and software, all copyrights related thereto and all registrations and applications therefor; (iv) inventions, improvements, formulations, discoveries, designs and industrial models, and all United States and foreign patent rights covering or related thereto, all registrations and applications therefor, and all reissues, divisions, continuations-in-part, re-examinations and extensions of such patents and patent applications, and (v) unregistered intellectual property, including know-how, trade secrets, processes, technology, discoveries, unpatented inventions and designs, formulae, procedures and other intellectual property, documentation relating to any of the foregoing, and the right to apply for patent, design or other protection therefor anywhere in the world

Licensed Intellectual Property means all Intellectual Property owned by Assignor that is used or held for use in all or any of the Acquired Businesses, but that is not Assigned Intellectual Property because it is not "primarily" used or held for use in connection therewith

Specific Intellectual Property means the patents, patent applications, registered trade marks, trade mark applications and registered copyright listed and described in Schedule 2.1(b) and Schedule 2.1(l) to the Global APA and assigned to the Assignee or Aviza Technology Limited (UK company number 07000106) on or about the date hereof under a separate assignment agreement

1.2 The headings contained in this Agreement are for reference purposes only and shall not affect in any way the meaning or interpretation of this Agreement. Whenever the words "included," "includes" or "including" are used in this Agreement, they shall be deemed to be followed by the phrase "without limitation," and the included items shall be subject to the provision in which they appear whether or not they are within the scope of the preceding more general term(s).

### 2 Assignment

- 2.1 In consideration of the mutual covenants and benefits set out in the Global APA, and with effect on and from the Closing Date, the Assignor hereby assigns to the Assignee all the Assignor's right, title and interest in and to the Assigned Intellectual Property, in each case:
  - (a) free from any lien, charge or encumbrance and to hold unto the Assignee absolutely,
  - (b) including the right to sue for damages and other remedies in respect of any past, current and/or future infringement or misappropriation of the Assigned Intellectual Property and to retain any damages and other relief obtained as a result of any such action; and
  - (c) including all statutory and common law rights attaching thereto and including the right to apply for, prosecute and obtain registered protection throughout the world with respect to the Assigned Intellectual Property with the intent that such protection shall be in the name of and shall vest in the Assignee absolutely.

#### 3 Licence

The Assignor hereby grants to the Assignee a non-exclusive, worldwide, irrevocable, perpetual, freely transferable and sub-licensable, royalty-free license under and to all Licensed Intellectual Property, to permit the Acquired Businesses to make, have made, use, have used, import, export, offer to sell and sell products and services.

#### 4 Further Assurance

- 4.1 If the validity or ownership of the Assigned Rights, and/or any registrations granted pursuant to the Assigned Rights, are challenged on any point upon which the Assignor has or could obtain information or could give advice which may assist in defeating or reducing the effect of such challenge, the Assignor shall supply or procure the supply of such information and/or advice without unreasonable delay.
- 4.2 At the request of the Assignee, the Assignor shall execute all documents and do all acts and things as may be necessary or proper to enable the Assignee to become registered as proprietor of the Assigned Rights at any relevant registry, to confer on the Assignee all rights of action in respect of any claim for infringement or passing off of any kind by any third party with respect thereto and to otherwise enable the Assignee to obtain the full benefit of the rights hereby assigned.

#### 5 General

- 5.1 Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.
- 5.2 Governing law and jurisdiction: Section 13.6 of the Global APA, which deals with governing law, consent to jurisdiction and venue, and service of process, and the other sections of and definitions within the Global APA to which Section 13.6 refers, shall apply to this Agreement mutatis mutandis, and, for the avoidance of doubt, the addresses for service of notice referred to in Section 13.6 shall be, for the Assignor, the addresses stated for Seller Parties in Section 13.1 of the Global APA, and for the Assignee, the addresses stated for the Buyer in Section 13.1 of the Global APA.
- 5.3 Severability: The parties intend each provision of this Agreement to be severable and distinct from the others. If a provision of this Agreement is held to be illegal, invalid or unenforceable, in whole or in part, the parties intend that the legality, validity and enforceability of the remainder of this Agreement shall not be affected.

Signed by the parties or their duly authorised representatives on the date of this Agreement.

Signed for and on behalf of Aviza Technology UK Limited by a duly authorised signatory , ratrul (Donno!

) Patrick C. O'Connor

) Director

Signature Page to Intellectual Property Rights Assignment and Licence between Aviza Technology UK Limited and SPP Process Technology Systems UK Limited

Signed for and on behalf of SPP Process	)	Socheron Le
Technology Systems UK Limited by a duly	ý	
authorised signatory	)	RICHARD REES DIRECTOR

Date: 2009

#### Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY UK LIMITED (formerly known inter alia as Aviza Technology Limited, Trikon Technologies Limited and Electrotech Limited) a company registered in England and Wales under company number 01373344 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (2) "The Assignee": AVIZA TECHNOLOGY LIMITED (formerly known as Aviza Technology UK Limited) a company registered in England and Wales under company number 07000106 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom

#### Recitals:

- (A) The Assignor is the beneficial owner of patents, patent applications, trade marks, trade mark applications, copyright registrations, and domain names listed in the Schedules hereto (hereinafter called the "IP Rights").
- (B) The Assignor has agreed to assign the IP Rights to the Assignee for the consideration hereinafter mentioned.

#### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor receipt of which is hereby acknowledged:

- 1. The Assignor HEREBY ASSIGNS with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in and to the IP Rights or any derivatives thereof (such as divisional patent applications and continuation patent applications) together with all rights and powers arising from or accrued from the same including the right to sue for damages and other remedies in respect of any infringement of such rights whether committed before or after the date of this Agreement;
  - 1.2. the goodwill attaching to the trade marks (and trade mark applications), the goodwill of the business in which the trade marks (and trade mark applications) have been used by the

Assignor, together with any unregistered trade marks and associated goodwill;

- 1.3. the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the inventions claimed in the IP Rights including the right to claim priority therefrom.
- The Assignor further covenants with the Assignee that at the request of the Assignee it will at all times after the date of this Assignment do all such acts and execute all such documents as may reasonably be necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the IP Rights.
- 3. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

## SCHEDULE 1

## **US Copyright Registrations**

<u>Date</u>	<u>Title</u>	Copyright No.
1/31/86	WF-988 Microprocessor based temperature controller	TX-1-763-147
1/31/86	WJ-989 Watkins-Johnson furnace monitoring system	TX-1-763-148
<u>Date</u>	<u>Title</u>	Copyright No.
4/13/87 5/11/89	WJ-999 CVD Series Watkins-Johnson Scotts Valley Plant: our formula for excellence is the quality improvement process	TX-2-048-882 TX-2-564-897
10/10/89	Watkins-Johnson furnace monitoring system: WJ-989	TX-2-664-119
11/13/89	Watkins-Johnson Scotts Valley Plant: our formula for excellence is the quality improvement process	TX-2-692-946
5/21/90	Watkins-Johnson CVD Series	TX-2-853-609
1/11/91	Watkins-Johnson Company Scotts Valley Plant employee recognition	TX-2-994-320
6/25/99	WJ-1500APCVD System : continuous	TX-5-000-558

2

# 200mm water processing

# SCHEDULE 2

## Domain Names

- 1. aviza.be
- aviza.biz
- 3. aviza.cn
- 4. aviza:co.nz
- 5. aviza.co.uk
- 6. aviza.com.tw
- 7. aviza.de
- 8. aviza.info
- 9. aviza.net
- 10. aviza.tv
- 11. aviza.tw
- 12. aviza.us13. avizainc.com
- 14. avizainc.net
- 15. avizasupport.com
- 16. avizasupport.net
- 17. avizasystems.com
- 18. avizatech.com
- 19. avizatechnologies.com
- 20. avizatechnology.com21. eaviza.com
- 22. myaviza.com
- 23. myaviza.info
- 24. myaviza.net

# **SCHEDULE 3**

# Domain Names

- 1. avizatechnology.eu
- 2. trikon.com
- trikontechnologies.co.uk
- trikontechnologies.com 4.
- 5. trikontechnologies.net
- 6. trikontechnologies.org
- 7. trkn.com

## SCHEDULE 4

Trade Mark

Country Class

Application No. Registration No.

FXP

USA

09 Int. 76/332592

3043094

# SCHEDULE 5

Trademark.	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
AVIZA	78932831	19-Jul-2006	3383310	12-Feb-2008	Registered	United States of America	07 Int.	Aviza Technology Inc.
AVIZA TECHNOLOGY	78944623	03-Aug-2006	3242479	15-May-2007	Registered	United States of America	07 Int.	Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Protected	European Community	07 Int.	Aviza Technology Inc.
MONOBLOK	95584386	10-Aug-1995	95584386	10-Aug-1995	Renewed	France		Aviza Technology Inc.
MONOBLOK	39532337	07-Aug-1995	39532337	24-Sep-1995	Renewed	Germany		Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Filed	Int'l Reg - Madrid Protocol	07 Int.	Aviza Technology Inc.
MONOBLOK	M195C008369	24-Aug-1995	729915	16-Oct-1997	Lapsed	Italy		Aviza Technology Inc.
MONOBLOK	7086581	23-Aug-1995	4430637	02-Nov-2000	Registered	Japan		Aviza Technology Inc.
MONOBLOK	3059995	08-Aug-1995	366843	27-Jun-1997	Abandoned	Korea, Republic of		Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Filed	Korea, Republic of	07 int.	Aviza Technology Inc.
MONOBLOK	T9507284Z	07-Aug-1995	T9507284Z	22-Dec-2000	Renewed	Singapore		Aviza Technology Inc.
MONOBLOK	84040393	10-Aug-1995	769831	01-Aug-1997	Renewed	Taiwan	07 Int.	Aviza Technology Inc.
MONOBLOK	2029585	07-Aug-1995	2029585	07-Aug-1995	Registered	United Kingdom		Aviza Technology Inc.
MONOBLOK	74575220	19-Sep-1994	2065449	27-May-1997	Renewed	United States of America	07 Int.	Aviza Technology Inc.
MONOBLOK	MI/2006/2129	24-Feb-2006				Italy	09 Int.	Aviza Technology Inc.
STRATION	77570463	15-Sep-08			Allowed	United States of America	07 Int.	Aviza Technology Inc.
VERSALIS FXP	77470986	09-May-08			Published	United States of America	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	China (People's Republic)	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	European Community	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	Int'l Reg - Madrid Protocol	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	Japan	07 Int.	Aviza Technology Inc.
VERSALIS FXP	097039834	25-Aug-08			Pending	Taiwan	07 Int.	Aviza Technology Inc.

# SCHEDULE 6

4

Trademark	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
СЗМ	2313076	12-Oct-2002	2313076	12-Oct-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
СЗМ	2351049	10-Dec-2003	2351049	10-Dec-2003	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
ELECTROTECH PLANAR 200	1540711	03-Jul-1993	1540711	03-Jul-1993	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
FLOWFILL	1540488	03-Jul-1993	B1540488	03-Jul-1993	Registered	United Kingdom	07 Int., 40 Int.	Trikon Technologies Ltd
FORCEFILL	1540490	03-Jul-1993	1540490	03-Jul-1993	Registered	United Kingdom	07 Int., 40 Int.	Trikon Technologies Ltd
FORCEFILL	74/568256	31-Aug-1994	1952595	30-Jan-1996	Registered	United States of America	07 Int.	Trikon Technologies Ltd
FXP	2269243	04-May-2001	2269243	04-May-2001	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
Hi-Fill	2048072	09-Dec-1995	2048072	09-Dec-1995	Registered	United Kingdom	07 Int., 09 Int.	Trikon Technologies Ltd
MORI	2324490	21-Feb-2003	2324490	21-Feb-2003	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
MORI	76/526247	27-Jun-2003	2914239	28-Dec-2004	Registered	United States of America	07 Int.	Trikon Technologies Ltd
OMEGA	2298781	24-Apr-2002	2298781	24-Apr-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
OMEGA	74/591079	27-Oct-1994	1980557	18-Jun-1996	Registered	United States of America	09 Int.	Trikon Technologies Ltd
OMEGA	76/460102	17-Oct-2002	2982576	09-Aug-2005	Registered	United States of America	07 Int.	Trikon Technologies Ltd
OMEGA 2	762078	07-Nov-1988	1639537	02-Apr-1991	Registered	United States of America	07 Int.	Trikon Technologies Ltd
ORION	30703367.8	19-Jan-2007	30703367.8	25-May-2007	Registered	Germany	07 Int., 17 Int.	Aviza Technology Ltd
OMEGA-ETCH	1313081	10-Jun-1987	1313081	10-Jun-1987	Registered	United Kingdom	07 Int., 17 Int.	Trikon Technologies Ltd
ORION	2272746	16-Jun-2001	2272746	16-Jun-2001	Registered	United Kingdom	07	Trikon Technologies Ltd
SIGMA	2004824	16-Dec-1994	2004824	16-Dec-1994	Registered	United Kingdom	09 Int.	Trikon Technologies Ltd
SIGMA	2298782	24-Apr-2002	2298782	24-Apr-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
SIGMA	76/460101	17-Oct-2002	2878421	31-Aug-2004	Registered	United States of America	07 Int.	Trikon Technologies Ltd
SOFT SPUTTER ETCH	1464631	18-May-1991	B1464631	18-May-1991	Registered	United Kingdom	09 Int.	Trikon Technologies Ltd
TRIKON	9800082453	22-Jul-1998	1427047	28-Jul-2000	Registered	China (People's Republic)	07 int.	Trikon Technologies Ltd
TRIKON	000728428	22-Jan-1998	000728428	22-Jan-1998	Registered	European Community	07 Int., 09 Int.	Trikon Technologies Ltd
TRIKON	18375/98	21-Jul-1998	492292	02-May-2001	Registered	Korea, Republic of	07 Int., 09 Int.	Trikon Technologies Ltd
TRIKON	2000-67	08-Feb-2000			Pending	Korea, Republic of	07 Int., 09 Int.	Trikon Technologies Ltd
TRIKON	87/035399	21-Jul-1998	1009074	31-Jul-2002	Registered	Taiwan	07 Int.	Trikan Technologies Ltd
TRIKON	87/035400	21-Jul-1998	932910	28-Feb-2001	Registered	Taiwan	09 Int.	Trikan Technologies Ltd
TRIKON	75/524129	21-Jul-1998	2754694	26-Aug-2003	Registered	United States of America	07 Int., 09 Int.	Trikon Technologies Ltd

# SCHEDULE 7

5

Trademark	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
Hi-Fill	39622263.3	15-May-1996	39622263	15-May-1996	Registered	Germany	07 Int., 09 Int.	Trikon Equipments Limited
HI-FIII	59170	03-Jun-1996	4130099	27-Mar-1998	Registered	Japan	07 Int.	Trikon Equipments Limited
ORION	30165621	13-Nov-2001	30165621	20-Feb-2002	Registered	Germany	07 Int., 17 Int.	Trikon Equipments Limited
ORION	76/341761	27-Nov-2001	2958950	07-Jun-2005	Registered	United States of America	17 Int.	Trikon Equipments Limited

# SCHEDULE 8

Country	Application Number	Filing Date	Patent Number
US	07/386,903	28-Jul-1989	4,993,358
US	07/601,408	23-Oct-1990	5,029,471
US	07/601,270	22-Oct-1990	5,078,922
TW	79106834	15-Aug-1990	051810
US	07/570,122	17-Aug-1990	5,088,773
US	07/513,807	24-Apr-1990	5,113,789
US	07/668,858	13-Mar-1991	5,122,391
US	07/542,243	21-Jun-1990	5,136,975
US	08/621,772	22-Mar-1996	5,683,516
JP	7-181702	18-Jul-1995	2790437
US	08/704,227	27-Aug-1996	5,786,278
US	09/008,024	16-Jan-1998	5,849,088
US	08/869,085	04-Jun-1997	5,935,647
US	08/838,882	14-Apr-1997	5,938,851
US	08/976,928	24-Nov-1997	5,944,900
JP	2-216935	17-Aug-1990	2973490
DE	95304783.4	10-Jul-1995	DE69513104
EP	95304783.4	10-Jul-1995	0697376
FR	95304783.4	10-Jul-1995	0697376
IT	95304783.4	10-Jul-1995	0697376
TW	84100288	13-Jan-1995	106107
US	08/892,469	14-Jul-1997	6,022,414
US	09/018,021	02-Feb-1998	6,026,589
US	09/185,180	03-Nov-1998	6,056,824
US	09/493,492	28-Jan-2000	6,143,080
US	09/113,823	10-Jul-1998	6,200,389
US	09/480,730	06-Jan-2000	6,206,973
TW	87111447	14-Jul-1998	124167
TW	87119658	26-Nov-1998	135711

6

US	09/492,420	27-Jan-2000	6,352,592
KR	2000-0018645	10-Apr-2000	338891
US	09/757,542	09-Jan-2001	6,521,048
JP	2000-540285	04-Dec-1998	3416114
US	09/574,826	19-May-2000	6,576,060
TW	89109705	19-May-2000	177430
TW	91115568	12-Jul-2002	185053
US	10/226,773	23-Aug-2002	6,761,770
US	10/685,062	14-Oct-2003	6,802,712
JP	2000-503260	10-Jul-1998	3607198
JP	2000-148939	19-May-2000	3612002
TW	89106510	08-Apr-2000	223675
US	10/194,639	12-Jul-2002	6,890,386
US	10/854,869	26-May-2004	6,921,437
SG			117747
JP	2000-613851	03-Mar-2000	3821647
JP	2004-076806	17-Mar-2004	4216212
EP	98933329.9	10-Jul-1998	
EP	07023348.1	10-Jul-1998	
JP	2006-109009	21-Mar-2000	
EP	00302953.5	07-Apr-2000	
EP	00936074.4	19-May-2000	
JP	2000-620151	19-May-2000	
EP	02766138.8	26-Aug-2002	
JP	2003-523703	26-Aug-2002	
US	07/409,125	19-Sep-1989	5,059,770
US	09/996,869	27-Nov-2001	6,846,149

# SCHEDULE 9

Арр No.	Filing Date	Patent No.	Country
200680037785.3	11 Oct 2006		China (People's Republic)
06794716.8	11 Oct 2006		European Patent Convention
2008-535089	11 Oct 2006		Japan

7

2008-7007381	11 Oct 2006	Korea, Republic of
12/089899	11 Oct 2006	United States of America
12,00000	11 000 2000	Officer States of States
0816186.1	5 Sep 2008	United Kingdom
61/081463	17 Jul 2008	United States of America
10017233.4	06-Apr-2000	Germany
2000-115804	11-Apr-2000	Japan
10022224.2	08-May-2000	Germany
2000-142308	10-May-2000	Japan
10054115.1	31-Oct-2000	Germany
2000-337486	06-Nov-2000	Japan
10117719.4	09-Apr-2001	Germany
2001-117280	16-Apr-2001	Japan
10195143.4	21-Dec-2001	Germany
GB01/05795	21-Dec-2001	Patent Cooperation Treaty
60/391971	28-Jun-2002	United States of America
10393038.8	11-Aug-2003	Germany
2004-527057	11-Aug-2003	Japan
60/391974	28-Jun-2002	United States of America
102004002243	15-Jan-2004	Germany
10/760464	21-Jan-2004	United States of America
102004010354	03-Mar-2004	Germany
2004-67518	10-Mar-2004	Japan
102004014718.3	25-Mar-2004	Germany
2004-113316	07-Apr-2004	Japan
2004-369286	21-Dec-2004	Japan
102005023904	24-May-2005	Germany
11/137430	26-May-2005	United States of America
11/143953	03-Jun-2005	United States of America
102005052027	31-Oct-2005	Germany
0521968.8	28-Oct-2005	United Kingdom
102005049233.9	14-Oct-2005	Germany
2005-299954	14-Oct-2005	Japan
0521001.8	17-Oct-2005	United Kingdom
11/247199	12-Oct-2005	United States of America

8

200780027492.1	06-Jul-2007		China (People's Republic)
			European Patent
07766170.0	06-Jul-2007		Convention
2009-520032	06-Jul-2007		Japan
GB07/002537	06-Jul-2007		Patent Cooperation Treaty
12/309460	06-Jul-2007		United States of America
200780027453.1	06-Jul-2007		China (People's Republic)
			European Patent
07733491.0	06-Jul-2007		Convention
2009-520035	06-Jul-2007		Japan
GB07/002550	06-Jul-2007		Patent Cooperation Treaty
12/309461	06-Jul-2007		United States of America
200780027484.7	12-Jul-2007		China (People's Republic)
			European Patent
07766199.9	12-Jul-2007		Convention
2009-520038	12-Jul-2007		Japan
GB07/002614	12-Jul-2007		Patent Cooperation Treaty
12/309460	12-Jul-2007		United States of America
GB09/000750	20-Mar-2009		Patent Cooperation Treaty
0805328.2	25-Mar-2008		United Kingdom
61/045567	16-Apr-2008		United States of America
0901157.8	26-Jan-2009		United Kingdom
301880/99	25-Oct-1999		Japan
GB99/04280	16-Dec-1999		Patent Cooperation Treaty
2000-005744	06-Jan-2000		Japan
9927550.5	2 Jun 1998	2340659	United Kingdom
150669	2 Jun 1998	6256186	United States of America
0216711.2	4 Dec 2001	2375432	United Kingdom
0210711.2	1 500 200 1		
0207154.6	27 Mar 2002	2374728	United Kingdom
0201101.0	2: 14101 2002	200111200	
106823	27 Mar 2002	6649527	United States of America
100020	ZI WILL ZOOZ	30 10021	0.000 0.000 0.0000
10/182869	4 Dec 2001	6876534	United States of America
10/102003	7 DEC 2001	0070004	Simon States St / Wilding
0416549.4	4 Feb 2003	2401375	United Kingdom
0410043.4	7160 2003	24013/3	Chiles Ringdom
10/26/2/2	12 Ech 2002	6709556	United States of America
10/364343	12 Feb 2003	0109000	United States Of Afficiled
	<u></u>	<u> </u>	

92103000	13 Feb 2003	1282820	Taiwan
0309001.6	22 Apr 2003	2387964	United Kingdom
10/419113	21 Apr 2003	6876154	United States of America
0512227.0	4 Feb 2003	2411904	United Kingdom
0315895.3	17 Dec 1998	2387023	United Kingdom
19983134.3	16 Dec 1999	19983134	Germany
673081	16 Dec 1999	6495963	United States of America
0009565.3	19 Apr 2000	2361587	United Kingdom
834880	16 Apr 2001	6531942	United States of America
90109264	18 Apr 2001	168047	Taiwan
1117021.2	19 Apr 2001	1117021.2	China (People's Republic)
0406788.0	26 Mar 2004	2412485	United Kingdom
11/088193 2000-068658	24 Mar 2005 8 Mar 2000	7220943	United States of America Japan
10392996.7	16 Jul 2003		Germany
2004-526997	16 Jul 2003		Japan
10/625671	24 Jul 2003	0047000	United States of America
9905198.9	8 Mar 1999	2347686	United Kingdom
518141	3 Mar 2000	6468386	United States of America
91121055	13 Sep 2002	198406	Taiwan
10/242762	13 Sep 2002	6929831	United States of America

0421305.4   24 Sep 2004   2418381   United Kingdom	0421305.4	24 Sep 2004	2418381	United Kingdom
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App Number	Filing Date	Patent Number	Country
10195142.6	04-Dec-2001		Germany
GB01/05357	04-Dec-2001		Patent Cooperation Treaty
10392235	04-Feb-2003		Germany
95105904	22-Feb-2006		Taiwan
0503688.4	23-Feb-2005		United Kingdom
11/359264	21-Feb-2006		United States of America
200680027426.X	12-Jul-2006		China (People's Republic)
06764923.6	12-Jul-2006		European Patent Convention
2008-524569	12-Jul-2006		Japan
2007-7030455	12-Jul-2006		Korea, Republic of
11/997737	12-Jul-2006		United States of America
0901107.3	23-Jan-2009		United Kingdom
0901107.3	23-Jan-2009		United Kingdom
GB98/01452	02-Jun-1998		Patent Cooperation Treaty
0904240.9	12-Mar-2009		United Kingdom
10119041.7	18-Apr-2001		Germany
2001-121016	19-Apr-2001		Japan

App Number	Filing Date	PatNumber	Country
699577	14-May-1991	5183402	United States of America
205010/91	15-May-1991	3267987	Japan
9908882.5	20-Apr-1999	2349392	United Kingdom
2000-0018793	11-Apr-2000	657097	Korea, Republic of
09/548014	12-Apr-2000	6831010	United States of America
654404	12-Apr-2000	6905962	United States of America
9910724.5	11-May-1999	2350374	United Kingdom
568987	11-May-2000	6533868	United States of America
9926114.1	04-Nov-1999	2357371B	United Kingdom
704714	03-Nov-2000	6402907	United States of America
0009139.7	14-Apr-2000	2361244	United Kingdom
2001-17515	03-Apr-2001	740748	Korea, Republic of
90108642	11-Apr-2001	1287850	Taiwan
01116607.X	13-Apr-2001	01116607.X	China (People's Republic)
10/336923	06-Jan-2003	6936481	United States of America
0311038.4	04-Dec-2001	2386128	United Kingdom
0216179.2	21-Dec-2001	2375117B	United Kingdom
0328109.4	20-Jun-2002	2392676	United Kingdom
91114193	27-Jun-2002	185911	Taiwan
91114189	27-Jun-2002	1303462	Taiwan

2003-514971	15-Jul-2002	4181035	Japan
0400103.8	15-Jul-2002	2393189	United Kingdom
91116048	18-Jul-2002	1294918	Taiwan
10/483805	15-Jul-2002	7129161	United States of America
91132105	29-Oct-2002	192332	Taiwan
10/290283	08-Nov-2002	6933099	United States of America
10/997864	29-Nov-2004	7141913	United States of America
10/637654	11-Aug-2003	6944922	United States of America
11/196239	04-Aug-2005	7327073	United States of America
367850	19-Feb-2003	6860975	United States of America
92103945	25-Feb-2003	1298906	Taiwan
0309998.3	01-May-2003	2390376	United Kingdom
10/434190	09-May-2003	6929724	United States of America
0314514.1	23-Jun-2003	2390378	United Kingdom
10/464468	19-Jun-2003	6949170	United States of America
0400228.3	07-Jan-2004	2398166	United Kingdom
0305544.9	11-Mar-2003	2399350	United Kingdom
0407506.5	02-Apr-2004	2400490	United Kingdom
10/817888	06-Apr-2004	7227292	United States of America
0426889.2	08-Dec-2004	2409581	United Kingdom
11/006634	08-Dec-2004	7403089	United States of America
0507431.5	13-Apr-2005	2413702	United Kingdom
9823113.7	23-Oct-1998	2342927	United Kingdom

13

414717	02-Oct-1999	6179974	United States of America
9930606.0	24-Dec-1999	2346155	United Kingdom
09/477819	05-Jan-2000	6660140	United States of America

Reference	Title	Country	Status
DBN.180	Deposition Methods	United Kingdom	Unfiled
DBN.209	A Method of Plasma Vapour Deposition	United States of America	Pending

# SCHEDULE 10

Арр No.	Filing Date	Patent No.	Country
ZL01810986.1	18 Jul 2001	ZL01810986.1	China (People's Republic)
224233.7	18 Jul 2001	2377228	United Kingdom
		**************************************	
10/275439	18 Jul 2001	7378001	United States of America
90118300	26 Jul 2001	1296813	Taiwan
10196150.2	18 Jul 2001		Germany
2002-7016502	4 Apr 2002	890664	Korea, Republic of
91106894	4 Apr 2002	246539	Taiwan
320610.9	4 Apr 2002	2390379	United Kingdom
10/471785	16 Sep 2003	7279201	United States of America

# SCHEDULE 11

App No.	Filing Date	Patent No.	Country
9608358.9	23 Apr	2300256	United Kingdom

	1996		
637599	25 Apr 1996	5755512	United States of America
	25 Jun		
19882475.5	1998	19882475	Germany
9929610.5	25 Jun 1998	2341489	United Kingdom
	OF 1		
446432	25 Jun 1998	6454367	United States of America
9719764.4	18 Sep 1997	2329515	United Kingdom
	44.0		
152673	14 Sep 1998	6188563	United States of America
92924773.2	2 Dec 1992	650536	European Patent Convention
61322/97	20 Nov 1997	510917	Korea, Republic of

6-64446	20 Nov		
9724440.4	1997	2319533	United Kingdom
	21 Nov		
97126177.6	1997	97126177.6	China (People's Republic)
	21 Nov		
975705	1997	6174823	United States of America
	25 Jun		
GB98/01859	1998		Patent Cooperation Treaty
	21 Nov		
9-321638	1997		Japan

# SCHEDULE 12

App No.	Filing Date	Patent No.	Country
			European Patent
92905727.1	4 Feb 1992	570484	Convention
92905727.1	4 Feb 1992	570484	France
92905727.1	4 Feb 1992	69218924.6	Germany
32300121.1	71001002	00210024.0	Comany
505776/92	4 Feb 1992	3271765	Japan
702304/93	4 Feb 1992	231221	Korea, Republic of
7 02004730	71001002		rtorea, rtopablio oi
92905727.1	4 Feb 1992	570484	United Kingdom
07/545636	29 Jun   1990	5091049	United States of America
077040000	1990	5081048	United States Of Afficia
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365533	13 Jun 1989	4990229	United States of America
07/650788	4 Feb 1991	5122251	United States of America
90610041.7	12 Jun 1990	403418	European Patent Convention
90610041.7	12 Jun 1990	69033908.9	Germany
90610041.7	12 Jun 1990	403418	United Kingdom
154989/90	13 Jun 1990	2103088	Japan
07/964149	19 Oct 1992	5421891	United States of America
07/979574	20 Nov 1992	5429070	United States of America
08/918852	26-Aug-97	6110395	United States of America
US92/00976	04-Feb-92		Patent Cooperation Treaty

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY UK LIMITED by a duly authorised signatory

Patrick C. O'Connor

Director

Signed for and on behalf of AVIZA TECHNOLOGY LIMITED by a duly authorised signatory

Patrick C. O'Connor Director

Date:

2009

#### Parties:

- (1) "The Assignor": AVIZA, INC, a Delaware Corporation
- (2) "The Assignee": **ST SYSTEMS USA, INC** (registered company number C1951172) of 611 Veterans Blvd, Suite 107, Redwood City, CA 94063, USA

#### Recitals:

- (A) The Assignor is the owner of the US Copyright Registrations shown in the Schedule (hereinafter called the "Copyright Registrations").
- (B) The Assignor has agreed to assign the Copyright Registrations on the terms as hereafter appearing.

## Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) the receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS unto the Assignee all of its right, title and interest in and to the Copyright Registrations TO HOLD the same unto and to the use of the Assignee absolutely. The Assignor further agrees to execute all such documents and things as are necessary to effect transfer of the Copyright Registrations to the Assignee and the Assignor further agrees that this Assignment document may be used in substitution for any other document as establishing the intent of the Assignor to transfer the Copyright Registrations appearing in the Schedule by means of this Assignment.

# Execution:

This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

#### SCHEDULE

# **US Copyright Registrations**

<u>Date</u>	<u>Title</u>	Copyright No.
1/31/86	WF-988 Microprocessor based temperature controller	TX-1-763-147
1/31/86	WJ-989 Watkins-Johnson furnace monitoring system	TX-1-763-148
4/13/87	ŴJ-999 CVD Series	TX-2-048-882
5/11/89	Watkins-Johnson Scotts Valley Plant : our formula for excellence is the quality improvement process	TX-2-564-897
10/10/89	Watkins-Johnson furnace monitoring system: WJ-989	TX-2-664-119
11/13/89	Watkins-Johnson Scotts Valley Plant: our formula for excellence is the quality improvement process	TX-2-692-946
5/21/90	Watkins-Johnson CVD Series	TX-2-853-609
1/11/91	Watkins-Johnson Company Scotts Valley Plant employee recognition	
6/25/99	WJ-1500APCVD System : continuous 200mm water processing	TX-5-000-558

Confirmatory Copyright Registrations Assignment SEG LLC

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA, INC. by a duly duly authorised signatory

) Patrick C. O'Connor ) Chief Financial Officer

Signed for and on behalf of ST Systems USA, INC by a duly authorised signatory

(Name and Title)

### Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY, INC a Delaware Corporation of 440 Kings Village Road, Scotts Valley, CA 95066, USA
- (2) "The Assignee": ST SYSTEMS USA, INC (registered company number C1951172) of 611 Veterans Blvd, Suite 107, Redwood City, CA 94063, USA

## Recitals:

- (A) The Assignor is the owner of the domain names shown in the Schedule.
- (B) The Assignor has agreed to assign the domain names on the terms as hereafter appearing.

### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) the receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS unto the Assignee all of its right, title and interest in and to the domain names listed in the Schedule with the goodwill attaching to the domain names, including the right to renew the domain names indefinitely TO HOLD the same unto and to the use of the Assignee absolutely. The Assignor further agrees to execute all such documents and things as are necessary to effect transfer of the domain names to the Assignee and the Assignor further agrees that this Assignment document may be used in substitution for any other document as establishing the intent of the Assignor to transfer the domain names appearing in the Schedule by means of this Assignment.

## Execution:

This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

### **SCHEDULE**

Domain Names

1

# Confirmatory Domain Names Assignment ATI

- 1., aviza.be
- 2. aviza.biz
- 3. aviza.cn
- 4. aviza.co.nz
- 5. aviza.co.uk
- 6. aviza.com.tw
- 7. aviza.de
- 8. aviza.info
- 9. aviza.net 10. aviza.tv 11. aviza.tw

- 12. aviza.us
- 13. avizainc.com
- 14. avizainc.net
- 15. avizasupport.com
- 16. avizasupport.net
- 17. avizasystems.com
- 18. avizatech.com19. avizatechnologies.com20. avizatechnology.com
- 21. eaviza.com
- 22. myaviza.com
- 23. myaviza.info
- 24. myaviza.net

Confirmatory Domain Names Assignment ATI

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY, INC. ) by a duly authorised signatory <

Patrick C. O'Connor Chief Financial Officer

Confirmatory Domain Names Assignment ATI

Signed for and on behalf of ST Systems USA, INC

by a duly authorised signatory

(Signature)

(Name and Title)

2009

Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY UK LIMITED (formerly known as Aviza Technology Limited) a company registered in England and Wales under company number 01373344 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (2) "The Assignee": AVIZA TECHNOLOGY LIMITED (formerly known as Aviza Technology UK Limited) a company registered in England and Wales under company number 07000106 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom

#### Recitals:

- (A) The Assignor is the owner of the domain names shown in the Schedule.
- (B) The Assignor has agreed to assign the domain names on the terms as hereafter appearing.

### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) the receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS unto the Assignee all of its right, title and interest in and to the domain names listed in the Schedule with the goodwill attaching to the domain names, including the right to renew the domain names indefinitely TO HOLD the same unto and to the use of the Assignee absolutely. The Assignor further agrees to execute all such documents and things as are necessary to effect transfer of the domain names to the Assignee and the Assignor further agrees that this Assignment document may be used in substitution for any other document as establishing the intent of the Assignor to transfer the domain names appearing in the Schedule by means of this Assignment.

#### Execution:

This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

# <u>SCHEDULE</u>

# Domain Names

- avizatechnology.eu 1.
- 2. trikon.com
- trikontechnologies.co.uk
   trikontechnologies.com
- 5. trikontechnologies.net6. trikontechnologies.org
- 7. trkn.com

Confirmatory Domain Names Assignment ATL

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY UK LIMITED by a duly authorised signatory

Patrick C. O'Connor Director

Confirmatory Domain Names Assignment ATL

Signed for and on behalf of AVIZA TECHNOLOGY LIMITED by a duly authorised signatory

Patrick C. O'Connor

Director

2009

### Parties:

- (1) "The Assignor": AVIZA EUROPE LIMITED (formerly known as Trikon Holdings Limited), a company registered in England and Wales under company number 03246908 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom.
- (2) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number [07037812] with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom

### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of patents and patent applications listed in the Schedule hereto (hereinafter called the "Patent Rights").
- (B) The Assignor has agreed to assign the Patent Rights to the Assignee for the consideration hereinafter mentioned.

### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor receipt of which is hereby acknowledged:

- 1. The Assignor HEREBY ASSIGNS with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in and to the Patent Rights or any derivatives thereof (such as divisional patent applications and continuation patent applications) together with all rights and powers arising from or accrued from the same including the right to sue for damages and other remedies in respect of any infringement of such rights whether committed before or after the date of this Agreement;
  - 1.2. the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the inventions claimed in the Patent Rights including the right to claim priority therefrom.
- The Assignor further covenants with the Assignee that at the request of the Assignee it will at all times after the date of this Assignment do all such acts and execute all such documents as may reasonably be

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- necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the Patent Rights.
- 3. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

# **SCHEDULE**

App No.	Filing Date	Patent No.	Country
ZL01810986.1	18 Jul 2001	ZL01810986.1	China (People's Republic)
	THE SECOND STREET, SAN AND ASSESSMENT OF THE SECOND SECOND SECOND SECOND SECOND SECOND SECOND SECOND SECOND SE		
224233.7	18 Jul 2001	2377228	United Kingdom
10/275439	18 Jul 2001	7378001	United States of America
***************************************			
90118300	26 Jul 2001	1296813	Taiwan
10196150.2	18 Jul 2001		Germany
2002-7016502	4 Apr 2002	890664	Korea, Republic of
91106894	4 Apr 2002	246539	Taiwan
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320610.9	4 Apr 2002	2390379	United Kingdom
10/471705	16 Con 2002	7070004	United Ctates of America
10/471785	16 Sep 2003	7279201	United States of America

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA EUROPE LIMITED by a duly authorised signatory	) ) (Signature) )
	)

Confirmatory Patent Assignment - AEL

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Signed for and on behalf of AVIZA EUROPE LIMITED by a duly authorised signatory

Patrick C. O'Connor

Director

Confirmatory Patent Assignment - AEL

Signed for and on behalf of SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED by a duly authorised signatory

(Signature)

LUMPS REES, DIRECTOR

(Name and Title)

2009

### Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY, INC a Delaware Corporation of 440 Kings Village Road, Scotts Valley, CA 95066, USA
- (2) "The Assignee": ST SYSTEMS USA, INC (registered company number C1951172) of 611 Veterans Blvd, Suite 107, Redwood City, CA 94063, USA

#### Recitals:

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Country	Application Number	Filing Date	Patent Number
US	07/386,903	28-Jul-1989	4,993,358
US	07/601,408	23-Qct-1990	5,029,471
US	07/601,270	22-Oct-1990	5,078,922
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US	07/570,122	17-Aug-1990	5,088,773
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US	07/668,858	13-Mar-1991	5,122,391
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US	08/621,772	22-Mar-1996	5,683,516
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US	09/008,024	16-Jan-1998	5,849,088
US	08/869,085	04-Jun-1997	5,935,647
US	08/838,882	14-Apr-1997	5,938,851
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US	09/996,869	27-Nov-2001	6,846,149

Confirmatory Patent Assignment - ATI

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY, INC. by a duly authorised signatory

Patrick C. O'Connor Chief Financial Officer

Confirmatory Patent Assignment - ATI

Signed for and on behalf of ST Systems USA, INC

by a duly authorised signatory

Signature)

(Name and Title)

2009

### Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY UK LIMITED (formerly known inter alia as Aviza Technology Limited, Trikon Technologies Limited and Electrotech Limited) a company registered in England and Wales under company number 01373344 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (2) "The Assignee": AVIZA TECHNOLOGY LIMITED (formerly known as Aviza Technology UK Limited) a company registered in England and Wales under company number 07000106 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom

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# **SCHEDULE**

App No.	Filing Date	Patent No.	Country
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			European Patent
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App Number	Filing Date	Patent Number	Country
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App Number	Filing Date	PatNumber	Country
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Reference	Title	Country	Status
DBN.180	Deposition Methods	United Kingdom	Unfiled
DBN.209	A Method of Plasma Vapour Deposition	United States of America	Pending

Confirmatory Patent Assignment - ATL

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by a duly authorised signatory

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Director

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IT         95304783.4         10-Jul-1995         0697376           TW         84100288         13-Jan-1995         106107           US         08/892,469         14-Jul-1997         6,022,414           US         09/018,021         02-Feb-1998         6,026,589           US         09/185,180         03-Nov-1998         6,056,824           US         09/493,492         28-Jan-2000         6,143,080           US         09/13,823         10-Jul-1998         6,200,389           US         09/480,730         06-Jan-2000         6,206,973           TW         87111447         14-Jul-1998         124167           TW         87119658         26-Nov-1998         135711           US         09/492,420         27-Jan-2000         6,352,592           KR         2000-0018645         10-Apr-2000         338891           US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         177430           TW         9115568         12-Jul-2002         185053           US         10/226,773         23-Aug-2002         6,761,7				
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US 09/018,021 02-Feb-1998 6,026,589  US 09/185,180 03-Nov-1998 6,056,824  US 09/493,492 28-Jan-2000 6,143,080  US 09/113,823 10-Jul-1998 6,200,389  US 09/480,730 06-Jan-2000 6,206,973  TW 87111447 14-Jul-1998 124167  TW 87119658 26-Nov-1998 135711  US 09/492,420 27-Jan-2000 6,352,592  KR 2000-0018645 10-Apr-2000 338891  US 09/757,542 09-Jan-2001 6,521,048  JP 2000-540285 04-Dec-1998 3416114  US 09/574,826 19-May-2000 6,576,060  TW 89109705 19-May-2000 177430  TW 91115568 12-Jul-2002 185053  US 10/226,773 23-Aug-2002 6,761,770  US 10/685,062 14-Oct-2003 6,802,712	TW	84100288	13-Jan-1995	106107
US 09/018,021 02-Feb-1998 6,026,589  US 09/185,180 03-Nov-1998 6,056,824  US 09/493,492 28-Jan-2000 6,143,080  US 09/113,823 10-Jul-1998 6,200,389  US 09/480,730 06-Jan-2000 6,206,973  TW 87111447 14-Jul-1998 124167  TW 87119658 26-Nov-1998 135711  US 09/492,420 27-Jan-2000 6,352,592  KR 2000-0018645 10-Apr-2000 338891  US 09/757,542 09-Jan-2001 6,521,048  JP 2000-540285 04-Dec-1998 3416114  US 09/574,826 19-May-2000 6,576,060  TW 89109705 19-May-2000 177430  TW 91115568 12-Jul-2002 185053  US 10/226,773 23-Aug-2002 6,761,770  US 10/685,062 14-Oct-2003 6,802,712	He	09/802 480	14 64 1007	6 000 414
US         09/185,180         03-Nov-1998         6,056,824           US         09/493,492         28-Jan-2000         6,143,080           US         09/113,823         10-Jul-1998         6,200,389           US         09/480,730         06-Jan-2000         6,206,973           TW         87111447         14-Jul-1998         124167           TW         87119658         26-Nov-1998         135711           US         09/492,420         27-Jan-2000         6,352,592           KR         2000-0018645         10-Apr-2000         338891           US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         177430           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/685,062         14-Oct-2003         6,802,712		00/092,409	14-301-1337	0,022,414
US         09/185,180         03-Nov-1998         6,056,824           US         09/493,492         28-Jan-2000         6,143,080           US         09/113,823         10-Jul-1998         6,200,389           US         09/480,730         06-Jan-2000         6,206,973           TW         87111447         14-Jul-1998         124167           TW         87119658         26-Nov-1998         135711           US         09/492,420         27-Jan-2000         6,352,592           KR         2000-0018645         10-Apr-2000         338891           US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         177430           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/685,062         14-Oct-2003         6,802,712				
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US         09/113,823         10-Jul-1998         6,200,389           US         09/480,730         06-Jan-2000         6,206,973           TW         87111447         14-Jul-1998         124167           TW         87119658         26-Nov-1998         135711           US         09/492,420         27-Jan-2000         6,352,592           KR         2000-0018645         10-Apr-2000         338891           US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         6,576,060           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/685,062         14-Oct-2003         6,802,712	US	09/185,180	03-Nov-1998	6,056,824
US         09/113,823         10-Jul-1998         6,200,389           US         09/480,730         06-Jan-2000         6,206,973           TW         87111447         14-Jul-1998         124167           TW         87119658         26-Nov-1998         135711           US         09/492,420         27-Jan-2000         6,352,592           KR         2000-0018645         10-Apr-2000         338891           US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         6,576,060           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/685,062         14-Oct-2003         6,802,712	u o	00/400 400	00 1 0000	
US       09/480,730       06-Jan-2000       6,206,973         TW       87111447       14-Jul-1998       124167         TW       87119658       26-Nov-1998       135711         US       09/492,420       27-Jan-2000       6,352,592         KR       2000-0018645       10-Apr-2000       338891         US       09/757,542       09-Jan-2001       6,521,048         JP       2000-540285       04-Dec-1998       3416114         US       09/574,826       19-May-2000       6,576,060         TW       89109705       19-May-2000       177430         TW       91115568       12-Jul-2002       185053         US       10/226,773       23-Aug-2002       6,761,770         US       10/685,062       14-Oct-2003       6,802,712		<del></del>	+	
TW 87111447 14-Jul-1998 124167  TW 87119658 26-Nov-1998 135711  US 09/492,420 27-Jan-2000 6,352,592  KR 2000-0018645 10-Apr-2000 338891  US 09/757,542 09-Jan-2001 6,521,048  JP 2000-540285 04-Dec-1998 3416114  US 09/574,826 19-May-2000 6,576,060  TW 89109705 19-May-2000 177430  TW 91115568 12-Jul-2002 185053  US 10/226,773 23-Aug-2002 6,761,770  US 10/685,062 14-Oct-2003 6,802,712	US	09/113,823	10-301-1998	0,200,389
TW 87111447 14-Jul-1998 124167  TW 87119658 26-Nov-1998 135711  US 09/492,420 27-Jan-2000 6,352,592  KR 2000-0018645 10-Apr-2000 338891  US 09/757,542 09-Jan-2001 6,521,048  JP 2000-540285 04-Dec-1998 3416114  US 09/574,826 19-May-2000 6,576,060  TW 89109705 19-May-2000 177430  TW 91115568 12-Jul-2002 185053  US 10/226,773 23-Aug-2002 6,761,770  US 10/685,062 14-Oct-2003 6,802,712	US	09/480.730	06-Jan-2000	6.206.973
TW       87119658       26-Nov-1998       135711         US       09/492,420       27-Jan-2000       6,352,592         KR       2000-0018645       10-Apr-2000       338891         US       09/757,542       09-Jan-2001       6,521,048         JP       2000-540285       04-Dec-1998       3416114         US       09/574,826       19-May-2000       6,576,060         TW       89109705       19-May-2000       177430         TW       91115568       12-Jul-2002       185053         US       10/226,773       23-Aug-2002       6,761,770         US       10/685,062       14-Oct-2003       6,802,712				
US         09/492,420         27-Jan-2000         6,352,592           KR         2000-0018645         10-Apr-2000         338891           US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         6,576,060           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/226,773         23-Aug-2002         6,761,770           US         10/685,062         14-Oct-2003         6,802,712	TW	87111447	14-Jul-1998	124167
US         09/492,420         27-Jan-2000         6,352,592           KR         2000-0018645         10-Apr-2000         338891           US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         6,576,060           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/226,773         23-Aug-2002         6,761,770           US         10/685,062         14-Oct-2003         6,802,712				
KR       2000-0018645       10-Apr-2000       338891         US       09/757,542       09-Jan-2001       6,521,048         JP       2000-540285       04-Dec-1998       3416114         US       09/574,826       19-May-2000       6,576,060         TW       89109705       19-May-2000       177430         TW       91115568       12-Jul-2002       185053         US       10/226,773       23-Aug-2002       6,761,770         US       10/685,062       14-Oct-2003       6,802,712	TW	87119658	26-Nov-1998	135711
KR       2000-0018645       10-Apr-2000       338891         US       09/757,542       09-Jan-2001       6,521,048         JP       2000-540285       04-Dec-1998       3416114         US       09/574,826       19-May-2000       6,576,060         TW       89109705       19-May-2000       177430         TW       91115568       12-Jul-2002       185053         US       10/226,773       23-Aug-2002       6,761,770         US       10/685,062       14-Oct-2003       6,802,712	HC	00/402 420	27 Inn 2000	6 353 503
US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         6,576,060           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/226,773         23-Aug-2002         6,761,770           US         10/685,062         14-Oct-2003         6,802,712	00	09/492,420	27-Jan-2000	0,352,592
US         09/757,542         09-Jan-2001         6,521,048           JP         2000-540285         04-Dec-1998         3416114           US         09/574,826         19-May-2000         6,576,060           TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/226,773         23-Aug-2002         6,761,770           US         10/685,062         14-Oct-2003         6,802,712	KR	2000-0018645	10-Apr-2000	338891
US     09/574,826     19-May-2000     6,576,060       TW     89109705     19-May-2000     177430       TW     91115568     12-Jul-2002     185053       US     10/226,773     23-Aug-2002     6,761,770       US     10/685,062     14-Oct-2003     6,802,712	US			
US     09/574,826     19-May-2000     6,576,060       TW     89109705     19-May-2000     177430       TW     91115568     12-Jul-2002     185053       US     10/226,773     23-Aug-2002     6,761,770       US     10/685,062     14-Oct-2003     6,802,712				
TW         89109705         19-May-2000         177430           TW         91115568         12-Jul-2002         185053           US         10/226,773         23-Aug-2002         6,761,770           US         10/685,062         14-Oct-2003         6,802,712		<del></del>	<del> </del>	3416114
TW     91115568     12-Jul-2002     185053       US     10/226,773     23-Aug-2002     6,761,770       US     10/685,062     14-Oct-2003     6,802,712	·	09/574,826	19-May-2000	6,576,060
US 10/226,773 23-Aug-2002 6,761,770 US 10/685,062 14-Oct-2003 6,802,712	*************************		<del></del>	
US 10/685,062 14-Oct-2003 6,802,712	TW	91115568	12-Jul-2002	185053
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JP         2000-148939         19-May-2000         3612002	J.	2000-148939	19-101ay-2000	3012002
TW 89106510 08-Apr-2000 223675	T\/V	89106510	08-Apr-2000	223675
US 10/194,639 12-Jul-2002 6,890,386				
US 10/854,869 26-May-2004 6,921,437		<del></del>	<del></del>	

JP	2000-613851	03-Mar-2000	3821647
JP	2004-076806	17-Mar-2004	4216212

Country	Application No.	Filing Date	Patent No.
EP	98933329.9	10-Jul-1998	
EP	07023348.1	10-Jul-1998	
JP	2006-109009	21-Mar-2000	
EP	00302953.5	07-Apr-2000	
EP	00936074.4	19-May-2000	
JP	2000-620151	19-May-2000	
EP	02766138.8	26-Aug-2002	
JP	2003-523703	26-Aug-2002	

Confirmatory Patent Assignment - SEGL

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA, INC. by a duly duly authorised signatory

) Patrick C. O'Connor

) Chief Financial Officer

Signed for and on behalf of ST Systems USA, INC

by a duly authorised signatory

(Signature)

RICHARD REES, DIRECTOR

(Name and Title)

Date:

2009

### Parties:

- (1) "The Assignor": TRIKON EQUIPMENTS LIMITED, a company registered in England and Wales under company number 00939289 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom.
- (2) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number [07037812] with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom

#### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of patents and patent applications listed in the Schedule hereto (hereinafter called the "Patent Rights").
- (B) The Assignor has agreed to assign the Patent Rights to the Assignee for the consideration hereinafter mentioned.

### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor receipt of which is hereby acknowledged:

- 1. The Assignor HEREBY ASSIGNS with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in and to the Patent Rights or any derivatives thereof (such as divisional patent applications and continuation patent applications) together with all rights and powers arising from or accrued from the same including the right to sue for damages and other remedies in respect of any infringement of such rights whether committed before or after the date of this Agreement;
  - 1.2. the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the inventions claimed in the Patent Rights including the right to claim priority therefrom.
- The Assignor further covenants with the Assignee that at the request of the Assignee it will at all times after the date of this Assignment do all

. 1

such acts and execute all such documents as may reasonably be necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the Patent Rights.

3. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

# **SCHEDULE**

	<del></del>	·	
App No.	Filing Date	Patent No	o. Country
Y	23 Apr		
9608358.9	1996	2300256	United Kingdom
	25 Apr		
637599	1996	5755512	United States of America
······································	25 Jun		
19882475.5	1998	19882475	Germany
	25 Jun		
9929610.5	1998	2341489	United Kingdom
	25 Jun	1	
446432	1998	6454367	United States of America
	18 Sep		
9719764.4	1997	2329515	United Kingdom
	14 Sep		
152673	1998	6188563	United States of America
			European Patent
92924773.2	2 Dec 1992	650536	Convention
, which has a second	20 Nov		TOTAL CONTROL OF THE
61322/97	1997	510917	Korea, Republic of

9724440.4	20 Nov 1997	2319533	United Kingdom
	21 Nov		
97126177.6	1997	97126177.6	China (People's Republic)
WWWAITS	21 Nov		
975705	1997	6174823	United States of America
	25 Jun		
GB98/01859	1998		Patent Cooperation Treaty
	21 Nov		78787444
9-321638	1997		Japan

Confirmatory TM Assignment - TEL

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of TRIKON EQUIPMENTS LIMITED by a duly authorised signatory

Patrick C. O'Connor

Director

Confirmatory Patent Assignment - TEL

Signed for and on behalf of SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED by a duly authorised signatory

(Signature)

(Name and Title)

Date:

2009

### Parties:

- (1) "The Assignor": TRIKON TECHNOLOGIES, INC (formerly known as Plasma & Materials Technologies, Inc) of 9255 Deeming Avenue, Chatsworth, CA 91311, USA
- (2) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number [07037812] with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom

### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of patents and patent applications listed in the Schedule hereto (hereinafter called the "Patent Rights").
- (B) The Assignor has agreed to assign the Patent Rights to the Assignee for the consideration hereinafter mentioned.

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  - 1.1. all its right, title and interest in and to the Patent Rights or any derivatives thereof (such as divisional patent applications and continuation patent applications) together with all rights and powers arising from or accrued from the same including the right to sue for damages and other remedies in respect of any infringement of such rights whether committed before or after the date of this Agreement;
  - 1.2. the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the inventions claimed in the Patent Rights including the right to claim priority therefrom.
- The Assignor further covenants with the Assignee that at the request of the Assignee it will at all times after the date of this Assignment do all such acts and execute all such documents as may reasonably be

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- necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the Patent Rights.
- 3. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

# **SCHEDULE**

App No.	Filing Date	Patent No.	Country
	•		-
92905727.1	4 Feb 1992	570484	European Patent Convention
92905727.1	4 Feb 1992	570484	France
92905727.1	4 Feb 1992	69218924.6	Germany
505776/92	4 Feb 1992	3271765	Japan
702304/93	4 Feb 1992	231221	Korea, Republic of
92905727.1	4 Feb 1992	570484	United Kingdom
07/545636	29 Jun 1990	5091049	United States of America
365533	13 Jun 1989	4990229	United States of America
07/650788	4 Feb 1991	5122251	United States of America
90610041.7	12 Jun 1990	403418	European Patent Convention

90610041.7	12 Jun 1990	69033908.9	Germany
000400447	12 Jun	100110	
90610041.7	1990	403418	United Kingdom
154989/90	13 Jun 1990	2103088	Japan
07/964149	19 Oct   1992	5421891	United States of America
	20 Nov		
07/979574	1992	5429070	United States of America
08/918852	26-Aug-97	6110395	United States of America
US92/00976	04-Feb-92		Patent Cooperation Treaty

Confirmatory Patent Assignment - TTI

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of TRIKON TECHNOLOGIES, INC. by a duly authorised signatory

Jerauld J. Cutini Chief Executive Officer Signed for and on behalf of SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED by a duly authorised signatory

(Signature)

RICHARD ROES, DIRECTOR

(Name and Title)

009

Parties:

- (1) "The Assignor": AVIZA EUROPE LIMITED (formerly known as Trikon Holdings Limited), a company registered in England and Wales under company number 03246908 with its registered office at Coed Rhedyn, Ringland Way, Newport, South Wales NP18 2TA, United Kingdom
- (2) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number [07037812] with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom

### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of the registered Trade Mark set out in the Schedule hereto (hereinafter called the "Trade Mark") which stands in the name of the Assignor.
- (B) The Assignor has agreed to assign the Trade Mark to the Assignee on the terms and conditions set out in this Assignment.

### Operative Provisions:

- 1. In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor, receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS and transfers with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in the Trade Mark with the goodwill attaching to the Trade Mark and the goodwill of the business in which the Trade Mark has been used by the Assignor, together with any unregistered trade marks and associated goodwill, together with
  - 1.2. all statutory and common law rights attaching to the Trade Mark including all rights and remedies in respect of any infringements of the Trade Mark whether committed before or after the date of this Assignment and any damages obtained as a result of such action.

 Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

### SCHEDULE

<u>Trade Mark</u>	rade Mark Country		Application No.	Registration No.	
FXP	USA	09 Int.	76/332592	3043094	

Confirmatory TM Assignment - AEL

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA EUROPE LIMITED by a duly authorised signatory

Patrick C. O'Connor

Director

Confirmatory TM Assignment - AEL

Signed for and on behalf of )
SPP PROCESS TECHNOLOGY)
SYSTEMS UK LIMITED )
by a duly authorised signatory )

(Signature)

(Name and Title)

Date: 2009

Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY, INC a Delaware Corporation of 440 Kings Village Road, Scotts Valley, CA 95066, USA
- (2) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number [07037812] with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom

### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of the registered Trade Marks and Trade Mark applications set out in the Schedule hereto (hereinafter called the "Trade Marks") all of which stand or are proceeding in the name of the Assignor.
- (B) The Assignor has agreed to assign the Trade Marks to the Assignee on the terms and conditions set out in this Assignment.

### Operative Provisions:

- In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor, receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS and transfers with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in the Trade Marks with the goodwill attaching to the Trade Marks and the goodwill of the business in which the Trade Marks have been used by the Assignor, together with any unregistered trade marks and associated goodwill, together with
  - 1.2. all statutory and common law rights attaching to the Trade Marks including all rights and remedies in respect of any infringements of the Trade Marks whether committed before or after the date of this Assignment and any damages obtained as a result of such action.
- Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

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# SCHEDULE

Trademark.	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
STRATION	77570463	15-Sep-08			Allowed	United States of America	07 Int.	Aviza Technology Inc.
VERSALIS FXP	77470986	09-May-08			Published	United States of America	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	China (People's Republic)	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	European Community	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	Int'l Reg - Madrid Protocol	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	japan	07 Int.	Aviza Technology Inc.
VERSALIS FXP	097039834	25-Aug-08			Pending	Taiwan	07 Int.	Aviza Technology inc.

Confirmatory TM Assignment – ATI

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY, INC. by a duly authorised signatory

) Ma

Patrick C. O'Connor Chief Financial Officer

Confirmatory TM Assignment - ATI

Signed for and on behalf of )
SPP PROCESS TECHNOLOGY)
SYSTEMS UK LIMITED )
by a duly authorised signatory )

(Signature)

RICHARY REE

, DIRECTOR

(Name and Title)

Date:

2009

Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY UK LIMITED (formerly known as Aviza Technology Limited and Trikon Technologies Limited) a company registered in England and Wales under company number 01373344 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (2) "The Assignee": AVIZA TECHNOLOGY LIMITED (formerly known as Aviza Technology UK Limited) a company registered in England and Wales under company number 07000106 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom

### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of the registered Trade Marks and Trade Mark applications set out in the Schedule hereto (hereinafter called the "Trade Marks") all of which stand or are proceeding in the name of the Assignor.
- (B) The Assignor has agreed to assign the Trade Marks to the Assignee on the terms and conditions set out in this Assignment.

### Operative Provisions:

- In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor, receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS and transfers with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in the Trade Marks with the goodwill attaching to the Trade Marks and the goodwill of the business in which the Trade Marks have been used by the Assignor, together with any unregistered trade marks and associated goodwill, together with
  - 1.2. all statutory and common law rights attaching to the Trade Marks including all rights and remedies in respect of any infringements of the Trade Marks whether committed before or after the date of this Assignment and any damages obtained as a result of such action.

 Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

### SCHEDULE

Trademark	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
СЗМ	2313076	12-Oct-2002	2313076	12-Oct-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
C3M	2351049	10-Dec-2003	2351049	10-Dec-2003	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
ELECTROTECH PLANAR 200	1540711	03-Jul-1993	1540711	03-Jul-1993	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
FLOWFILL	1540488	03-Jul-1993	B1540488	03-Jul-1993	Registered	United Kingdom	07 Int., 40 int.	Trikon Technologies Ltd
FORCEFILL	1540490	03-Jul-1993	1540490	03-Jul-1993	Registered	United Kingdom	07 Int., 40 Int.	Trikon Technologies Ltd
FORCEFILL	74/568256	31-Aug-1994	1952595	30-Jan-1996	Registered	United States of America	07 Int.	Trikon Technologies Ltd
FXP	2269243	04-May-2001	2269243	04-May-2001	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
Hi-Fill	2048072	09-Dec-1995	2048072	09-Dec-1995	Registered	United Kingdom	07 Int., 09	Trikan Technologies Ltd
MORI	2324490	21-Feb-2003	2324490	21-Feb-2003	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
MORI	76/526247	27-Jun-2003	2914239	28-Dec-2004	Registered	United States of America	07 Int.	Trikon Technologies Ltd
OMEGA	2298781	24-Apr-2002	2298781	24-Apr-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
OMEGA	74/591079	27-Oct-1994	1980557	18-Jun-1996	Registered	United States of America	09 Int.	Trikon Technologies Ltd
OMEGA	76/460102	17-Oct-2002	2982576	09-Aug-2005	Registered	United States of America	07 Int.	Trikon Technologies Ltd
OMEGA 2	762078	07-Nov-1988	1639537	02-Apr-1991	Registered	United States of America	07 Int.	Trikon Technologies Ltd
ORION	30703367.8	19-Jan-2007	30703367.8	25-May-2007	Registered	Germany	07 Int., 17	Aviza Technology Ltd
OMEGA-ETCH	1313081	10-Jun-1987	1313081	10-Jun-1987	Registered	United Kingdom	07 Int., 17	Trikon Technologies Ltd
ORION	2272746	16-Jun-2001	2272746	16-Jun-2001	Registered	United Kingdom	07	Trikon Technologies Ltd
SIGMA	2004824	16-Dec-1994	2004824	16-Dec-1994	Registered	United Kingdom	09 int.	Trikon Technologies Ltd
SIGMA	2298782	24-Apr-2002	2298782	24-Apr-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
SIGMA	76/460101	17-Oct-2002	2878421	31-Aug-2004	Registered	United States of America	07 Int.	Trikon Technologies Ltd
SOFT SPUTTER ETCH	1464631	18-May-1991	B1464631	18-May-1991	Registered	United Kingdom	09 Int.	Trikon Technologies Ltd
TRIKON	9800082453	22-Jul-1998	1427047	28-Jul-2000		China (People's Republic)	07 Int.	
***************************************					Registered		07 Int., 09	Trikon Technologies Ltd
TRIKON	000728428	22-Jan-1998	000728428	22-Jan-1998	Registered	European Community	07 Int., 09	Trikon Technologies Ltd
TRIKON	18375/98	21-Jul-1998	492292	02-May-2001	Registered	Korea, Republic of	Int. 07 Int., 09	Trikon Technologies Ltd
TRIKON	2000-67	08-Feb-2000			Pending	Korea, Republic of	Int.	Trikon Technologies Ltd
TRIKON	87/035399	21-Jul-1998	1009074	31-Jul-2002	Registered	Taiwan	07 Int.	Trikon Technologies Ltd
TRIKON	87/035400	21-Jul-1998	932910	28-Feb-2001	Registered	Taiwan	09 Int. 07 Int., 09	Trikon Technologies Ltd
TRIKON	75/524129	21-Jul-1998	2754694	26-Aug-2003	Registered	United States of America	Int.	Trikon Technologies Ltd

Confirmatory TM Assignment - ATL to ATL New Co.

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY UK LIMITED )

by a duly authorised signatory

Director

Confirmatory TM Assignment - ATL to ATL New Co.

Signed for and on behalf of AVIZA TECHNOLOGY LIMITED by a duly authorised signatory

Patrick C. O'Connor

Director

Date:

2009

Parties:

- (1) "The Assignor": TRIKON EQUIPMENTS LIMITED a company registered in England and Wales under company number 00939289 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (2) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number [07037812] with is registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom

#### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of the registered Trade Marks set out in the Schedule hereto (hereinafter called the "Trade Marks") all of which stand or are proceeding in the name of the Assignor.
- (B) The Assignor has agreed to assign the Trade Marks to the Assignee on the terms and conditions set out in this Assignment.

#### Operative Provisions:

- In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor, receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS and transfers with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in the Trade Marks with the goodwill attaching to the Trade Marks and the goodwill of the business in which the Trade Marks have been used by the Assignor, together with any unregistered trade marks and associated goodwill, together with
  - 1.2. all statutory and common law rights attaching to the Trade Marks including all rights and remedies in respect of any infringements of the

- Trade Marks whether committed before or after the date of this Assignment and any damages obtained as a result of such action.
- 2. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

### SCHEDULE

Trademark	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
Hi-Fill	39622263.3	15-May-1996	39622263	15-May-1996	Registered	Germany	07 Int., 09 Int.	Trikon Equipments Limited
Hi-Fill	59170	03-Jun-1996	4130099	27-Mar-1998	Registered	Japan	07 Int.	Trikon Equipments Limited
ORION	30165621	13-Nov-2001	30165621	20-Feb-2002	Registered	Germany	07 Int., 17 Int.	Trikon Equipments Limited
ORION	76/341761	27-Nov-2001	2958950	07-Jun-2005	Registered	United States of America	17 Int.	Trikon Equipments Limited

Confirmatory Patent Assignment - TEL

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of TRIKON EQUIPMENTS LIMITED by a duly authorised signatory

Patrick C. O'Connor Director

Confirmatory TM Assignment – TEL

Signed for and on behalf of SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED by a duly authorised signatory

(Signature)

RICHARD RES, DIRECTOR

(Name and Title)

Date:

2009

### Parties:

- (1) **AVIZA TECHNOLOGY**, **INC** a Delaware Corporation of 440 Kings Village Road, Scotts Valley, CA 95066, USA
- (2) AVIZA, INC (formerly known as Thermal Acquisition Corp) a Delaware Corporation
- (3) AVIZA TECHNOLOGY INTERNATIONAL, INC a Delaware Corporation
- (4) TRIKON TECHNOLOGIES, INC (formerly known as Plasma & Materials Technologies, Inc) of 9255 Deeming Avenue, Chatsworth, CA 91311, USA
- (5) AVIZA EUROPE LIMITED (formerly known as Trikon Holdings Limited), a company registered in England and Wales under company number 03246908 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (6) TRIKON EQUIPMENTS LIMITED, a company registered in England and Wales under company number 00939289 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (7) TRIKON TECHNOLOGIES LIMITED a company registered in England and Wales under company number 01571620 with its registered office at Coed Rhedyn, Newport, Gwent, NP18 2TA, United Kingdom

the Parties (1) to (7) collectively being known as "The Assignors", and

(8) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number [07037812] with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom

### Recitals:

(A) The Assignors are, collectively, the beneficial owners of patents, patent applications, trade marks, trade mark applications, copyright

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- registrations, and domain names listed in the Schedules hereto (hereinafter called the "IP Rights").
- (B) The Assignor has agreed to assign the IP Rights to the Assignee for the consideration hereinafter mentioned.

### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor receipt of which is hereby acknowledged:

- 1. The Assignor HEREBY ASSIGNS with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in and to the IP Rights or any derivatives thereof (such as divisional patent applications and continuation patent applications) together with all rights and powers arising from or accrued from the same including the right to sue for damages and other remedies in respect of any infringement of such rights whether committed before or after the date of this Agreement;
  - 1.2. the goodwill attaching to the trade marks (and trade mark applications), the goodwill of the business in which the trade marks (and trade mark applications) have been used by the Assignor, together with any unregistered trade marks and associated goodwill;
  - 1.3. the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the inventions claimed in the IP Rights including the right to claim priority therefrom.
- The Assignor further covenants with the Assignee that at the request of the Assignee it will at all times after the date of this Assignment do all such acts and execute all such documents as may reasonably be necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the IP Rights.
- Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

# CONFIRMATORY GLOBAL IP (NON-ATL)

# SCHEDULE 1

<u>Trade Mark</u> <u>Country</u> <u>Class</u> <u>Application No.</u> <u>Registration No.</u>

FXP

USA 09 Int. 76/332592

3043094

# SCHEDULE 2

Trademark.	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
STRATION	77570463	15-Sep-08			Allowed	United States of America	07 Int.	Aviza Technology Inc.
VERSALIS FXP	77470986	09-May-08			Published	United States of America	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	China (People's Republic)	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	European Community	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	Int'l Reg - Madrid Protocol	07 Int.	Aviza Technology Inc.
VERSALIS FXP	A0013578	22-Aug-08	974794		Filed	Japan	07 Int.	Aviza Technology Inc.
VERSALIS FXP	097039834	25-Aug-08			Pending	Taiwan	07 Int.	Aviza Technology Inc.

# SCHEDULE 3

Trademark	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
СЗМ	2313076	12-Oct-2002	2313076	12-Oct-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
СЗМ	2351049	10-Dec-2003	2351049	10-Dec-2003	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
ELECTROTECH PLANAR 200	1540711	03-Jul-1993	1540711	03-Jul-1993	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
FLOWFILL	1540488	03-Jul-1993	B1540488	03-Jul-1993	Registered	United Kingdom	07 Int., 40 Int.	Trikon Technologies Ltd
FORCEFILL	1540490	03-Jul-1993	1540490	03-Jul-1993	Registered	United Kingdom	07 Int., 40 Int.	Trikon Technologies Ltd
FORCEFILL	74/568256	31-Aug-1994	1952595	30-Jan-1996	Registered	·United States of America	07 Int.	Trikon Technologies Ltd
FXP	2269243	04-May-2001	2269243	04-May-2001	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
HI-FIII	2048072	09-Dec-1995	2048072	09-Dec-1995	Registered	United Kingdom	07 Int., 09 Int.	Trikon Technologies Ltd
MORI	2324490	21-Feb-2003	2324490	21-Feb-2003	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
MORI	76/526247	27-Jun-2003	2914239	28-Dec-2004	Registered	United States of America	07 Int.	Trikon Technologies Ltd
OMEGA	2298781	24-Apr-2002	2298781	24-Apr-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
OMEGA	74/591079	27-Oct-1994	1980557	18-Jun-1996	Registered	United States of America	09 Int.	Trikon Technologies Ltd

# CONFIRMATORY GLOBAL IP (NON-ATL)

OMEGA	76/460102	17-Oct-2002	2982576	09-Aug-2005	Registered	United States of America	07 Int	Trikon Technologies Ltd
OMEGA 2	762078	07-Nov-1988	1639537	02-Apr-1991	Registered	United States of America	07 int.	Trikon Technologies Ltd
ORION	30703367.8	19-Jan-2007	30703367.8	25-May-2007	Registered	Germany	07 int., 17 int.	Aviza Technology Ltd
OMEGA-ETCH	1313081	10-Jun-1987	1313081	10-Jun-1987	Registered	United Kingdom	07 Int., 17 Int.	Trikon Technologies Ltd
ORION	2272746	16-Jun-2001	2272746	16-Jun-2001	Registered	United Kingdom	07	Trikon Technologies Ltd
SIGMA	2004824	16-Dec-1994	2004824	16-Dec-1994	Registered	United Kingdom	09 Int.	Trikon Technologies Ltd
SIGMA	2298782	24-Apr-2002	2298782	24-Apr-2002	Registered	United Kingdom	07 Int.	Trikon Technologies Ltd
SIGMA	76/460101	17-Oct-2002	2878421	31-Aug-2004	Registered	United States of America	07 int.	Trikon Technologies Ltd
SOFT SPUTTER ETCH	1464631	18-May-1991	B1464631	18-May-1991	Registered	United Kingdom	09 Int.	Trikon Technologies Ltd
TRIKON	9800082453	22-Jul-1998	1427047	28-Jul-2000	Registered	China (People's Republic)	07 Int.	Trikon Technologies Ltd
TRIKON	000728428	22-Jan-1998	000728428	22-Jan-1998	Registered	European Community	07 Int., 09 int.	Trikon Technologies Ltd
TRIKON	18375/98	21-Jul-1998	492292	02-May-2001	Registered	Korea, Republic of	07 Int., 09 Int.	Trikon Technologies Ltd
TRIKON	2000-67	08-Feb-2000			Pending	Korea, Republic of	07 Int., 09 Int.	Trikon Technologies Ltd
TRIKON	87/035399	21-Jul-1998	1009074	31-Jul-2002	Registered	Taiwan	07 Int.	Trikon Technologies Ltd
TRIKON	87/035400	21-Jul-1998	932910	28-Feb-2001	Registered	Taiwan	09 Int.	Trikon Technologies Ltd
TRIKON	75/524129	21-Jul-1998	2754694	26-Aug-2003	Registered	United States of America	07 Int., 09 Int.	Trikon Technologies Ltd

# SCHEDULE 4

Trademark	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
Hi-Fill	39622263.3	15-May-1996	39622263	15-May-1996	Registered	Germany	07 Int, 09 Int.	Trikon Equipments Limited
HI-FIII	59170	03-Jun-1996	4130099	27-Mar-1998	Registered	Japan	07 Int.	Trikon Equipments Limited
ORION	30165621	13-Nov-2001	30165621	20-Feb-2002	Registered	Germany	07 Int., 17 Int.	Trikon Equipments Limited
ORION	76/341761	27-Nov-2001	2958950	07-Jun-2005	Registered	United States of America	17 Int.	Trikon Equipments Limited

# SCHEDULE 5

Арр Na.	Filing Date	Patent No.	Country
200680037785.3	11 Oct 2006		China (People's Republic)
06794716.8	11 Oct 2006		European Patent

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		Convention
2008-535089	11 Oct 2006	Japan
2008-7007381	11 Oct 2006	Korea, Republic of
12/089899	11 Oct 2006	United States of America
12/00000	11 Oct 2000	Grated States Stryanonica
0816186.1	5 Sep 2008	United Kingdom
61/081463	17 Jul 2008	United States of America
10017233.4	06-Apr-2000	Germany
2000-115804	11-Apr-2000	Japan
10022224.2	08-May-2000	Germany
2000-142308	10-May-2000	Japan
10054115.1	31-Oct-2000	Germany
2000-337486	06-Nov-2000	Japan
10117719.4	09-Apr-2001	Germany
2001-117280	16-Apr-2001	Japan
10195143.4	21-Dec-2001	Germany
GB01/05795	21-Dec-2001	Patent Cooperation Treaty
60/391971	28-Jun-2002	United States of America
10393038.8	11-Aug-2003	Germany
2004-527057	11-Aug-2003	Japan
60/391974	28-Jun-2002	United States of America
102004002243	15-Jan-2004	Germany
10/760464	21-Jan-2004	United States of America
102004010354	03-Mar-2004	Germany
2004-67518	10-Mar-2004	Japan
102004014718.3	25-Mar-2004	Germany
2004-113316	07-Apr-2004	Japan
2004-369286	21-Dec-2004	Japan
102005023904	24-May-2005	Germany
11/137430	26-May-2005	United States of America
11/143953	03-Jun-2005	United States of America
102005052027	31-Oct-2005	Germany
0521968.8	28-Oct-2005	United Kingdom

102005049233.9	14-Oct-2005		Germany
2005-299954	14-Oct-2005		Japan
0521001.8	17-Oct-2005		United Kingdom
11/247199	12-Oct-2005		United States of America
200780027492.1	06-Jul-2007		China (People's Republic)
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07766170.0	06-Jul-2007		Convention
2009-520032	06-Jul-2007		Japan
GB07/002537	06-Jul-2007		Patent Cooperation Treaty
12/309460	06-Jul-2007		United States of America
200780027453.1	06-Jul-2007		China (People's Republic)
			European Patent
07733491.0	06-Jul-2007		Convention
2009-520035	06-Jul-2007		Japan
GB07/002550	06-Jul-2007		Patent Cooperation Treaty
12/309461	06-Jul-2007		United States of America
200780027484.7	12-Jul-2007		China (People's Republic)
			European Patent
07766199.9	12-Jul-2007		Convention
2009-520038	12-Jul-2007		Japan
GB07/002614	12-Jul-2007		Patent Cooperation Treaty
12/309460	12-Jul-2007		United States of America
GB09/000750	20-Mar-2009		Patent Cooperation Treaty
0805328.2	25-Mar-2008		United Kingdom
61/045567	16-Apr-2008		United States of America
0901157.8	26-Jan-2009		United Kingdom
301880/99	25-Oct-1999		Japan
GB99/04280	16-Dec-1999		Patent Cooperation Treaty
2000-005744	06-Jan-2000		Japan
9927550.5	2 Jun 1998	2340659	United Kingdom
150669	2 Jun 1998	6256186	United States of America
0216711.2	4 Dec 2001	2375432	United Kingdom
0207154.6	27 Mar 2002	2374728	United Kingdom
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106823	27 Mar 2002	6649527	United States of America
10/182869	4 Dec 2001	6876534	United States of America
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0416549.4	4 Feb 2003	2401375	United Kingdom
10/364343	12 Feb 2003	6709556	United States of America
92103000	13 Feb 2003	1282820	Taiwan
0309001.6	22 Apr 2003	2387964	United Kingdom
10/419113	21 Apr 2003	6876154	United States of America
0512227.0	4 Feb 2003	2411904	United Kingdom
0315895.3	17 Dec 1998	2387023	United Kingdom
19983134.3	16 Dec 1999	19983134	Germany
673081	16 Dec 1999	6495963	United States of America
0009565.3	19 Apr 2000	2361587	United Kingdom
834880	16 Apr 2001	6531942	United States of America
90109264	18 Apr 2001	168047	Taiwan
1117021.2	19 Apr 2001	1117021.2	China (People's Republic)
0406788.0	26 Mar 2004	2412485	United Kingdom
11/088193	24 Mar 2005	7220943	United States of America
2000-068658	8 Mar 2000		Japan
10392996.7	16 Jul 2003		Germany
2004-526997	16 Jul 2003		Japan
10/625671 9905198.9	24 Jul 2003 8 Mar 1999	2347686	United States of America United Kingdom
518141	3 Mar 2000	6468386	United States of America

91121055	13 Sep 2002	198406	Taiwan
10/242762	13 Sep 2002	6929831	United States of America
0421305.4	24 Sep 2004	2418381	United Kinadom

App Number	Filing Date	Patent Number	Country
10195142.6	04-Dec-2001		Germany
GB01/05357	04-Dec-2001		Patent Cooperation Treaty
10392235	04-Feb-2003		Germany
95105904	22-Feb-2006		Taiwan
0503688.4	23-Feb-2005		United Kingdom
11/359264	21-Feb-2006		United States of America
200680027426.X	12-Jul-2006		China (People's Republic)
06764923.6	12-Jul-2006		European Patent Convention
2008-524569	12-Jul-2006		Japan
2007-7030455	12-Jul-2006		Korea, Republic of
11/997737	12-Jul-2006		United States of America
0901107.3	23-Jan-2009		United Kingdom
0901107.3	23-Jan-2009		United Kingdom
GB98/01452	02-Jun-1998		Patent Cooperation Treaty
0904240.9	12-Mar-2009		United Kingdom

8

10119041.7	18-Apr-2001	Germany
2001-121016	19-Apr-2001	Japan

App Number	Filing Date	PatNumber	Country
TOP ITALIA	Timing Dutc	i doranie	- Country
699577	14-May-1991	5183402	United States of America
205010/91	15-May-1991	3267987	Japan
9908882.5	20-Apr-1999	2349392	United Kingdom
2000-0018793	11-Apr-2000	657097	Korea, Republic of
09/548014	12-Apr-2000	6831010	United States of America
654404	12-Apr-2000	6905962	United States of America
9910724.5	11-May-1999	2350374	United Kingdom
568987	11-May-2000	6533868	United States of America
9926114.1	04-Nov-1999	2357371B	United Kingdom
704714	03-Nov-2000	6402907	United States of America
0009139.7	14-Apr-2000	2361244	United Kingdom
2001-17515	03-Apr-2001	740748	Korea, Republic of
90108642	11-Apr-2001	1287850	Taiwan
01116607.X	13-Apr-2001	01116607.X	China (People's Republic)
10/336923	06-Jan-2003	6936481	United States of America
0311038.4	04-Dec-2001	2386128	United Kingdom
0216179.2	21-Dec-2001	2375117B	United Kingdom
0328109.4	20-Jun-2002	2392676	United Kingdom

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91114193	27-Jun-2002	185911	Taiwan
91114189	27-Jun-2002	1303462	Taiwan
2003-514971	15-Jul-2002	4181035	Japan
0400103.8	15-Jul-2002	2393189	United Kingdom
91116048	18-Jul-2002	1294918	Taiwan
10/483805	15-Jul-2002	7129161	United States of America
91132105	29-Oct-2002	192332	Taiwan
10/290283	08-Nov-2002	6933099	United States of America
10/997864	29-Nov-2004	7141913	United States of America
10/637654	11-Aug-2003	6944922	United States of America
11/196239	04-Aug-2005	7327073	United States of America
367850	19-Feb-2003	6860975	United States of America
92103945	25-Feb-2003	1298906	Taiwan
0309998.3	01-May-2003	2390376	United Kingdom
10/434190	09-May-2003	6929724	United States of America
0314514.1	23-Jun-2003	2390378	United Kingdom
10/464468	19-Jun-2003	6949170	United States of America
0400228.3	07-Jan-2004	2398166	United Kingdom
0305544.9	11-Mar-2003	2399350	United Kingdom
0407506.5	02-Apr-2004	2400490	United Kingdom
10/817888	06-Apr-2004	7227292	United States of America
0426889.2	08-Dec-2004	2409581	United Kingdom
11/006634	08-Dec-2004	7403089	United States of America

0507431.5	13-Apr-2005	2413702	United Kingdom
9823113.7	23-Oct-1998	2342927	United Kingdom
414717	02-Oct-1999	6179974	United States of America
9930606.0	24-Dec-1999	2346155	United Kingdom
09/477819	05-Jan-2000	6660140	United States of America

Reference	Title	Country	Status
DBN.180	Deposition Methods	United Kingdom	Unfiled
DBN.209	A Method of Plasma	United States of	Pending
	Vapour Deposition	America	

Filing Date	Patent No.	Country
3		
18 Jul 2001	ZL01810986.1	China (People's Republic)
18 Jul 2001	2377228	United Kingdom
18 Jul 2001	7378001	United States of America
26 Jul 2001	1296813	Taiwan
18 Jul 2001		Germany
	20224	
4 Apr 2002	890664	Korea, Republic of
4 Apr 2002	246539	Taiwan
		E I Z
4 Apr 2002	2390379	United Kingdom
16 Sep 2003	7279201	United States of America
	18 Jul 2001 18 Jul 2001 18 Jul 2001 26 Jul 2001 18 Jul 2001 4 Apr 2002	18 Jul 2001 ZL01810986.1  18 Jul 2001 2377228  18 Jul 2001 7378001  26 Jul 2001 1296813  18 Jul 2001  4 Apr 2002 890664  4 Apr 2002 246539  4 Apr 2002 2390379

# SCHEDULE 7

App No.	Filing Date	Patent No.	Country
0000000	23 Apr		
9608358.9	1996	2300256	United Kingdom
	25 Apr		
637599	1996	5755512	United States of America
	25 Jun		_
19882475.5	1998	19882475	Germany
	25 Jun		
9929610.5	1998	2341489	United Kingdom
		2011100	
	25 Jun		
446432	1998	6454367	United States of America
	40.0		
9719764.4	18 Sep 1997	2329515	United Kingdom
0710704.4	1007	2020010	Office Fangacin
	14 Sep	<del> </del>	
152673	1998	6188563	United States of America
	ļ		<u> </u>
92924773.2	2 Dec 1992	650536	European Patent Convention
32324113.Z	2 DEC 1992	000000	COUVELINGUE
	20 Nov	-	
61322/97	1997	510917	Korea, Republic of

	20 Nov		
9724440.4	1997	2319533	United Kingdom
	21 Nov		
97126177.6	1997	97126177.6	China (People's Republic)
	21 Nov		
975705	1997	6174823	United States of America
	25 Jun		
GB98/01859	1998		Patent Cooperation Treaty
	21 Nov		
9-321638	1997		Japan

App No.	Filing Date	Patent No.	Country
92905727.1	4 Feb 1992	570484	European Patent Convention
92905727.1	4 Feb 1992	570484	France
92905727.1	4 Feb 1992	69218924.6	Germany
505776/92	4 Feb 1992	3271765	<b>Ja</b> pan
702304/93	4 Feb 1992	231221	Korea, Republic of
	-		
92905727.1	4 Feb 1992	570484	United Kingdom
	29 Jun		
07/545636	1990	5091049	United States of America

365533	13 Jun 1989	4990229	United States of America
07/650788	4 Feb 1991	5122251	United States of America
90610041.7	12 Jun 1990	403418	European Patent Convention
90610041.7	12 Jun 1990	69033908.9	Germany
	12 Jun		
90610041.7	1990	403418	United Kingdom
154989/90	13 Jun 1990	2103088	Japan
07/964149	19 Oct 1992	5421891	United States of America
07/979574	20 Nov 1992	5429070	United States of America
08/918852	26-Aug-97	6110395	United States of America
US92/00976	04-Feb-92		Patent Cooperation Treaty

## CONFIRMATORY GLOBAL IP (NON-ATL)

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY, INC. by a duly authorised signatory

Patrick C. O'Connor Chief Financial Officer

Signed for and on behalf of AVIZA, INC.

by a duly authorised signatory

Patrick C. O'Connor Chief Financial Officer

Signed for and on behalf of AVIZA TECHNOLOGY INTERNATIONAL, INC. by a duly authorised signatory

Jerauld J. Cutini Chief Executive Officer

Signed for and on behalf of AVIZA, INC. (formerly known as THERMAL ACQUISITION CORP)

by a duly authorised signatory

Patrick C. O'Connor Chief Financial Officer

Signed for and on behalf of TRIKON TECHNOLOGIES, INC. by a duly authorised signatory

Jerauld J Cutini Chief Executive Officer

Signed for and on behalf of AVIZA EUROPE LIMITED by a duly authorised signatory

Patrick C. O'Connor Director

PATENT

REEL: 034987 FRAME: 0912

# CONFIRMATORY GLOBAL IP (NON-ATL)

Signed for and on behalf of TRIKON EQUIPMENTS LIMITED by a duly authorised signatory

Patrick C. O'Connor

Signed for and on behalf of TRIKON TECHNOLOGIES LIMITED

by a duly authorised signatory

Patrick C. O'Connor Director

Director

# CONFIRMATORY GLOBAL IP (NON-ATL)

Signed for and on behalf of SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED by a duly authorised signatory

(Signature)

(Name and Title)

Date:

2009

Parties:

- "The Assignor": AVIZA TECHNOLOGY, INC a Delaware Corporation of 440 Kings Village Road, Scotts Valley, CA 95066, USA
- (2) "The Assignee": **ST SYSTEMS USA, INC** (registered company number C1951172) of 611 Veterans Blvd, Suite 107, Redwood City, CA 94063, USA

### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of the registered Trade Marks and Trade Mark applications set out in the Schedule hereto (hereinafter called the "Trade Marks") all of which stand or are proceeding in the name of the Assignor.
- (B) The Assignor has agreed to assign the Trade Marks to the Assignee on the terms and conditions set out in this Assignment.

### Operative Provisions:

- 1. In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor, receipt of which is hereby acknowledged, the Assignor HEREBY ASSIGNS and transfers with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in the Trade Marks with the goodwill attaching to the Trade Marks and the goodwill of the business in which the Trade Marks have been used by the Assignor, together with any unregistered trade marks and associated goodwill, together with
  - 1.2. all statutory and common law rights attaching to the Trade Marks including all rights and remedies in respect of any infringements of the Trade Marks whether committed before or after the date of this Assignment and any damages obtained as a result of such action.
- 2. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

Trademark.	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
AVIZA	78932831	19-Jul-2006	3383310	12-Feb-2008	Registered	United States of America	07 Int.	Aviza Technology Inc.
AVIZA TECHNOLOGY	78944623	03-Aug-2006	3242479	15-May-2007	Registered	United States of America	07 Int.	Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Protected	European Community	07 Int.	Aviza Technology Inc.
MONOBLOK	95584386	10-Aug-1995	95584386	10-Aug-1995	Renewed	France		Aviza Technology inc.
MONOBLOK	39532337	07-Aug-1995	39532337	24-Sep-1996	Renewed	Germany		Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Filed	Int'l Reg - Madrid Protocol	07 int.	Aviza Technology Inc.
MONOBLOK	M195C008369	24-Aug-1995	729915	16-Oct-1997	Lapsed	Italy		Aviza Technology Inc.
MONOBLOK	7086581	23-Aug-1995	4430637	02-Nov-2000	Registered	Japan		Aviza Technology Inc.
MONOBLOK	3059995	08-Aug-1995	366843	27-Jun-1997	Abandoned	Korea, Republic of		Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Filed	Korea, Republic of	07 Int.	Aviza Technology Inc.
MONOBLOK	T9507284Z	07-Aug-1995	T9507284Z	22-Dec-2000	Renewed	Singapore		Aviza Technology Inc.
MONOBLOK	84040393	10-Aug-1995	769831	01-Aug-1997	Renewed	Taiwan	07 Int.	Aviza Technology Inc.
MONOBLOK	2029585	07-Aug-1995	2029585	07-Aug-1995	Registered	United Kingdom		Aviza Technology Inc.
MONOBLOK	74575220	19-Sep-1994	2065449	27-May-1997	Renewed	United States of America	07 Int.	Aviza Technology Inc.
MONOBLOK	MI/2006/2129	24-Feb-2006				Italy	09 Int.	Aviza Technology Inc.

Confirmatory TM Assignment - ATI

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY, INC by a duly authorised signatory

(Signature)

(Patrick C. O'Connor) (Chief Financial Officer) Confirmatory Patent Assignment - ATI

Signed for and on behalf of ST Systems USA, INC

by a duly authorised signatory

(Name and Title)

Date:

2009

#### Parties:

- (1) AVIZA TECHNOLOGY, INC a Delaware Corporation of 440 Kings Village Road, Scotts Valley, CA 95066, USA
- (2) AVIZA, INC (formerly known as Thermal Acquisition Corp) a Delaware Corporation
- (3) AVIZA TECHNOLOGY INTERNATIONAL, INC a Delaware Corporation
- (4) TRIKON TECHNOLOGIES, INC (formerly known as Plasma & Materials Technologies, Inc) of 9255 Deeming Avenue, Chatsworth, CA 91311, USA
- (5) AVIZA EUROPE LIMITED (formerly known as Trikon Holdings Limited), a company registered in England and Wales under company number 03246908 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (6) TRIKON EQUIPMENTS LIMITED, a company registered in England and Wales under company number 00939289 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
- (7) TRIKON TECHNOLOGIES LIMITED a company registered in England and Wales under company number 01571620 with its registered office at Coed Rhedyn, Newport, Gwent, NP18 2TA, United Kingdom

the Parties (1) to (7) collectively being known as "The Assignors", and

(8) "The Assignee": ST SYSTEMS USA, INC (registered company number C1951172) of 611 Veterans Blvd, Suite 107, Redwood City, CA 94063, USA

### Recitals:

(A) The Assignors are, collectively, the beneficial owners of patents, patent applications, trade marks, trade mark applications, copyright registrations, and domain names listed in the Schedules hereto (hereinafter called the "IP Rights").

(B) The Assignor has agreed to assign the IP Rights to the Assignee for the consideration hereinafter mentioned.

### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor receipt of which is hereby acknowledged:

- 1. The Assignor HEREBY ASSIGNS with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in and to the IP Rights or any derivatives thereof (such as divisional patent applications and continuation patent applications) together with all rights and powers arising from or accrued from the same including the right to sue for damages and other remedies in respect of any infringement of such rights whether committed before or after the date of this Agreement;
  - 1.2. the goodwill attaching to the trade marks (and trade mark applications), the goodwill of the business in which the trade marks (and trade mark applications) have been used by the Assignor, together with any unregistered trade marks and associated goodwill;
  - 1.3. the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the inventions claimed in the IP Rights including the right to claim priority therefrom.
- The Assignor further covenants with the Assignee that at the request of the Assignee it will at all times after the date of this Assignment do all such acts and execute all such documents as may reasonably be necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the IP Rights.
- 3. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

#### SCHEDULE 1

# US Copyright Registrations

<u>Date</u>	<u>Title</u>	Copyright No.
1/31/86	WF-988 Microprocessor based temperature controller	TX-1-763-147
1/31/86	WJ-989 Watkins-Johnson furnace monitoring system	TX-1-763-148
<u>Date</u>	<u>Title</u>	Copyright No.
4/13/87 5/11/89	WJ-999 CVD Series Watkins-Johnson Scotts Valley Plant : our formula for excellence is the	TX-2-048-882 TX-2-564-897
10/10/89	quality improvement process Watkins-Johnson furnace monitoring system: WJ-989	TX-2-664-119
11/13/89	Watkins-Johnson Scotts Valley Plant: our formula for excellence is the quality improvement process	TX-2-692-946
5/21/90	Watkins-Johnson CVD Series	TX-2-853-609
1/11/91	Watkins-Johnson Company Scotts Valley Plant employee recognition	TX-2-994-320
6/25/99	WJ-1500APCVD System : continuous 200mm water processing	TX-5-000-558

# **SCHEDULE 2**

### **Domain Names**

- 1. aviza.be
- 2. aviza.biz
- 3. aviza.cn
- 4. aviza.co.nz
- 5. aviza.co.uk
- 6. aviza.com.tw
- 7. aviza.de
- 8. aviza.info
- 9. aviza.net
- 10. aviza.tv
- 11. aviza.tw
- 12. aviza.us 13. avizainc.com

- 14. avizainc.net15. avizasupport.com

3

- 16. avizasupport.net

- 17. avizasystems.com
  18. avizatech.com
  19. avizatechnologies.com
- 20. avizatechnology.com
- 21. eaviza.com
- 22. myaviza.com23. myaviza.info
- 24. myaviza.net

## Domain Names

- avizatechnology.eu
- 2. trikon.com
- 3. trikontechnologies.co.uk
- 4. trikontechnologies.com
- trikontechnologies.net
- 6. trikontechnologies.org
- 7. trkn.com

## **SCHEDULE 4**

Trademark.	Application Number	Filing Date	Registration Number	Registration Date	Status	Country	Class	Owner
AVIZA	78932831	19-Jul-2006	3383310	12-Feb-2008	Registered	United States of America	07 Int.	Aviza Technology Inc.
AVIZA TECHNOLOGY	78944623	03-Aug-2006	3242479	15-May-2007	Registered	United States of America	07 Int.	Aviza Technology inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Protected	European Community	07 Int.	Aviza Technology Inc.
MONOBLOK	95584386	10-Aug-1995	95584386	10-Aug-1995	Renewed	France		Aviza Technology Inc.
MONOBLOK	39532337	07-Aug-1995	39532337	24-Sep-1996	Renewed	Germany		Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Filed	Int'l Reg - Madrid Protocol	07 Int.	Aviza Technology Inc.
MONOBLOK	M195C008369	24-Aug-1995	729915	16-Oct-1997	Lapsed	Italy		Aviza Technology Inc.
MONOBLOK	7086581	23-Aug-1995	4430637	02-Nov-2000	Registered	Japan		Aviza Technology Inc.
MONOBLOK	3059995	08-Aug-1995	366843	27-Jun-1997	Abandoned	Korea, Republic of		Aviza Technology Inc.
MONOBLOK		25-Jun-2007	930070	25-Jun-2007	Filed	Korea, Republic of	07 Int.	Aviza Technology Inc.
MONOBLOK	T9507284Z	07-Aug-1995	T9507284Z	22-Dec-2000	Renewed	Singapore		Aviza Technology Inc.
MONOBLOK	84040393	10-Aug-1995	769831	01-Aug-1997	Renewed	Taiwan	07 Int.	Aviza Technology Inc.

4

MONOBLOK	2029585	07-Aug-1995	2029585	07-Aug-1995	Registered	United Kingdom		Aviza Technology Inc.
MONOBLOK	74575220	19-Sep-1994	2065449	27-May-1997	Renewed	United States of America	07 int.	Aviza Technology Inc.
MONOBLOK	MI/2006/2129	24-Feb-2006		·		Italy	09 Int	Aviza Technology Inc.

Country	Application Number	Filing Date	Patent Number
US	07/386,903	28-Jul-1989	4,993,358
US	07/601,408	23-Oct-1990	5,029,471
US	07/601,270	22-Oct-1990	5,078,922
TW	79106834	15-Aug-1990	051810
US	07/570,122	17-Aug-1990	5,088,773
ÜS	07/513,807	24-Apr-1990	5,113,789
US	07/668,858	13-Mar-1991	5,122,391
US	07/542,243	21-Jun-1990	5,136,975
US	08/621,772	22-Mar-1996	5,683,516
JP	7-181702	18-Jul-1995	2790437
US	08/704,227	27-Aug-1996	5,786,278
US	09/008,024	16-Jan-1998	5,849,088
US	08/869,085	04-Jun-1997	5,935,647
US	08/838,882	14-Apr-1997	5,938,851
US	08/976,928	24-Nov-1997	5,944,900
JP	2-216935	17-Aug-1990	2973490
DE	95304783.4	10-Jul-1995	DE69513104
EP	95304783.4	10-Jul-1995	0697376
FR	95304783.4	10-Jul-1995	0697376
IT	95304783.4	10-Jul-1995	0697376
TW	84100288	13-Jan-1995	106107
US	08/892,469	14-Jul-1997	6,022,414
US	09/018,021	02-Feb-1998	6,026,589
US	09/185,180	03-Nov-1998	6,056,824
US	09/493,492	28-Jan-2000	6,143,080
US	09/113,823	10-Jul-1998	6,200,389
US	09/480,730	06-Jan-2000	6,206,973
TW	87111447	14-Jul-1998	124167
TW	87119658	26-Nov-1998	135711
US	09/492,420	27-Jan-2000	6,352,592

5

KR	2000-0018645	10-Apr-2000	338891
US	09/757,542	09-Jan-2001	6,521,048
JP	2000-540285	04-Dec-1998	3416114
US	09/574,826	19-May-2000	6,576,060
ΤW	89109705	19-May-2000	177430
TW	91115568	12-Jul-2002	185053
US	10/226,773	23-Aug-2002	6,761,770
บร	10/685,062	14-Oct-2003	6,802,712
JP	2000-503260	10-Jul-1998	3607198
JP	2000-148939	19-May-2000	3612002
TW	89106510	08-Apr-2000	223675
US	10/194,639	12-Jul-2002	6,890,386
US	10/854,869	26-May-2004	6,921,437
SG			117747
JP	2000-613851	03-Mar-2000	3821647
JP	2004-076806	17-Mar-2004	4216212
EP	98933329.9	10-Jul-1998	
EP	07023348.1	10-Jul-1998	
JP	2006-109009	21-Mar-2000	
EP	00302953.5	07-Apr-2000	
EP	00936074.4	19-May-2000	
JP	2000-620151	19-May-2000	
EP	02766138.8	26-Aug-2002	
JP	2003-523703	26-Aug-2002	
US	07/409,125	19-Sep-1989	5,059,770
US	09/996,869	27-Nov-2001	6,846,149

## Confirmatory Global IP Assignment Non-ATL to STSI

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY, INC by a duly authorised signatory

(Signature)

(Patrick C. O'Connor) (Chief Financial Officer)

Signed for and on behalf of AVIZA, INC by a duly authorised signatory

(Signature)

(Patrick C. O'Connor) (Chief Financial Officer)

Signed for and on behalf of **AVIZA TECHNOLOGY** INTERNATIONAL, INC by a duly authorised signatory

(Signature)

(Jerauld J. Cutini) (Chief Executive Officer)

Signed for and on behalf of TRIKON TECHNOLOGIES, INC by a duly authorised signatory

(Signature)

(Jerauld J. Cutini) (Chief Executive Officer)

Signed for and on behalf of **AVIZA EUROPE LIMITED** by a duly authorised signatory

(Signature)

iabarche ( (Patrick C. O'Connor)

(Director)

Confirmatory Global IP Assignment Non-ATL to STSI

Signed for and on behalf of TRIKON EQUIPMENTS LIMITED by a duly authorised signatory

(Signature)

(Patrick C. O'Connor)

(Director)

Signed for and on behalf of )
TRIKON TECHNOLOGIES LIMITED )
by a duly authorised signatory

(Signature)

(Patrick C. O'Connor)

(Director)

Signed for and on behalf of ST Systems USA, INC

by a duly authorised signatory

(Signature)

ACHARA REES DIRECTOR
(Name and Title)

Date: 27th Novense 2009

#### Parties:

- (1) "The Assignor": AVIZA TECHNOLOGY UK LIMITED (formerly known inter alia as Aviza Technology Limited, Trikon Technologies Limited and Electrotech Limited) a company registered in England and Wales under company number 01373344 with its registered office at Coed Rhedyn, Ringland Way, Newport, Gwent NP18 2TA, United Kingdom
  - (2) "The Assignee": SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED a company registered in England and Wales under company number 07037812 with its registered office at c/o Surface Technology Systems plc, Imperial Park, Newport, Wales NP10 8UJ, United Kingdom.

### Recitals:

- (A) The Assignor is the registered proprietor and beneficial owner of US provisional patent application number 61/205,764, filed on 23 January 2009 and entitled 'A Method Of Plasma Etching And Carriers For Use In Such Methods' (hereinafter called the "Patent Application").
- (B) The Assignor has agreed to assign the Patent Application to the Assignee for the consideration hereinafter mentioned.

### Operative Provisions:

In consideration for the payment of Ten Pounds Sterling (£10.00) by the Assignee to the Assignor receipt of which is hereby acknowledged:

- 1. The Assignor HEREBY ASSIGNS with full title guarantee to the Assignee free from incumbrances:
  - 1.1. all its right, title and interest in and to the Patent Application or any derivatives thereof (such as divisional patent applications and continuation patent applications) together with all rights and powers arising from or accrued from the same including the right to sue for damages and other remedies in respect of any infringement of such rights whether committed before or after the date of this Agreement;
  - 1.2. the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the inventions claimed in the Patent Application including the right to claim priority therefrom.

The Assignor further covenants with the Assignee that at the request of the Assignee it will at all times after the date of this Assignment do all such acts and execute all such documents as may reasonably be necessary or desirable both to secure the vesting in the Assignee of all rights assigned to the Assignee hereunder and to assist in the resolution of any question concerning the Patent Application.

2.1. Execution: This Agreement may be executed in one or more counterparts each of which shall for all purposes be deemed to be an original and all of which shall constitute one and the same instrument.

Signed by the parties or their duly authorised representatives on the day and year first above written.

Signed for and on behalf of AVIZA TECHNOLOGY UK LIMITED by a duly authorised signatory

(Signature)

(Patrick C. O'Connor)

(Director)

Confirmatory Patent Assignment of US61/205,764 - ATL to SPTSUK

Signed for and on behalf of SPP PROCESS TECHNOLOGY SYSTEMS UK LIMITED by a duly authorised signatory

(Signature)

(Richard Rees) (Director)

> PATENT REEL: 034987 FRAME: 0930

**RECORDED: 02/19/2015**