

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3237596

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
YING LI	04/23/2012
JEAN XU	04/23/2012
KEVIN J. KLOPPER	05/01/2012
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CELGENE CORPORATION
<b>Street Address:</b>	86 MORRIS AVENUE
<b>City:</b>	SUMMIT
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	07901
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	14380033
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(858)314-1150
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	12827-237-999
<b>NAME OF SUBMITTER:</b>	CARRIE J. HINES
<b>SIGNATURE:</b>	/Carrie J. Hines/
<b>DATE SIGNED:</b>	02/23/2015
<b>Total Attachments: 3</b>	
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source=12827-237-999_Assignment#page2.tif	
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## ASSIGNMENT

WHEREAS, WE, Ying LI, citizen of the People's Republic of China, residing at 401 Briant Park Drive, Springfield, NJ 07081; Jean XU, citizen of the United States, residing at 2 Cherry Tree Lane, Warren, NJ 07059; and Kevin J. KLOPPER, citizen of the United States, residing at 255 Old Farm Road, Flemington, NJ 08822. ASSIGNORS, are the inventors of the invention in SOLID FORMS OF 3-(4-NITRO-1-OXISOINDOLIN-2-YL)PIPERIDINE-2,6-DIONE for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐
- ☒ which is identified by Jones Day docket no. 12827-237-888
- ☒ which was filed on February 21, 2012, Application No. 61/601,459
- ☐ We hereby authorize and request attorney(s), at Jones Day, to insert here in parentheses (Application number, \_\_\_\_\_) filed \_\_\_\_\_) the filing date and application number of said application when known.

and WHEREAS, Celgene Corporation, having a place of business at 86 Morris Avenue, Summit, New Jersey 07901, U.S.A., ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States provisional application and all applications claiming priority thereto under 35 U.S.C. §119(e) and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date April 23, 2012 [Signature] L.S.  
Ying LI

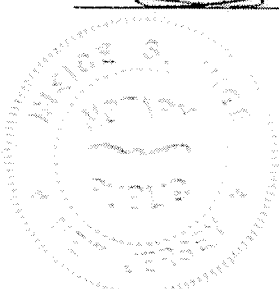
State of New Jersey  
County of Essex )  
SS. )

On April 23, 2012, before me, Janece Inge, Notary Public, personally appeared Ying LI, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

[Signature]

JANECE S. INGE  
ID # 2378059  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 9/7/2013



Serial No. 61/601,459

JOINT - PROVISIONAL APP.  
Atty. Docket 12827-237-888

Date April 23, 2012

Jean XU  
Jean XU

L.S.

State of New Jersey)  
                                  ) SS.:  
County of Essex )

On April 23, 2012, before me, Tracie Inge, Notary Public, personally appeared Jean XU, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Tracie Inge  
ID # 2878059  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 9/7/2013

Date \_\_\_\_\_, 2012

Kevin J. KLOPPER  
Kevin J. KLOPPER

L.S.

State of \_\_\_\_\_ )  
                                  ) SS.:  
County of \_\_\_\_\_ )

On \_\_\_\_\_, 2012, before me, \_\_\_\_\_, Notary Public, personally appeared Kevin J. KLOPPER, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Date \_\_\_\_\_, 2012 \_\_\_\_\_ L.S.  
Jean XUState of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) SS.:  
\_\_\_\_\_ )

On \_\_\_\_\_, 2012, before me, \_\_\_\_\_, Notary Public, personally appeared Jean XU, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Date 01 May 2012, 2012 KL L.S.  
Kevin J. KLOPFERState of New Jersey )  
County of Union ) SS.:  
May 1 )

On May 1, 2012, before me, Denise Errigo, Notary Public, personally appeared Kevin J. KLOPFER, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



DENISE ERRIGO  
ID # 2372876  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 4/24/2013