

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
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	HASANE RATNI	01/29/2015
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	Property Type	Number
	Application Number:	14380385
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ATTORNEY DOCKET NUMBER:	10589-163-999	
NAME OF SUBMITTER:	ZHAN MAO	
SIGNATURE:	/Zhan Mao/	
DATE SIGNED:	02/27/2015	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 3		
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source=10589-163-999-Roche#page3.tif		

DECLARATION AND ASSIGNMENT

WHEREAS, I, **Hasane RATNI**, citizen of France, having a mailing address of 4, rue Louis Pasteur, F-68440, Habsheim, France residing at Habsheim, France, ASSIGNOR, am a co-inventor with **Chang-Sun LEE**, **Soongyu CHOI**, **Gary Mitchell KARP** and **Hiroo KOYAMA** of the invention in **COMPOUNDS FOR TREATING SPINAL MUSCULAR ATROPHY**, for which an application for a patent of the United States has been filed,

☒ which is identified by Jones Day docket no. 10589-163-999

☒ which was filed on August 22, 2014, Application No. 14/380,385

☐ I hereby authorize and request attorney(s) at Jones Day, to insert here in parentheses (Application number _____, filed _____) the filing date and application number of said application when known.

As an above-named inventor, I hereby declare that:

This declaration is directed to:

☐ The attached application,

OR

☒ United States Application Number 14/380,385 filed on August 22, 2014.

The above-identified application was made or authorized to be made by me.

I believe I am the original inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization to Permit Access to Application by Participating Office

☒ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

and WHEREAS, **F. Hoffmann-La Roche AG**, a corporation having an office for the transaction of business at Grenzacherstrasse 124, CH-4070, Basel, Switzerland, ASSIGNEE, is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and all right to sue for infringement including past infringement;

AND I HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

Date January 29, 2015 Hasane RATNI L.S.

Signature _____ (Seal)

PATENT
REEL: 035054 FRAME: 0602

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

Mister **Hasane Ratni**, French citizen, residing in Habsheim (France).

Signer and signature personally known.

B a s e l (Switzerland), this 16th (sixteenth) day of February 2015 (two thousand and fifteen).



BR 283/2015