

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3280347

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SAMEER WALAVALKAR	02/23/2015
CHIEH-FENG CHANG	02/20/2015
AXEL SCHERER	03/20/2015
RECEIVING PARTY DATA	
Name:	CALIFORNIA INSTITUTE OF TECHNOLOGY
Street Address:	1200 E. CALIFORNIA BLVD., M/C 210-85
City:	PASADENA
State/Country:	CALIFORNIA
Postal Code:	91125
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14621286
CORRESPONDENCE DATA	
Fax Number:	(626)792-1342
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	626-792-0536
Email:	mail@sbpatlaw.com
Correspondent Name:	STEINFL & BRUNO LLP
Address Line 1:	155 N. LAKE AVE. SUITE 700
Address Line 4:	PASADENA, CALIFORNIA 91101
ATTORNEY DOCKET NUMBER:	P1639-US
NAME OF SUBMITTER:	JIANCONG XU
SIGNATURE:	/Jiancong Xu, Reg. No. 71,952/
DATE SIGNED:	03/24/2015
Total Attachments: 4	
source=P1639-US-Assgn-Caltech#page1.tif	
source=P1639-US-Assgn-Caltech#page2.tif	
source=P1639-US-Assgn-Caltech#page3.tif	
source=P1639-US-Assgn-Caltech#page4.tif	

ASSIGNMENT

We, Sameer WALAVALKAR, residing in the United States of America with a mailing address at 3912 Laurel Canyon Blvd., Apt. 308, STUDIO CITY, CA 91604,

Chieh-feng CHANG, residing in the United States of America with a mailing address at 1055 E. Union Street, #2, PASADENA, CA 91106, and

Axel SCHERER, residing in the United States of America with a mailing address at P.O. Box 5, BARNARD, VT 05031

hereby sell and assign for good and valuable consideration, the receipt of which we hereby acknowledge,

to CALIFORNIA INSTITUTE OF TECHNOLOGY, an entity having its principal place of business in the United States of America at 1200 E. California Blvd., M/C 210-85, PASADENA, CA 91125

hereinafter referred to as the assignee, its successors, assigns and nominees, our entire right, title and interest, including all priority rights under U.S. law and/or International conventions and treaties, in and to the "REFLOWED GOLD NANOSTRUCTURES FOR SURFACE ENHANCED RAMAN SPECTROSCOPY"

invented by us, as described and/or claimed in U.S. Provisional Application No. 61/938,784, filed on February 12, 2014; U.S. Provisional Application No. 62/049,256, filed on September 11, 2014; U.S. Provisional Application No. 62/046,628, filed on September 5, 2014; U.S. Provisional Application No. 62/065,224, filed on October 17, 2014; in the corresponding U.S. Patent Application No. 14/621,286, filed on February 12, 2015; and in any other related patent applications filed and/or to be filed not only for, to and in the United States of America its territories and possessions, but also for, to and in all other countries, including any and all divisions, reissues, continuations, continuations-in-part and extensions of said U.S. Patent Application and any and all International patent applications related to said U.S. Provisional Application No.

61/938,784, U.S. Provisional Application No. 62/049,256, U.S. Provisional Application No. 62/046,628, U.S. Provisional Application No. 62/065,224, and/or U.S. Patent Application No. 14/621,286, and authorize and request any officials whose duty it is to issue patents, to issue any patent on said "REFLOWED GOLD NANOSTRUCTURES FOR SURFACE ENHANCED RAMAN SPECTROSCOPY" and/or improvements thereof or resulting therefrom to said assignee or its successors, assigns or nominees, and agree to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part and/or reissue applications, make all rightful oaths and/or declarations and generally do everything possible to aid said assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in the United States of America and in all other countries.

Subscribed and executed at Los Angeles USA
 City Country
 On 2/23/15
 Date

Inventor Name: Sameer WALAVALKAR

Inventor Signature [Signature]

Witnessed by:

Witness Name: Georgiann Keller Signature [Signature]

Witness Name: Lauren N. Sarna Signature [Signature]

Subscribed and executed at Pasadena, CA U.S.A.
City Country
On Feb/20/2015
Date

Inventor Name: Chieh-feng CHANG

Inventor Signature Chieh-feng Chang

Witnessed by:

Witness Name: JOYCE WONG Signature Joyce Wong

Witness Name: SAMEER WALAWALKAR Signature Sameer Walawalkar

Subscribed and executed at _____
City Country
On _____
Date

Inventor Name: Axel SCHERER

Inventor Signature _____

Witnessed by:

Witness Name: _____ Signature _____

Witness Name: _____ Signature _____

Subscribed and executed at _____

City

Country

On _____

Date

Inventor Name: Chieh-feng CHANG

Inventor Signature _____

Witnessed by:

Witness Name: _____ Signature _____

Witness Name: _____ Signature _____

Subscribed and executed at Pasadena CA LA

City

Country

On March 20, 2015

Date

Inventor Name: Axel SCHERER

Inventor Signature 

Witnessed by:

Witness Name: Kate Finigan Signature Kate Finigan

Witness Name: Erika Garcia Signature 