# 503228212 03/20/2015

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
F. HOFFMANN-LA ROCHE AG	07/31/2014

### **RECEIVING PARTY DATA**

Name:	HOFFMANN-LA ROCHE INC.
Street Address:	GREAT NOTCH
Internal Address:	150 CLOVE ROAD, 8TH FLOOR
City:	LITTLE FALLS
State/Country:	NEW JERSEY
Postal Code:	07424

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14549102

#### **CORRESPONDENCE DATA**

**Fax Number:** (973)639-8399

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	036844-89450 (31869 US)	
NAME OF SUBMITTER:	ESTELLE J. TSEVDOS	
SIGNATURE:	/Estelle J. Tsevdos/	
DATE SIGNED:	03/20/2015	

#### **Total Attachments: 4**

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> PATENT REEL: 035215 FRAME: 0703



Case No. 31869 TO BE NOTARIZED

# **ASSIGNMENT AGREEMENT**

F. Hoffmann-La Roche AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

Hoffmann-La Roche Inc. ("ASSIGNEE") a corporation of the State of New Jersey, United Sates of America, having its principal place of business at Great Notch, 150 Clove Road 8<sup>th</sup> Floor, Little Falls, NJ 07424, United States

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filling date of the following patent application(s):

Case No. 31869

Country Europe Filing Date 21. November 2013

Serial No. 13193892.0

entitled

#### ANTI-ALPHA-SYNUCLEIN ANTIBODIES AND METHODS OF USE

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

PATENT REEL: 035215 FRAME: 0704 This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR** 

F. Hoffmann -- La Roche AG

Basel, 3 1 JUL 2014

Place, Date

Antonio Natoli

Holder of procuration

Susanne Amann

Mandatory

**ASSIGNEE** 

Hoffmann-La Roche Inc.

Newark, NJ (USA)

Place, Date

George M. Gould, Counse

Authorized Signer

# Logalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Stefan Schönberger, herewith legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Legalization based on comparison with authentic signatures.

Basel (Switzerland), this 5<sup>th</sup> (fifth) day of August 2014 (two thousand and fourteen).



BV 226/2014

PATENT REEL: 035215 FRAME: 0706

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# CERTIFICATE OF ACKNOWLEDGMENT

State of New Jersey	)	
	)	SS
County of Essex		

On September 5, 2014, before me, Anne Marie Mitchell, Notary Public of the State of New Jersey, personally appeared George M. Gould, Authorized Signatory for Hoffmann La-Roche Inc., who has identified himself as the signer to the attached document described as: Assignment Agreement for Case No. 31869.

I certify under penalty of perjury under the laws of the State of New Jersey that the foregoing paragraph is true and correct.

Sworn to and subscribed to before me this 5<sup>th</sup> day of September, 2014.

Anne Marie Mitchell

Notary Public of the State of New Jersey My Commission Expires February 28, 2017

I.D. No. 2417884

(Notary Seal)

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