# 503230501 03/23/2015 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3277119

SUBMISSION TYPE:		NEW ASSIGNMEN	NEW ASSIGNMENT			
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT			
CONVEYING PARTY D	ΑΤΑ					
		Name	Name			
JIANGNAN UNIVERSIT TECHNOLOGY RESEA			NEW WAY FERMENTATION JTE CO., LTD.			
RECEIVING PARTY DA	TA					
Name:	PHYTO TECH CORP. D/B/A BLUE CALIFORNIA					
Street Address:	30111 TOMAS					
City:	RANCHO SANTA MARGARITA					
State/Country:	CALIFORNIA					
Postal Code:	92688	92688				
	Tatal. 4					
PROPERTY NUMBERS		Number				
Property Type			Number			
Application Number:		3591231				
CORRESPONDENCE D	ΑΤΑ					
		17)345-1300	345-1300			
Correspondence will b						
using a fax number, if			l, it will be sent vi	a US Mail.		
		73451000	en@nixonpeabody.com			
-		XON PEABODY LLP				
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Address Line 1: 100 SOMMER STREET						
ATTORNEY DOCKET NUMBER:		070946-082561-US				
NAME OF SUBMITTER:			CANDACE M. SUMMERFORD			
SIGNATURE:			/Candace M. Summerford/			
DATE SIGNED:		03/23/2015	03/23/2015			
Total Attachments: 5		<b>I</b>				
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#### ASSIGNMENT OF PATENTS

This ASSIGNMENT OF PATENTS (this "Assignment") is made on Feb 20, 2014, by and between Jiangnan University, of Lihu Campus, No. 1800 Lihu Avenue, Wuxi, Jiangsu, 214122, P.R.C., a public institution duly organized and existing under and by virtue of the laws of China, and Wuxi NewWay Fermentation Technology Research Institute Co., Ltd., of #1105-1107, 11th Floor, Beichuang Science & Technology Innovation Park, No. 401 Xingyuan North, Wuxi, Jiangsu Provice China P.C. 214043, a limited liability company duly organized and existing under and by virtue of the laws of China (collectively "Assignor") and Phyto Tech Corp. d/b/a Blue California, of 30111 Tomas, Rancho Santa Margarita, California 92688 a corporation duly organized and existing under and by virtue of the laws of the State of California ("Assignee").

#### WITNESSETH:

WHEREAS, Assignor has agreed to transfer certain assets of Assignor, including, without limitation, any inventions patented, unpatented and/or unpatentable, the patents, patent applications and provisional patent applications and the right to claim priority from any such patent applications listed in <u>Schedule A</u> hereto (the "Assigned Patents"); and

WHEREAS, Assignor now wishes to assign to Assignee, and Assignee wishes to acquire from Assignor, all of Assignor's right, title and interest in and to the Assigned Patents.

WHEREAS, Assignee and Assignor are desirous of executing an instrument of assignment for purposes of effectuating and recording the assignment of all right, title and interest in and to the Assigned Patents to Assignee with the patent offices, agencies and registrars in all applicable jurisdictions.

**NOW THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties agree as follows:

- Assignment. Assignor does hereby irrevocably sell, assign, convey and transfer to
  Assignee all its right, title and interest in and to the Assigned Patents. The foregoing
  assignment of the Assigned Patents includes the exclusive rights, to (a) apply for and
  maintain all patents and patent applications, (b) bring actions or otherwise recover for
  infringements, and the right to the profits or damages due or accrued, arising out of or
  in connection with any and all past, present or future infringements of the Assigned
  Patents, and (c) all other rights of any kind whatsoever of Assignor accruing
  thereunder or pertaining thereto.
- <u>Acknowledgment</u>. Assignor hereby acknowledges and agrees that from and after the date hereof, Assignee shall be the exclusive owner of all of Assignor's right, title and interest in and to the Assigned Patents.

## PATENT REEL: 035229 FRAME: 0708

- Binding Effect: Assignment. This Assignment shall be binding upon and inure solely to the benefit of the parties hereto and their respective successors and permitted assigns.
- 4. Further Assurances. Assignor shall take such further actions as may be reasonably necessary or desirable to carry out the provisions of this Assignment. Subject to the foregoing sentence, from time to time after the date hereof, Assignor shall (a) execute all documents and other instruments reasonably necessary to fully vest and perfect in Assignee all of Assignor's right, title and interest in and to the Assigned Patents and (b) provide documents and information useful or necessary for Assignee to prosecute or maintain any issuance, registration or application for issuance or registration of the Assigned Patents, or to pursue or defend any administrative, court, or other legal proceeding involving any of the Assigned Patents.
- 5. <u>Governing Law</u>. This Assignment shall be governed by, and construed in accordance with, the internal laws of the State of New York, without regard to the provisions thereof regarding conflicts of law that would result in the application of the laws of other jurisdictions.
- 6. <u>Notices</u>: Any notice or other communication required or permitted pursuant to this Agreement shall be effective only if it is in writing and delivered personally, by facsimile transmission, by a national overnight courier service or by registered or certified return-receipt mail, postage prepaid addressed as follows:





Deer: US1.9137374v3

PATENT REEL: 035229 FRAME: 0709 If to Assignor to:

Jiangnan University, Lihu Campus, No. 1800 Lihu Avenue, Wuxi, Jiangsu, 214122, P.R.C.

Wuxi NewWay, Biotech Co., Ltd., #1105-1107, 11th Floor, Beichuang Science & Technology Innovation Park, No. 401 Xingyuan North, Wuxi, Jiangsu Provice China P.C. 214043

If to Assignee to:

30111 Tomas, Rancho Santa Margarita, California 92688

with a copy (which shall not constitute notice) to:

#### Holly You and Jeannie Boettler, Armstrong Teasdale, 7700 Forsyth Bonlevard, St. Louis, Missouri 63105

or to such other person or address as any such Party may designate by notice to the other Party. Notice shall be deemed to be given on the date of delivery when personally delivered, upon receipt of a legible copy when transmitted by facsimile or five (5) days after posting by certified mail with postage prepaid.

7. <u>Counterparts</u>. This Assignment may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument and shall become effective when one or more counterparts have been signed by each party hereto and delivered to the other party hereto.

#### [Signature Pages Follow]

IN WITNESS WHEREOF, the parties hereto have caused this Assignment to be executed as of the date first written above by their respective officers thereinto duly authorized.

Jiangnan University By: Name: Title: Wuxi New Way Biotech Co., Ltd. Bv:

Doce: US1 9137324v3

## Phyto Tech Corp. d/b/a Blue California

By:\_\_\_\_\_ Name: Title:

[Signature Page to the Assignment of Patents]



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PATENT REEL: 035229 FRAME: 0711

#### SCHEDULE A

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### ASSIGNED PATENT APPLICATIONS/GRANTED PATENTS FOR BLUE CAL PATENT FAMILY # 13

Blue Cal Patent Patent Application Reference No. No/Granted Patent No Published Patent No		Title	Filing Date/ Grant Date /Publication Date	
13	CN20110325488.1	Amycolatopsis Sp. Strain and methods of using same for vanillin production	CN Filing date: 24 October 2011	
13	CN102321563B	Amycolatopsis Sp. Strain and methods of using same for vanillin production	CN Grant Date: 3 April 2013	
13	U\$13/591, 231	Amycolatopsis Sp. Strain and methods of using same for vanillin production	US filing date: 22 August 2012	
13	US2013/0115667A1	Amycolatopsis Sp. Strain and methods of using same for vanillin production	US Publication date: 9 May 2013	