

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3259140

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
RICHARD E. ANNATI	03/06/2015
ROBERT C. LANCASTER	03/06/2015
DAVID R. ADAIR	03/06/2015
RECEIVING PARTY DATA	
Name:	CATERPILLAR INC.
Street Address:	100 N.E. ADAMS STREET
Internal Address:	INTELLECTUAL PROPERTY DEPARTMENT
City:	PEORIA
State/Country:	ILLINOIS
Postal Code:	61629-9510
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14642323
CORRESPONDENCE DATA	
Fax Number:	(202)408-4400
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2024084000
Email:	regional-desk@finnegan.com
Correspondent Name:	CATERPILLAR/FINNegan, HENDERSON, L.L.P.
Address Line 1:	901 NEW YORK AVENUE, NW
Address Line 4:	WASHINGTON, D.C. 20001-4413
ATTORNEY DOCKET NUMBER:	08350.1747-00000
NAME OF SUBMITTER:	ABHAY A. WATWE
SIGNATURE:	/ABHAY A. WATWE/
DATE SIGNED:	03/10/2015
Total Attachments: 6	
source=1747-Assignment#page1.tif	
source=1747-Assignment#page2.tif	
source=1747-Assignment#page3.tif	

source=1747-Assignment#page4.tif

source=1747-Assignment#page5.tif

source=1747-Assignment#page6.tif

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

COMPRESSOR ASSEMBLY HAVING A DIFFUSER RING WITH TABS

for which I/We will or have filed a patent application; and

WHEREAS, CATERPILLAR INC., a corporation of DELAWARE whose post office address is Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-9510 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof, and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, I/WE HEREBY authorize Assignee or the attorneys/representatives of Assignee to insert here in parentheses the country, application number, and official filing date (USA Application No. 14/642,323 filed Mar. 9, 2015).

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Richard E. ANNATI	ASSIGNOR'S SIGNATURE 	DATE 03/06/2015
ADDRESS 70 Chesapeake Court, Lafayette, IN 47909		CITIZENSHIP USA

1. WITNESS NAME <i>Ashley Ringer</i>	WITNESS SIGNATURE <i>Ashley Ringer</i>	DATE <i>3-6-2015</i>
ADDRESS <i>3233 Hopkins Dr. West Lafayette, IN 47906</i>		CITIZENSHIP <i>USA</i>
2. WITNESS NAME <i>Gary Powers</i>	WITNESS SIGNATURE <i>Gary Powers</i>	DATE <i>3/6/2015</i>
ADDRESS <i>44 Jinks Court Lafayette, IN 47905</i>		CITIZENSHIP <i>USA</i>

2. FULL NAME OF SECOND ASSIGNOR, IF ANY Robert C. LANCASTER	ASSIGNOR'S SIGNATURE <i>Robert C. Lancaster</i>	DATE <i>3/6/2015</i>
ADDRESS 5246 Wiltonwood Court, Indianapolis IN 46254		CITIZENSHIP USA
1. WITNESS NAME <i>Gary Powers</i>	WITNESS SIGNATURE <i>Gary Powers</i>	DATE <i>3/6/2015</i>
ADDRESS <i>44 Jinks Court Lafayette, IN 47905</i>		CITIZENSHIP
2. WITNESS NAME <i>Jeffrey W. McCormack</i>	WITNESS SIGNATURE <i>JEFFREY W. MCCORMACK</i>	DATE <i>03/06/2015</i>
ADDRESS <i>9924 Parkway Drive Fishers, IN 46037</i>		CITIZENSHIP <i>USA</i>

3. FULL NAME OF THIRD ASSIGNOR, IF ANY David R. ADAIR	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 12679 Avenida Annalie, Valley Center CA 92082		CITIZENSHIP USA
1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

COMPRESSOR ASSEMBLY HAVING A DIFFUSER RING WITH TABS

for which I/We will or have filed a patent application; and

WHEREAS, CATERPILLAR INC., a corporation of DELAWARE whose post office address is Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-9510 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

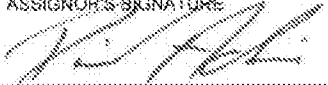

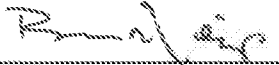
AND, I/WE HEREBY authorize Assignee or the attorneys/representatives of Assignee to insert here in parentheses the country, application number, and official filing date (USA Application No. 14/642,323, filed Mar. 9, 2015).

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR	ASSIGNOR'S SIGNATURE	DATE
Richard E. ANNATI		
ADDRESS		CITIZENSHIP
70 Chesapeake Court, Lafayette, IN 47909		USA

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. FULL NAME OF SECOND ASSIGNOR, IF ANY Robert C. LANCASTER	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 5246 Wiltonwood Court, Indianapolis IN 46254		CITIZENSHIP USA
1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP
2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

3. FULL NAME OF THIRD ASSIGNOR, IF ANY David R. ADAIR	ASSIGNOR'S SIGNATURE 	DATE 3/6/2015
ADDRESS 12679 Avenida Annalie, Valley Center CA 92082		CITIZENSHIP USA
1. WITNESS NAME Meagan Sung	WITNESS SIGNATURE 	DATE 3/6/2015
ADDRESS 6675 Reservoir Lane, San Diego CA 92115		CITIZENSHIP USA
2. WITNESS NAME BRIAN FLINTROO	WITNESS SIGNATURE 	DATE 3/6/15
ADDRESS 31720 ROCKING HORSE RD ESCONDIDO CA 92026		CITIZENSHIP USA