# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3238803

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
MARK GUARRAIA	11/19/2014
HANNAH OH	11/20/2014
JUSTIN FISK	11/19/2014
JEFFREY WALLACE	11/19/2014
AIDAN PETRIE	11/19/2014

### **RECEIVING PARTY DATA**

Name:	NEUROHABILITATION CORPORATION
Street Address:	41 UNIVERSITY DRIVE
Internal Address:	SUITE 400
City:	NEWTOWN
State/Country:	PENNSYLVANIA
Postal Code:	18940

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	29510753

### **CORRESPONDENCE DATA**

Fax Number: (617)526-9899

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 617-526-9600

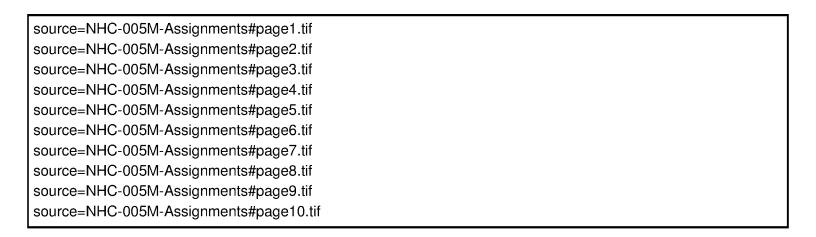
Email: sallen@proskauer.com **Correspondent Name:** PROSKAUER ROSE LLP Address Line 1: ONE INTERNATIONAL PLACE Address Line 4: BOSTON, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER: NHC-005M JONATHON I. GILLEN NAME OF SUBMITTER: SIGNATURE: /Jonathon I. Gillen/ **DATE SIGNED:** 02/24/2015

**Total Attachments: 10** 

**PATENT** REEL: 035016 FRAME: 0544

503192186



Attorney Docket No.: NHC-005M

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S):

Guarraia et al.

CONFIRMATION NO.:

Not yet assigned

APPLICATION NO.: Not yet assigned

FILING DATE:

Herewith

TITLE:

A Non-Invasive Neurostimulation Device

## DECLARATION PURSUANT TO 37 C.F.R. § 1.63 AND ASSIGNMENT

#### Ĭ. DECLARATION

As the below named inventor, I hereby make the following declarations with respect to the aboveidentified patent application attached hereto:

The above-identified application was made or authorized to be made by me;

I believe that I am the original inventor or an original joint inventor of a claimed invention in the aboveidentified application;

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims;

I acknowledge the duty to disclose to the Patent Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information known by me which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application; and

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

### II. ASSIGNMENT

WHEREAS, I, Mark Guarraia, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Neurohabilitation Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the state of Delaware and having a usual place of business at 41 University Drive, Suite 400, Newtown, PA 18940 desires to acquire an interest therein, in accordance with agreements duly entered into with me.

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with my entire right, title and interest in and to said application and such letters patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such letters patent, and any right, title and interest I may have in provisional applications to which said application claims priority; said invention(s), applications and letters patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made. I hereby convey all of my rights arising under or pursuant to

Attorney Docket No.: NHC-005M

Page 2 of 2

any and all United States laws and international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for letters patent, including but not limited to any cause(s) of action and damages accruing prior to this assignment. I hereby acknowledge that this assignment, being of my entire right, title and interest in and to said invention(s), carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all letters patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all letters patent to ASSIGNEE in its own name as assignee of my entire right, title and interest therein.

I hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention(s) to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including the execution of non-provisional, substitution, continuation, divisional, reissue, reexamination, or corresponding foreign or international patent applications.

I hereby further agree to provide statements or testimony in any interference or other proceeding in which said invention(s) or any application or patent directed thereto may be involved.

I hereby authorize and request the Director of the United States Patent and Trademark Office to issue such letters patent as shall be granted upon said application, or applications based thereon, to said ASSIGNEE, its successors, assigns, or legal representatives.

### III. SIGNATURE BLOCK

IN TESTIMONY WHEREOF forth below.	, I have hereunto set my hand-and affixed my seal on the date set
forth below.	Inventor: Wark Guarraja
<u>NOTARIZATION</u>	
State/Commonwealth of RI County of Providence	) ) ss
personally appeared Mark Guarraia, pr	, 2014 before me, the undersigned notary public, wed to me through satisfactory evidence of identification, which , to be the person whose name is signed on the preceding or
	Notary Public My Commission Expires: 11/24/15
WITNESS	My Commission Expires: ///24//5
Witness Signature:	KIMBERLY MURPHY
Witness Name:	My Commission Expires
Witness Date:	November 24, 2015

45817710v1

Attorney Docket No.: NHC-005M

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Guarraia et al. CONFIRMATION NO.: Not yet assigned

APPLICATION NO.: Not yet assigned FILING DATE: Herewith

TITLE: A Non-Invasive Neurostimulation Device

### DECLARATION PURSUANT TO 37 C.F.R. § 1.63 AND ASSIGNMENT

### I. DECLARATION

As the below named inventor, I hereby make the following declarations with respect to the above-identified patent application attached hereto:

The above-identified application was made or authorized to be made by me;

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I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims;

I acknowledge the duty to disclose to the Patent Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information known by me which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application; and

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

### II. ASSIGNMENT

WHEREAS, I, Hannah Oh, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Neurohabilitation Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the state of Delaware and having a usual place of business at 41 University Drive, Suite 400, Newtown, PA 18940 desires to acquire an interest therein, in accordance with agreements duly entered into with me.

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with my entire right, title and interest in and to said application and such letters patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such letters patent, and any right, title and interest I may have in provisional applications to which said application claims priority; said invention(s), applications and letters patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made. I hereby convey all of my rights arising under or pursuant to

Attorney Docket No.: NHC-005M

Page 2 of 2

any and all United States laws and international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for letters patent, including but not limited to any cause(s) of action and damages accruing prior to this assignment. I hereby acknowledge that this assignment, being of my entire right, title and interest in and to said invention(s), carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all letters patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all letters patent to ASSIGNEE in its own name as assignee of my entire right, title and interest therein.

I hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention(s) to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including the execution of non-provisional, substitution, continuation, divisional, reissue, reexamination, or corresponding foreign or international patent applications.

I hereby further agree to provide statements or testimony in any interference or other proceeding in which said invention(s) or any application or patent directed thereto may be involved.

I hereby authorize and request the Director of the United States Patent and Trademark Office to issue such letters patent as shall be granted upon said application, or applications based thereon, to said ASSIGNEE, its successors, assigns, or legal representatives.

### III. SIGNATURE BLOCK

IN TESTIMONY WHEREOF, I have forth below.	ve hereunto set my hand-and affixed my seal on the date set
	Inventor: Hannah Oh
NOTARIZATION	
State/Commonwealth of RI ) County of Pridence ) ss	
personally appeared Hannah Uh, proved to m	, 2014 before me, the undersigned notary public, ne through satisfactory evidence of identification, which were the person whose name is signed on the preceding or
	Notary Public  My Commission Expires: 11/34/15
WITNESS	My Commission Expires: 1/ /24//5
Witness Signature;	KIMBERLY MURPHY
Witness Name:	Notary Public-State of Rhode Island My Commission Expires November 24, 2015
Witness Date:	1 NOVEMBER 24, 2015

45819330v1

Attorney Docket No.: NHC-005M

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Guarraia et al. CONFIRMATION NO.: Not yet assigned

APPLICATION NO.: Not yet assigned FILING DATE: Herewith

TITLE: A Non-Invasive Neurostimulation Device

## DECLARATION PURSUANT TO 37 C.F.R. § 1.63 AND ASSIGNMENT

### I. DECLARATION

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

### II. ASSIGNMENT

WHEREAS, I, Justin Fisk, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Neurohabilitation Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the state of Delaware and having a usual place of business at 41 University Drive, Suite 400, Newtown, PA 18940 desires to acquire an interest therein, in accordance with agreements duly entered into with me.

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with my entire right, title and interest in and to said application and such letters patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such letters patent, and any right, title and interest I may have in provisional applications to which said application claims priority; said invention(s), applications and letters patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made. I hereby convey all of my rights arising under or pursuant to

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Page 2 of 2

any and all United States laws and international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for letters patent, including but not limited to any cause(s) of action and damages accruing prior to this assignment. I hereby acknowledge that this assignment, being of my entire right, title and interest in and to said invention(s), carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all letters patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all letters patent to ASSIGNEE in its own name as assignee of my entire right, title and interest therein.

I hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention(s) to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including the execution of non-provisional, substitution, continuation, divisional, reissue, reexamination, or corresponding foreign or international patent applications.

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### III. SIGNATURE BLOCK

IN TESTIMONY WHEREO forth below.	F, I have here	sunto set my hand-a	nd affixed my seal on the date set
		Inventor:	Justin Fisk
NOTARIZATION			<b>.</b> • • • • • • • • • • • • • • • • • • •
State/Commonwealth of RI County of Providence	) ) ss		
On this /9 day of	ed to me throu	igh satisfactory evid	dence of identification, which were
		Notary Public	Suly Muply ion Expires: 11/24/15
WITNESS		My Commiss	
Witness Signature:			KIMBERLY MURPHY Notary Public-State of Rhode Isla My Commission Expires
Witness Name:	***************************************		November 24, 2015

45818567v1

Witness Date:

Attorney Docket No.: NHC-005M

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S):

Guarraia et al.

CONFIRMATION NO.:

Not yet assigned

APPLICATION NO.: Not yet assigned

FILING DATE:

Herewith

TITLE:

A Non-Invasive Neurostimulation Device

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

### II. ASSIGNMENT

WHEREAS, I, Jeffrey Wallace, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Neurohabilitation Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the state of Delaware and having a usual place of business at 41 University Drive, Suite 400, Newtown, PA 18940 desires to acquire an interest therein, in accordance with agreements duly entered into with me.

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with my entire right, title and interest in and to said application and such letters patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such letters patent, and any right, title and interest I may have in provisional applications to which said application claims priority; said invention(s), applications and letters patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made. I hereby convey all of my rights arising under or pursuant to

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### III. SIGNATURE BLOCK

IN TESTIMONY WHEREOF, I have hereunto set my hand-and affixed my seal on the date set

Inventor:

NOTARIZATION

State/Commonwealth of County of Povidence ) ss

On this 19 day of November, 2014 before me, the undersigned notary public, personally appeared Jeffrey Wallace, proved to me through satisfactory evidence of identification, which were Konn hame, to be the person whose name is signed on the preceding or attached document in my presence.

My Commission Expires: ///24//5

45818795v1

WITNESS

Witness Signature:

Witness Name:

Witness Date:

KIMBERLY MURPHY

Notary Public-State of Rhode Island My Commission Expires

November 24, 2015

Attorney Docket No.: NHC-005M

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Guarraia et al. CONFIRMATION NO.: Not yet assigned

APPLICATION NO.: Not yet assigned FILING DATE: Herewith

TITLE: A Non-Invasive Neurostimulation Device

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

### II. ASSIGNMENT

WHEREAS, I, Aidan Petrie, have invented, solely or jointly, one or more inventions described in the above-identified patent application for letters patent; and

WHEREAS, Neurohabilitation Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the state of Delaware and having a usual place of business at 41 University Drive, Suite 400, Newtown, PA 18940 desires to acquire an interest therein, in accordance with agreements duly entered into with me.

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	Inventor: Aidan Petrie
NOTARIZATION	
State/Commonwealth of RI County of Providence	) ) ss
personally appeared Aidan Petrie, pr	2014 before me, the undersigned notary public, red to me through satisfactory evidence of identification, which to be the person whose name is signed on the preceding or
	Notary Public My Commission Expires: 1/24//5
WITNESS	
Witness Signature: Witness Name: Witness Date:	KIMBERLY MURPHY Notary Public-State of Rhode Island My Commission Expires November 24, 2015

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