

02/23/2015

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1. Name of conveying party(ies)

LO, Kuo Chang; CHANG, Yao Te

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1/26/15

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: POLYTRONICS TECHNOLOGY CORP.

Internal Address:

Street Address: No. 24-1 Industry E. Rd. IV

Hsinchu Science Park

City: Hsinchu

State:

Country: Taiwan, R.O.C. Zip: 300

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

Attached application filed on 2-11-15

14619822

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John S. Egbert

Internal Address:

Street Address: 1314 Texas Avenue, 21st Floor

City: Houston

State: TX Zip: 77002

Phone Number: 713-224-8080

Docket Number: 2703-60

Email Address: mail@egbertlawoffices.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$0

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 080879 1461982

Authorized User Name John S. Egbert

9. Signature: /John S. Egbert/

11 February 2015

Signature

Date

John S. Egbert

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box-1450, Alexandria, V.A. 22313-1450

Table of Contents (Selected Documents)

Date	Code	Pages	Source	PackagelD	Annotation
02-11-2015	LET.	3	EFSW	US 1461982201P1	

United States Patent Application
Attorney Docket No.: //
First Named Inventor: LO, KUO CHANG

ASSIGNMENT

WHEREAS, the undersigned inventor(s) (hereinafter individually and collectively referred to as "ASSIGNOR") has/have invented:

POSITIVE TEMPERATURE COEFFICIENT DEVICE

for which application for Letters Patent of the United States:

X has been executed on even date herewith;
_____ was executed on _____;
_____ was filed on _____ and assigned U.S. Application No. _____;

AND WHEREAS, POLYTRONICS TECHNOLOGY CORP., a corporation having a place of business at NO. 24-1, INDUSTRY E. RD. 4TH, HSINCHU SCIENCE PARK, HSINCHU, TAIWAN, R.O.C. (hereinafter "ASSIGNEE"), and successors, assigns, and legal representatives, is desirous of acquiring the entire right, title, and interest therein;

AND WHEREAS, a "formal application," as referred to herein, shall mean any provisional, nonprovisional, continuation, continuation in part, continued prosecution, substitute, renewal, extension, divisional, reissue, reexamination, foreign, Patent Cooperation Treaty (PCT) or other patent application, inventor's certificate, utility model, or like document;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in and to the aforesaid application, to the invention as described in the aforesaid application, and to any formal application which may be filed based in whole or in part on the aforesaid application, in the United States and all foreign countries, together with the right of priority under any international conventions, treaties and/or agreements to which the United States currently adheres and adheres to in the future, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns, and legal representatives;

AND ASSIGNOR authorizes ASSIGNEE, its successors, assigns, and legal representatives, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of aforesaid application and/or otherwise take advantage of the provisions of any international convention, treaty and/or agreement;

AND ASSIGNOR FURTHERMORE authorizes ASSIGNEE, its successors, assigns, and legal representatives, or anyone it may properly designate, to insert in this instrument the filing date and/or serial number of said application when ascertained;

AND ASSIGNOR AGREEING, FURTHERMORE, upon request of ASSIGNEE, and without further remuneration, but at no expense to ASSIGNOR, that ASSIGNOR or ASSIGNOR'S executors or administrators will provide all reasonable assistance to obtain, maintain, and assert the fullest measure of legal protection that ASSIGNEE desires to obtain or assert for the invention, any related inventions, any formal application based thereon, and any resulting patents, including executing any and all papers desired by ASSIGNEE for the filing and granting of formal applications, the perfecting of title in ASSIGNEE, and in enforcing any rights in the invention, any related inventions, and any formal application or patent based thereon.

If any provision of this assignment is held by any court to be unenforceable, such provision shall be interpreted to accomplish the objectives of the original provision to the fullest extent allowed by law and the remainder of this assignment shall remain in full force and effect.

United States Patent Application
Attorney Docket No.: 1111111111
First Named Inventor: LO, KUO CHANG

EXECUTED as of the date(s) written below by ASSIGNOR:

LO, KUO CHANG

[INVENTOR 1 NAME] LO, KUO CHANG

Date: 11/26/2015

Chang, Yao Te
[INVENTOR 2 NAME] CHANG, YAO TE

Date: 1/26/2015

[INVENTOR 3 NAME]

Date: _____

[INVENTOR 4 NAME]

Date: _____

[INVENTOR 5 NAME]

Date: _____

[INVENTOR 6 NAME]

Date: _____