PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3248482

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
COVIDIEN LP	01/27/2015

RECEIVING PARTY DATA

Name:	THE SPECTRANETICS CORPORATION
Street Address:	9965 FEDERAL DRIVE
City:	COLORADO SPRINGS
State/Country:	COLORADO
Postal Code:	80921

PROPERTY NUMBERS Total: 18

Property Type	Number
PCT Number:	US2009056842
PCT Number:	US2010027731
Patent Number:	8257722
Application Number:	14254160
Application Number:	12558420
Patent Number:	8128951
Patent Number:	8114429
Patent Number:	8563023
Patent Number:	8491925
Patent Number:	8673332
Patent Number:	8734825
Application Number:	14032336
Application Number:	14149862
Application Number:	61665758
Application Number:	13926515
PCT Number:	US2013048052
Application Number:	14162900
PCT Number:	US2015010786

CORRESPONDENCE DATA

PATENT REEL: 035074 FRAME: 0106

503201867

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: docket@cdfslaw.com, sschlie@cdfslaw.com

Correspondent Name: SARAH SCHLIE

Address Line 1: 445 BROAD HOLLOW ROAD

Address Line 2: SUITE 420

Address Line 4: MELVILLE, NEW YORK 11747

ATTORNEY DOCKET NUMBER:	2209-0
NAME OF SUBMITTER:	SARAH SCHLIE
SIGNATURE:	/Sarah Schlie/
DATE SIGNED:	03/03/2015

Total Attachments: 9
source=00699437#page1.tif
source=00699437#page2.tif
source=00699437#page3.tif
source=00699437#page4.tif
source=00699437#page5.tif
source=00699437#page6.tif
source=00699437#page7.tif
source=00699437#page8.tif
source=00699437#page8.tif

PATENT ASSIGNMENT AGREEMENT

by and between

COVIDIEN LP

and

THE SPECTRANETICS CORPORATION

Dated as of January 27, 2015

PATENT ASSIGNMENT AGREEMENT

This PATENT ASSIGNMENT AGREEMENT (this "<u>Agreement</u>"), is made and entered into as of the 27th day of January, 2015, by and between Covidien LP, a Delaware limited partnership ("<u>Seller</u>"), and The Spectranetics Corporation, a Delaware corporation ("<u>Purchaser</u>" and, together with Seller, the "<u>Parties</u>").

RECITALS

WHEREAS, Purchaser and Seller are parties to that certain Asset Purchase Agreement, dated as of October 31, 2014 (the "<u>Purchase Agreement</u>"), pursuant to which Seller has agreed to sell and transfer to Purchaser, and Purchaser has agreed to purchase and assume from Seller, the Purchased Assets and the Assumed Liabilities;

WHEREAS, this Agreement is an Ancillary Agreement pursuant to the Purchase Agreement;

WHEREAS, this Agreement is being entered into by the Parties as a condition and mutual inducement to the Closing; and

WHEREAS, Purchaser desires to acquire, and Seller desires to sell, transfer, convey and assign to Purchaser all of Seller's right, title and interest in, to and under the patents and patent applications identified in <u>Appendix A</u> hereto (the "<u>Transferred Patents</u>").

NOW, THEREFORE, in consideration of the mutual agreements, covenants and other premises set forth herein and in the Purchase Agreement and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and accepted, the Parties agree as follows:

- 1. <u>Assignment</u>. Seller does hereby sell, assign and transfer to Purchaser all of Seller's right, title and interest in, to and under the Transferred Patents, and any reexamination and reissue certificates thereto, including the right to sue and collect and retain damages and costs and attorneys' fees for past, present and future infringement of the Transferred Patents, and to fully and entirely stand in the place of the Seller in all matters related to the Transferred Patents.
- **2.** <u>Warranties</u>. EXCEPT AS EXPRESSLY SET FORTH IN THE PURCHASE AGREEMENT, THERE ARE NO EXPRESS OR IMPLIED WARRANTIES OF NONINFRINGEMENT OF THIRD PARTY INTELLECTUAL PROPERTY, OR REGARDING THE SCOPE, VALIDITY, OWNERSHIP OR ENFORCEABILITY OF ANY TRANSFERRED PATENTS.

3. General Provisions.

A. Capitalized terms used but not otherwise defined herein shall have the meanings ascribed thereto in the Purchase Agreement. This Agreement, Appendix A hereto, the Purchase

Agreement, the Confidentiality Agreement and the other Ancillary Agreements, contains the entire agreement between the Parties with respect to the subject matter hereof and supersede all prior agreements and understandings, oral or written relating to the subject matter hereof. Notwithstanding any other provision of this Agreement to the contrary, in the event and to the extent that there shall be a conflict between the provisions of this Agreement and the provisions of the Purchase Agreement, the provisions of the Purchase Agreement shall control. This Agreement shall not be amended, modified or supplemented except by an instrument in writing specifically designated as an amendment hereto and executed by each of the Parties. Neither any course of conduct or failure or delay of any Party in exercising or enforcing any right, remedy or power hereunder shall operate or be construed as a waiver thereof, nor shall any single or partial exercise of any right, remedy or power hereunder, or any abandonment or discontinuance of steps to enforce such right, remedy or power, or any course of conduct, preclude any other or further exercise thereof or the exercise of any other right, remedy or power. This Agreement shall be binding upon and inure solely to the benefit of each Party and its successors and permitted assigns.

- B. Seller agrees that, upon request and without further compensation, but at Purchaser's expense, it and its legal representatives and assigns will do all lawful acts that may be reasonably necessary for obtaining, maintaining, reexamining or reissuing said Transferred Patents in the United States and throughout the world, and for perfecting, recording, and maintaining the title of Purchaser, its successors and assigns, to said Transferred Patents in the United States and throughout the world.
- C. Seller authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any letters patent granted for said Transferred Patents to Purchaser, its successors and assigns as the assignee of Seller's interest in the Transferred Patents.
- **4.** Governing Law. This Agreement, the rights of the Parties and all Proceedings arising in whole or in part under or in connection herewith shall be governed by and construed in accordance with the Laws of the State of Delaware, without regard to any conflicts of law principles of such state that might apply the law of another jurisdiction.
- 5. Consent to Jurisdiction. With respect to any Proceeding relating to this Agreement, each Party irrevocably (i) agrees and consents to be subject to the exclusive jurisdiction of the United States District Court for the District of Delaware or the Delaware Court of Chancery and any state appellate court therefrom within the State of Delaware (or, if the Delaware Court of Chancery declines to accept jurisdiction over a particular matter, any state or federal court within the City of Wilmington) and (ii) waives any objection which it may have at any time to the laying of venue of any Proceeding brought in any such court, waives any claim that such Proceeding has been brought in an inconvenient forum and further waives the right to object, with respect to such Proceeding, that such court does not have any jurisdiction over such Party. The foregoing consent to jurisdiction shall not constitute general consent to service of process in the State of Delaware for any purpose except as provided above and shall not be deemed to confer rights on any Person other than the respective Parties to this Agreement. Each of Seller and Purchaser irrevocably agrees that service of any process, summons, notice or document by United States registered mail to such Party's address set forth above shall be

effective service of process for any Proceeding in Delaware with respect to any matters for which it has submitted to jurisdiction pursuant to this <u>Section 5</u>. Notwithstanding the foregoing, a Party may commence any Proceeding in any court other than the above-named courts solely for the purpose of enforcing an order or judgment issued by any of the above-named courts.

Executed this 27th day of January , 2015

COVIDIEN LP

By: Name: Matthew Nicolella

Title: Vice President and Assistant Secretary

ACKNOWLEDGMENT

STATE OF MASSACHUS ETTS)

(SS. COUNTY OF BRISTOL)

On the <u>27</u> day of <u>January</u> in the year 2015, before me, the undersigned, a Notary Public in and for said state, personally appeared <u>MATHEWI MOULUA</u>, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies) and that by his/her/their signature(s) on the instrument, the person(s), or the entity, in its capacity(ies) above noted, upon behalf of which the person(s) acted, executed the instrument.

Signature:



[Signature Page to Patent Assignment Agreement]

APPENDIX A

Transferred Patents

#	Patent Title	Country	Application No.	Filed Date	Patent No.	Issue Date
1.	Local Delivery of Water-	Australia	2009291552	14 Sep 2009	2009291552	17 Jul
	Soluble or Water-Insoluble					2014
	Therapeutic Agents to the					
	Surface of Body Lumens					
2.	Local Delivery of Water-	Australia	2014202149	14 Sep 2009		
	Soluble or Water-Insoluble					
	Therapeutic Agents to the					
	Surface of Body Lumens					
3.	Local Delivery of Water-	Australia	2010346621	17 Mar 2010	2010346621	03 Jul
	Soluble or Water-Insoluble					2014
	Therapeutic Agents to the					
	Surface of Body Lumens					
4.	Local Delivery of Water-	Canada	2736500	14 Sep 2009		
	Soluble or Water-Insoluble					
	Therapeutic Agents to the					
	Surface of Body Lumens					
5.	Local Delivery of Water-	Canada	2789565	17 Mar 2010		
	Soluble or Water-Insoluble					
	Therapeutic Agents to the					
	Surface of Body Lumens					
6.	Local Delivery of Water-	China	200980136918.6	14 Sep 2009	ZL20098013691	13 Nov
.	Soluble or Water-Insoluble	Cimia	200900130910.0	1 1 0 cp 2005	8.6	2013
	Therapeutic Agents to the				0.0	2015
	Surface of Body Lumens					
7.	Local Delivery of Water-	China	201080064497.3	17 Mar 2010		
<i>'</i>	Soluble or Water-Insoluble	Cimia	201000001177.5	17 17141 2010		
	Therapeutic Agents to the					
	Surface of Body Lumens					
8.	Local Delivery of Water-	European	09792515.0	14 Sep 2009		
0.	Soluble or Water-Insoluble	Patent	07/72313.0	14 Sep 2007		
	Therapeutic Agents to the	Tatent				
	Surface of Body Lumens					
9.	Local Delivery of Water-	European	10716921.1	17 Mar 2010		
ای.	Soluble or Water-Insoluble	Patent	10/10921.1	17 Wiai 2010		
	Therapeutic Agents to the	1 atent				
	Surface of Body Lumens					
10.	Local Delivery of Water-	Hong	12101257.2	15 Sep 2008	HK 1160783	20 Jun
10.	Soluble or Water-Insoluble	Kong	12101237.2	1.5 SCP 2006	11K 1100765	2014
	Therapeutic Agents to the	Kong				2014
	Surface of Body Lumens					
11.	Local Delivery of Water-	Hong	13105752.2	17 Mar 2010		
111.	Soluble or Water-Insoluble	Kong	15105/52.2	17 Wiai 2010		
	Therapeutic Agents to the	Kong				
	Surface of Body Lumens					
12.		Ionon	2011 527029	14 Sep 2000		
12.	Local Delivery of Water- Soluble or Water-Insoluble	Japan	2011-527028	14 Sep 2009		
	Therapeutic Agents to the					
12	Surface of Body Lumens	Tomo:	2014 142111	17 Ma:: 2010		
13.	Local Delivery of Water-	Japan	2014-142111	17 Mar 2010		
	Soluble or Water-Insoluble	1				

6

#	Patent Title	Country	Application No.	Filed Date	Patent No.	Issue Date
	Therapeutic Agents to the					
	Surface of Body Lumens					
14.	Local Delivery of Water-	Japan	2012-554976	17 Mar 2010		
	Soluble or Water-Insoluble					
	Therapeutic Agents to the					
	Surface of Body Lumens					
15.	Local Delivery of Water-	New	591719	14 Sep 2009	591719	26 Mar
	Soluble or Water-Insoluble	Zealand				2013
	Therapeutic Agents to the					
	Surface of Body Lumens					
16.	Local Delivery of Water-	New	601762	17 Mar 2010	601672	06 Jan
	Soluble or Water-Insoluble	Zealand				2015
	Therapeutic Agents to the					
	Surface of Body Lumens					
17.	Local Delivery of Water-	Patent	PCT/US2009/0568	14 Sep 2009		
	Soluble or Water-Insoluble	Cooperat	42	1		
	Therapeutic Agents to the	ion				
	Surface of Body Lumens	Treaty				
18.	Local Delivery of Water-	Patent	PCT/US2010/0277	17 Mar 2010		
	Soluble or Water-Insoluble	Cooperat	31			
	Therapeutic Agents to the	ion				
	Surface of Body Lumens	Treaty				
19.	Local Delivery of Water-	United	12/210344	15 Sep 2008	8257722	04 Sep
	Soluble or Water-Insoluble	States of		1		2012
	Therapeutic Agents to the	America				
	Surface of Body Lumens					
20.	Local Delivery of Water-	United	14/254160	16 Apr 2014		
	Soluble or Water-Insoluble	States of				
	Therapeutic Agents to the	America				
	Surface of Body Lumens					
21.	Local Delivery of Water-	United	12/558420	11 Sep 2009		
	Soluble or Water-Insoluble	States of				
	Therapeutic Agents to the	America				
	Surface of Body Lumens	1 111111111				
22.	Local Delivery of Water-	United	12/712134	24 Feb 2010	8128951	06 Mar
	Soluble or Water-Insoluble	States of	12,712151	2.1002010	0120301	2012
	Therapeutic Agents to the	America				2012
	Surface of Body Lumens	1111111111				
23.	Local Delivery of Water-	United	12/726101	17 Mar 2010	8114429	14 Feb
	Soluble or Water-Insoluble	States of				2012
	Therapeutic Agents to the	America				
	Surface of Body Lumens	1111111111				
24.	Local Delivery of Water-	United	13/310320	02 Dec 2011	8563023	22 Oct
ļ_ ··	Soluble or Water-Insoluble	States of		=====================================	3232325	2013
	Therapeutic Agents to the	America				2010
	Surface of Body Lumens	1111101101				
25.	Local Delivery of Water-	United	13/310326	02 Dec 2011	8491925	23 Jul
	Soluble or Water-Insoluble	States of			3.5.2.2.3	2013
	Therapeutic Agents to the	America				2013
	Surface of Body Lumens	1 IIIIOIIOu				
26.	Local Delivery of Water-	United	13/560538	12 Jul 2012	8673332	18 Mar
20.	Soluble or Water-Insoluble	States of	13/300330	12 341 2012	0073332	2014
	Therapeutic Agents to the	America				2017
	Surface of Body Lumens	/ Milerica				
	Surface of Dody Lumens	l .	1	I		

#	Patent Title	Country	Application No.	Filed Date	Patent No.	Issue Date
27.	Local Delivery of Water-	United	13/919443	17 Jun 2013	8734825	27 May
	Soluble or Water-Insoluble	States of				2014
	Therapeutic Agents to the	America				
	Surface of Body Lumens					
28.	Local Delivery of Water-	United	14/032336	20 Sep 2013		
	Soluble or Water-Insoluble	States of				
	Therapeutic Agents to the	America				
	Surface of Body Lumens					
29.	Local Delivery of Water-	United	14/149862	08 Jan 2014		
	Soluble or Water-Insoluble	States of				
	Therapeutic Agents to the	America				
	Surface of Body Lumens					
30.	POST-PROCESSING OF A	United	61/665758	28 Jun 2012		
	MEDICAL DEVICE TO	States of				
	CONTROL	America				
	MORPHOLOGY AND					
	MECHANICAL					
	PROPERTIES					
31.	POST-PROCESSING OF A	United	13/926515	25 Jun 2013		
	MEDICAL DEVICE TO	States of				
	CONTROL	America				
	MORPHOLOGY AND					
	MECHANICAL					
	PROPERTIES					
32.	POST-PROCESSING OF A	Patent	PCT/US13/48052	27 Jun 2013		
	MEDICAL DEVICE TO	Cooperat				
	CONTROL	ion				
	MORPHOLOGY AND	Treaty				
	MECHANICAL					
	PROPERTIES					
33.	POST-PROCESSING OF A	Australia	2013280330	27 Jun 2013		
	MEDICAL DEVICE TO					
	CONTROL					
	MORPHOLOGY AND					
	MECHANICAL					
	PROPERTIES					
34.	POST-PROCESSING OF A	Brazil	BR112014031464-	27 Jun 2013		
	MEDICAL DEVICE TO		0			
	CONTROL					
	MORPHOLOGY AND					
	MECHANICAL					
	PROPERTIES					
35.	POST-PROCESSING OF A	Canada		27 Jun 2013		
	MEDICAL DEVICE TO					
	CONTROL					
	MORPHOLOGY AND					
	MECHANICAL					
2.5	PROPERTIES	~ .				
36.	POST-PROCESSING OF A	China	201380032796.2	27 Jun 2013		
	MEDICAL DEVICE TO					
	CONTROL					
	MORPHOLOGY AND					
	MECHANICAL					
	PROPERTIES					

#	Patent Title	Country	Application No.	Filed Date	Patent No.	Issue Date
37.	POST-PROCESSING OF A MEDICAL DEVICE TO CONTROL MORPHOLOGY AND MECHANICAL PROPERTIES	European Patent	13737486.4	27 Jun 2013		
38.	POST-PROCESSING OF A MEDICAL DEVICE TO CONTROL MORPHOLOGY AND MECHANICAL PROPERTIES	India	9701/DELNP/2014	27 Jun 2013		
39.	POST-PROCESSING OF A MEDICAL DEVICE TO CONTROL MORPHOLOGY AND MECHANICAL PROPERTIES	Japan		27 Jun 2013		
40.	POST-PROCESSING OF A MEDICAL DEVICE TO CONTROL MORPHOLOGY AND MECHANICAL PROPERTIES	Korea, Republic of (KR)	10-2014-7036098	27 Jun 2013		
41.	POST-PROCESSING OF A MEDICAL DEVICE TO CONTROL MORPHOLOGY AND MECHANICAL PROPERTIES	New Zealand	701765	27 Jun 2013		
42.	POST-PROCESSING OF A MEDICAL DEVICE TO CONTROL MORPHOLOGY AND MECHANICAL PROPERTIES	Russian Federatio n	2014145442	27 Jun 2013		
43.	Coatings for Medical Devices	United States of America	14/162900	24 Jan 2014		
44.	Coatings for Medical Devices	Patent Cooperat ion Treaty	PCT/US15/10786	09 Jan 2015		

RECORDED: 03/03/2015