# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3250986

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name          | Execution Date |
|---------------|----------------|
| ADAM SCHROTT  | 03/02/2015     |
| ELISABETH COX | 03/02/2015     |

## **RECEIVING PARTY DATA**

| Name:           | KAO USA INC.             |
|-----------------|--------------------------|
| Street Address: | 2535 SPRING GROVE AVENUE |
| City:           | CINCINNATI               |
| State/Country:  | OHIO                     |
| Postal Code:    | 45214                    |

# **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14518271 |

### **CORRESPONDENCE DATA**

**Fax Number:** (513)651-6981

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 5136516800

**Email:** pspradlin@fbtlaw.com

Correspondent Name: FROST BROWN TODD LLC
Address Line 1: 301 EAST FOURTH STREET
Address Line 2: 3300 GREAT AMERICAN TOWER

Address Line 4: CINCINNATI, OHIO 45202

| ATTORNEY DOCKET NUMBER: | 0042964/0611616  |
|-------------------------|------------------|
| NAME OF SUBMITTER:      | PEGGY SPRADLIN   |
| SIGNATURE:              | /Peggy Spradlin/ |
| DATE SIGNED:            | 03/04/2015       |

### **Total Attachments: 4**

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> PATENT REEL: 035085 FRAME: 0533

#### ASSIGNMENT

The undersigned (hereinafter "Assignor") of the residence(s) indicated below are the inventor(s) of certain invention(s) and embodiment(s) thereof (hereinafter collectively the "Invention") described in a United States Patent Application Serial Number 14/518,271, filed on October 20, 2014 titled RINSE-OFF VOLUMIZING HAIR COMPOSITIONS CONTAINING STYLING POLYMERS AND POLYCATIONIC POLYMERS (hereinafter the "Application").

Kao USA Inc. having a principal place of business at 2535 Spring Grove Avenue, Cincinnati, Ohio 45214 and its successors, assigns, and legal representatives (hereinafter collectively "Assignee") desires to secure the entire right, title and interest in and to the Invention.

For good and valuable consideration, the receipt and adequacy of which is acknowledged, Assignor hereby:

- 1. Sells, assigns and transfers to Assignee the entire right, title and interest in and to the Invention, the Application, any other applications to which the Application claims priority benefit, including but not limited to related provisional applications, any other patent applications based in whole or in part upon the Invention or Application in any country (including Patent Cooperation Treaty, divisional, renewal, substitute, continuation, and continuation-in-part applications), all patents and other forms of intellectual property protection which may be granted thereon (including all reissues, reexaminations, and extensions thereof), and all priority rights appertaining thereto;
- 2. Sells, assigns and transfers to Assignee the entire right to claim the benefit of priority to the Application and to the following applications to which the Application claims priority benefit: U.S. Provisional Patent Application No. 61/893,412, filed October 21, 2013.
- 3. Authorizes Assignee to file applications for patents and other forms of intellectual property protection on the Invention in any country in the name of Assignee, or otherwise as Assignee may deem advisable;
- 4. Authorizes and requests the Director of the United States Patent & Trademark Office, and such patent office officials in foreign countries as are duly authorized by their laws to issue patents, to issue any patents on the Invention to Assignee as the owner of the entire right, title, and interest therein or otherwise as Assignee may direct;
- 5. Agrees, without expense to Assignor but without further consideration, to carry out in good faith the intent and purpose of this Assignment by executing all divisional, renewal, substitute, continuation, continuation-in-part, reissue, and all other patent applications based on the Invention; executing all rightful oaths, assignments, powers of attorney and other papers; communicating to Assignee all facts known to Assignor relating to the Invention and the history thereof; and generally, doing everything reasonably possible which Assignee shall consider desirable for aiding in securing, maintaining, and enforcing proper protection for the Invention

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and for vesting title in Assignee to the Invention and all patent applications and patents based on the Invention;

- Represents and warrants that Assignor has the full right to convey to Assignee the 6. entire interest to the Invention, and that Assignor has not executed, and will not execute, any agreement in conflict herewith; and
- Authorizes Frost Brown Todd, LLC to insert in this Assignment the serial number 7. and filing date of the Application or any other information which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this Assignment.

| Sole or first inventor: | 1/1/1/1      | -     |            |
|-------------------------|--------------|-------|------------|
| Signature:              | Adam Sahrott | Date: | 03/02/2015 |

2535 Spring Grove Avenue Residence: Cincinnati, Ohio 45214

STATE OF OHIO COUNTY OF Hamilton

JOAN On this 2<sup>nd</sup> day of March, 2016, personally appeared before me Gordon, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment, and acknowledged that he executed the same.

My Commission Expires: 9-23



Joan M. Gordon Notary Public, State of Ohio-My Commission Expires 09-23-2018

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| Second inventor:                       |   |                            |             |
|--|---|----------------------------|-------------|
| Signature:<br>Full Name:<br>Residence: | Elisabeth Cox 842 Clearfield Lane Cincinnati, Ohio 4524                                   | Date:                      | 3/2/15      |
| On this 2nd day me on the basis o      | of March, 20/5, personal f satisfactory evidence to be the p d that he executed the same. | ally appeared before me Go | ) M. Hordor |



Joan M. Gordon Notary Public, State of Ohio My Commission Expires 09-23-2018

|                                    | Commelleave   | •  |   |
|------------------------------------|---|--|---|
| Assignee :                         |   |  |   |
| <u>Kao USA Inc.</u><br><i>By</i> : | olinia Madre  | Date:                                    | 3-3-15  |
| Full Name: Title:                  | VP, RED Beauty Research Ar  | <del></del>                              |   |
|                                    |   |  |   |
| STATE OF _C<br>COUNTY OF _E        | office ) ss: familton )   |  |   |
| On this 31d day                    | y of <i>Marck</i> , 20/5, personally ap<br>of satisfactory evidence to be the person<br>ed that he executed the same. | peared before me _<br>whose name is subs | , who proved to cribed to on this Assignment, |
| and define 1110 dB.                |   | ary Dublice                              | our M. Hordon                                 |



Joan M. Gordon

Notary Public, State of Ohio

My Commission Expires 09-23-2018

My Commission Expires: 9-23-18

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PATENT REEL: 035085 FRAME: 0537

RECORDED: 03/04/2015