

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT3275687

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the ASSIGNOR'S NAME LISTED AS "THIOMAS SANDMANN" TO READ THOMAS SANDMANN previously recorded on Reel 034978 Frame 0191. Assignor(s) hereby confirms the CORRECT NAME OF THE THIRD ASSIGNOR IS THOMAS SANDMANN.
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CARLOS BAIS	01/23/2015
HEIDI PHILLIPS	02/04/2015
THOMAS SANDMANN	01/21/2015
RICHARD BOURGON	01/21/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	GENENTECH INC.
<b>Street Address:</b>	1 DNA WAY
<b>City:</b>	SOUTH SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94080
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14470443
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(650)467-2901
<b>Email:</b>	carolaf@gene.com
<b>Correspondent Name:</b>	CAROL A. FANG
<b>Address Line 1:</b>	GENENTECH INC.
<b>Address Line 2:</b>	1 DNA WAY
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080
<b>ATTORNEY DOCKET NUMBER:</b>	P5695R1-US
<b>NAME OF SUBMITTER:</b>	CAROL A. FANG, REG. NO. 48,631
<b>SIGNATURE:</b>	/Carol A. Fang/
<b>DATE SIGNED:</b>	03/20/2015

**Total Attachments: 11**

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## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3230099

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CARLOS BAIS	01/23/2015
HEIDI PHILLIPS	02/04/2015
THOMAS SANDMANN	01/21/2015
RICHARD BOURGON	01/21/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	GENENTECH INC.
<b>Street Address:</b>	1 DNA WAY
<b>City:</b>	SOUTH SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94080
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	14470443
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(650)467-2901
<b>Email:</b>	carolaf@gene.com
<b>Correspondent Name:</b>	CAROL A. FANG
<b>Address Line 1:</b>	GENENTECH INC.
<b>Address Line 2:</b>	1 DNA WAY
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080
<b>ATTORNEY DOCKET NUMBER:</b>	P5695R1-US
<b>NAME OF SUBMITTER:</b>	CAROL A. FANG, REG. NO. 48,631
<b>SIGNATURE:</b>	/Carol A. Fang/
<b>DATE SIGNED:</b>	02/18/2015
<b>Total Attachments: 8</b>	
source=P5695R1-US Assignment   INV to GNE#page1.tif	
source=P5695R1-US Assignment   INV to GNE#page2.tif	

## ASSIGNMENT

WHEREAS, Carlos Bois, Heidi Phillips, Thomas Sandmann and Richard Bourgon (each an "ASSIGNOR"), have invented a new and useful invention in

### COMBINATION THERAPY FOR THE TREATMENT OF GLIOBLASTOMA

for which an application Serial No. 14/470,443 (Docket No. P5695R1) for Letters Patent has been filed by them on August 27, 2014; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern:

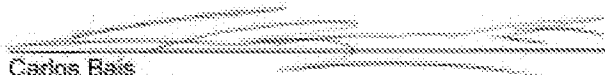
That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows:

  
\_\_\_\_\_  
Carlos Bais

1/23/2015  
\_\_\_\_\_  
Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: AR

\_\_\_\_\_  
Heidi Phillips

\_\_\_\_\_  
Date

Mailing address:

1511 Walnut Dr.

Palo Alto, CA 94303

Citizenship: US

\_\_\_\_\_  
Thomas Sandmann

\_\_\_\_\_  
Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: DE

\_\_\_\_\_  
Richard Bourgon

\_\_\_\_\_  
Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

**ASSIGNMENT**

WHEREAS, Carlos Bas, Heidi Phillips, Thomas Sandmann and Richard Bourgon (each an "ASSIGNOR"), have invented a new and useful invention in

**COMBINATION THERAPY FOR THE TREATMENT OF GLIOBLASTOMA**

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NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

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The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

HSP 2/4/15

IN WITNESS WHEREOF I/we undersign as follows:

\_\_\_\_\_  
Carlos Bais Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: AR

*Heidi S Phillips* *Feb 4, 2015*  
\_\_\_\_\_  
Heidi Phillips Date  
Mailing address:  
1511 Walnut Dr.  
Palo Alto, CA 94303  
Citizenship: US

\_\_\_\_\_  
Thomas Sandmann Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: DE

\_\_\_\_\_  
Richard Bourgon Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: US

## ASSIGNMENT

WHEREAS, Carlos Bais, Heidi Phillips, Thomas Sandmann and Richard Bourgon (each an "ASSIGNOR"), have invented a new and useful invention in

### **COMBINATION THERAPY FOR THE TREATMENT OF GLIOBLASTOMA**

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Carlos Sais Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: AR

---

Heidi Phillips Date  
Mailing address:  
1511 Walnut Dr.  
Palo Alto, CA 94303  
Citizenship: US

---

 01/21/2005  
Thomas Sandmann Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: DE

---

Richard Bourgon Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: US

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Carlos Bais Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: AR

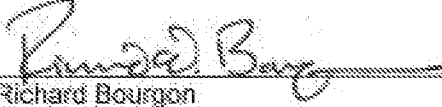
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Heidi Phillips Date  
Mailing address:  
1511 Walnut Dr.  
Palo Alto, CA 94303  
Citizenship: US

---

Thomas Sandmann Date  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: DE

---

 Date  
Richard Bourgon 1/21/15  
Mailing address:  
1 DNA Way  
South San Francisco, CA 94080  
Citizenship: US