

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3239483

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JAMES NATHAN HOHMAN	02/18/2015
PAUL S. WEISS	02/20/2015
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13801349
CORRESPONDENCE DATA	
Fax Number:	(949)852-0004
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9498520000
Email:	pair@kppb.com
Correspondent Name:	KPPB LLP
Address Line 1:	2400 E. KATELLA AVE.
Address Line 2:	SUITE 1050
Address Line 4:	ANAHEIM, CALIFORNIA 92806
ATTORNEY DOCKET NUMBER:	R4-02033
NAME OF SUBMITTER:	CHRISTINA DUCKSWORTH
SIGNATURE:	/ Christina Ducksworth /
DATE SIGNED:	02/24/2015
Total Attachments: 2	
source=02033assignment#page1.tif	
source=02033assignment#page2.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

James Nathan Hohman, Paul S. Weiss

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 02/18/2015; 2/20/2015

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: Twelfth Floor

Street Address: 1111 Franklin Street

City: Oakland

State: CA

Country: US Zip: 94607

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No (s)

13/801349

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: KPPB LLP

Internal Address: Suite 1050

Street Address: 2400 E. Katella

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number R4-02033

Email Address: pair@kppb.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$

☐ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-4407

Authorized User Name

9. Signature: /Jacqueline D. Stroncek/

02/24/2015

Signature

Date

Jacqueline D. Stroncek

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

UC Case No. 2011-627-2 LA

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

James Nathan Hohman, Paul S. Weiss

hereby sell, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METAL ALLOY NANOPARTICLE SYNTHESIS VIA SELF-ASSEMBLED MONOLAYER FORMATION AND ULTRASOUND

and which is found in

- (a)_____ U.S. patent application filed herewith and listing the above named persons as inventors
- (b)_____ U.S. Provisional Application Serial No. _____, filed on _____
- (c) x_____ U.S. Patent Application Serial No. 13/801349, filed March 13, 2013
- (d)_____ U.S. Patent No. _____, issued _____
- (e)_____ International Patent Application Serial No. _____, filed on _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

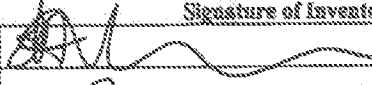
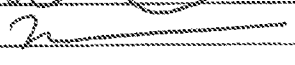
ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

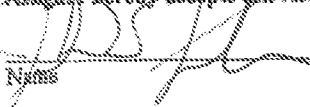
executed this

Signature of Inventor(s)

Inventor Name	Executed this Date	Signature of Inventors
James Nathan Hohman	2/18/2015	
Paul S. Weiss	2/20/2015	

This box is for office use only

Assigner hereby accepts this Assignment:

 Partner Date 2/23/15