

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3230957

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
NEUROTHERMIA, INC.	09/28/1999
RECEIVING PARTY DATA	
Name:	INNERCOOL THERAPIES, INC.
Street Address:	3931 SORRENTO VALLEY BOULEVARD
City:	SAN DIEGO
State/Country:	CALIFORNIA
Postal Code:	92121
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	6478812
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6193388075
Email:	NOELLE@ROGITZ.COM
Correspondent Name:	ROGITZ & ASSOCIATES
Address Line 1:	750 B STREET
Address Line 2:	SUITE 3120
Address Line 4:	SAN DIEGO, CALIFORNIA 92101
NAME OF SUBMITTER:	JOHN L. ROGITZ
SIGNATURE:	/John L. Rogitz/
DATE SIGNED:	02/18/2015
Total Attachments: 5	
source=216-NeurotoInnercool#page1.tif	
source=216-NeurotoInnercool#page2.tif	
source=216-NeurotoInnercool#page3.tif	
source=216-NeurotoInnercool#page4.tif	
source=216-NeurotoInnercool#page5.tif	

12-20-1999



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MAY
12/13/99

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DEC 13 1999
OPR/FINANCE

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) of _____.

Submission Type

New

Resubmission (Non-Recordation)
Document ID# _____

Correction of PTO Error
Reel # _____ Frame # _____

Corrective Document
Reel # _____ Frame # _____

Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other _____

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Neurothermia, Inc. Execution Date: 9/28/99
Month: Day: Year:

Name (line 2) _____

Second Party
Name (line 1) _____ Execution Date: _____
Month: Day: Year:

Name (line 2) _____

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Innercool Therapies, Inc. If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached (Designation must be a separate document from Assignment.)

Name (line 2) _____

Address (line 1) 3931 Sorrento Valley Blvd.

Address (line 2) _____

Address (line 3) San Diego CA 92121
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Mark Wieceorek

Address (line 1) Innercool Therapies, Inc.

Address (line 2) 3931 Sorrento Valley Blvd.

Address (line 3) San Diego CA 92121

Address (line 4) _____

12/20/1999 10:00:01 AM 09/02/99

FOR OFFICE USE ONLY

01 17:00:00

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0551-0537), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 010437 FRAME: 0216

PATENT

REEL: 035036 FRAME: 0655

Correspondent Name and Address

Area Code and Telephone Number

858-713-9519

Name Mark Wiczorek

Address (line 1) Intercus Therapies, Inc.

Address (line 2) 1931 Sorrento Valley Blvd.

Address (line 3) San Diego CA 92121

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/262,805

09/215,841

09/232,177

5,957,963

09/215,839

09/328,854

09/246,514

09/215,838

09/246,788

09/215,840

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

11

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

440.00

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

50-8914

Authorization to charge additional fees:

Yes

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Mark Wiczorek

Name of Person Signing

Signature

Date

PATENT

REEL: 010437 FRAME: 0217

PATENT

REEL: 035036 FRAME: 0656

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 3)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

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Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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PATENT

REEL: 010437 FRAME: 0218

PATENT

REEL: 035036 FRAME: 0657



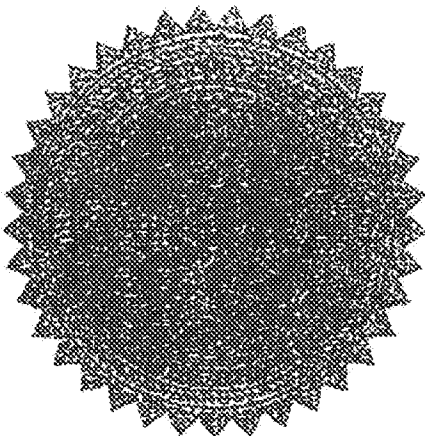
SECRETARY OF STATE

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the attached transcript of 1 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

OCT 01 1999



Bill Jones
Secretary of State

Standard Form CE-107 (Rev. 8/78)

PATENT REEL: 010438 FRAME: 0219

PATENT REEL: 035036 FRAME: 0658

00532016

CERTIFICATE OF AMENDMENT
OF
RESTATED ARTICLES OF INCORPORATION
OF
NEUROTHERMIA, INC.
A California Corporation

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

SEP 29 1999

BILL JONES, Secretary of State

John Dobak and Michael Kagnoff hereby certify that:

1. They are the duly elected and acting President and Secretary, respectively, of Neurothermia, Inc., a California corporation (the "Corporation").

2. Article I of the Restated Articles of Incorporation of this corporation is hereby amended to read in its entirety as follows:

"ARTICLE I

The name of the corporation is Innercool Therapies, Inc."

3. The foregoing amendment of the Restated Articles of Incorporation has been duly approved by the Board of Directors.

4. The foregoing amendment has been duly approved by the requisite number of shares of the Corporation in accordance with Sections 902 and 903 of the California Corporations Code. The number of outstanding shares of each class entitled to vote with respect to the foregoing amendment was 1,205,000 shares of Common Stock, 2,139,800 shares of Series A Preferred Stock, and 2,984,076 shares of Series B Preferred Stock. The number of shares voting in favor of the foregoing amendment equaled or exceeded the vote required, such required vote being a majority of the outstanding shares of Common Stock, Series A Preferred Stock, and Series B Preferred Stock voting together as a single class.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our knowledge.

Date: September 29, 1999



John Dobak

John Dobak, President
Michael Kagnoff

Michael Kagnoff, Secretary

REGISTRATION INFORMATION

RECORDED: 12/13/1999

PATENT

NEEL: 010437 FRAME: 0220

PATENT

RECORDED: 02/18/2015

REEL: 035036 FRAME: 0659