#### 503235078 03/25/2015

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3281696

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
VALORISATION-RECHERCHE, LIMITED PARTNERSHIP	12/05/2014

#### **RECEIVING PARTY DATA**

Name:	PREVTEC MICROBIA INC.	
Street Address:	1250 RENÉ-LÉVESQUE BOULEVARD WEST	
Internal Address:	38TH FLOOR	
City:	MONTRÉAL, QUÉBEC	
State/Country:	CANADA	
Postal Code:	H3B 4W8	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
PCT Number:	CA2013000660	

#### CORRESPONDENCE DATA

Fax Number: (206)224-0779

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

2066828100 Phone: Email: efiling@cojk.com Correspondent Name: THOMAS S. NOWAK

Address Line 1: CHRISTENSEN O'CONNOR JOHNSON KINDNESS

Address Line 2: 1201 THIRD AVENUE, SUITE 3600 Address Line 4: SEATTLE, WASHINGTON 98101-3029

ATTORNEY DOCKET NUMBER:	SMAB153390
NAME OF SUBMITTER:	THOMAS S. NOWAK
SIGNATURE:	/Thomas S. Nowak/
DATE SIGNED:	03/25/2015

#### **Total Attachments: 5**

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**PATENT** REEL: 035252 FRAME: 0912 503235078

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PATENT REEL: 035252 FRAME: 0913

### CONFIRMATORY ASSIGNMENT

WHEREAS, VALORISATION-RECHERCHE, LIMITED PARTNERSHIP, whose full post office address is 3535 Queen-Mary Road, Suite 220, Montreal (Quebec) H3V 1H8, CANADA, acting through its general partner Gestion Univalor, Limited Partnership, itself acting through its general partner Univalor Inc. (hereinaster, the "Assignor"), entered into a Technology Assignment Agreement on December 21, 2012 (the "2012 Sale") with PREVIEC MICROBIA INC., whose full post office address is 1250 René-Lévesque Boulevard West, 38th Floor, Montreal (Quebec) H3B 4W8, CANADA (hereinafter, the "Assignee"; together with the Assignor, the "Parties");

WHEREAS, pursuant to the 2012 Sale, the Assignor assigned and transferred to the Assignee, inter alia, the patent assets listed in Annex A (hereinafter referred to as the "Patent Assets");

WHEREAS, in order to effect the recordal of the assignment and transfer of the Patent Assets to the Assignee at the relevant patent offices or registries, and to effect the intention of the Parties to assign and transfer the Patent Assets and all related patent rights based on such Patent Assets, to the Assignee in furtherance to the 2012 Sale, the Parties hereby agree to sign this Confirmatory Assignment.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor hereby confirms having sold, assigned and transferred to the Assignes, its successors and assigns, its entire right, title, and interest in and to the Patent Assets, inclusive of any continuations or divisions thereof and all priority rights derived therefrom, and any and all patents that may issue on any of the foregoing, and all rights to sue for past and future infringement hereof, to be held and enjoyed by the Assignor as if this assignment had not been made. The Assignor further agrees, without further consideration, to take such further action and to execute such further assignments and other lawful documents as the Assignee may reasonably request to effectuate fully this Confirmatory Assignment.

The Assignor authorizes and requests the Commissioner of Patents to record the Assignee as the assignee and owner of all rights, title and interest in the Patent Assets.

THIS Confirmatory Assignment is effective as of December 21, 2012.

AS agreed by both the Assignee and the Assignor, this Confirmatory Assignment has been drawn up in English. Tel que convenu par le cessionnaire et le cédant, cette cession a été rédigée en anglais,



> VALORISATION-RECHERCHE, LIMITED PARTNERSHIP,

acting through its general partner GESTION UNIVALOR, LIMITED PARTNERSHIP, itself acting through its general partner UNIVALOR INC.

Jacques SIMONEAU
CEO and General Manager

DECLARATION OF WITNESS
I, VERONIQUE BOUGIE whose full postal address is 3535 QUEEN-MARY ROAD MONTREAL OC H3V IH8 hereby declare that I was personally present and did see Jacques SIMONEAU, who is personally known to me to be the person named in this Confirmatory Assignment, sign and execute same.
Declared at Monthe alo , this 5th day of DECEMBER 2014. (Locality)
<u>Joe Boog</u> Witness signature

ACKNOWLEDGED AND ACCEPTED # / COLUMN /	
this g day of December 2017; 1000 18 1000	

## PREVTEC MICROBIA INC.

8Y:

Michel FORTIN
President and CEO

DECLARATION OF WITNESS	
I, A-A-N LESAGE , whose full postal address 39 CROPER CHAPLY QUEREC 31 2W CAUAM hereby declare the company present and did see Michel FORTIN, who is personally known to me be the person named in this Confirmatory Assignment, sign and execute same.	
Declared at MONTAGAS, this & day of DECEMBER 2014.	
Wines Signature	

I, Nancy O'Connor a Notary Public in and for the province of Quebec, Canada, certify that this is a true copy of the original Confirmatory Assignment. WITNESS my hand and official

More Nancy O'CONNOR, Notary

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# Annex A

Title	Country / Region or PCT	Filing Date	Serial Number
	PCT	03/02/2005	CA2005/000138
	Australia	03/02/2005	2005211140
	Brazil	03/02/2005	0507410-0
	Canada	03/02/2005	2,552,811
	China	03/02/2005	200580003938.8
	China	09/07/2012	201210237479.1
USE OF LIVE BACTERIA FOR GROWTH PROMOTION	Hong Kong	29/05/2007	7105642.4
	Europe	03/02/2005	5706458.6
IN ANIMALS	Philippines	03/02/2005	1-2006-501455
	Russia	03/02/2005	2403712
	United States	03/02/2004	60/541,053
	United States	03/02/2005	7,981,411
	United States	08/07/2011	8,343,751
	United States	30/11/2012	8,679,823
	United States	02/07/2014	14/176,003
	Vietnam	03/02/2005	1-2006-01443
NON-PATHOGENIC E-COLI STRAIN AND USE THEREOF	United States	20/07/2012	61/674,179
	PCT	18/07/2013	CA2013/000660

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Title	Country / Region or PCT	Filing date	Serial Number
	PCT	14/03/2002	CA02/00353
	Australia	14/03/2002	2002242531
	Brazil	14/03/2002	0208124-5
	Canada	15/03/2001	2,339,436
	Canada	14/03/2002	2,479,270
ANTIBODIES FOR PREVENTING AND TREATING ATTACHING AND EFFACING ESCHERICHIA COLI (AEEC) ASSOCIATED DISEASES	Europe	14/03/2002	1368379
	France	14/03/2002	n/a – validation of EP1368379
	Germany	14/03/2002	60218636
	Italy	14/03/2002	n/a - validation of EP1368379
	United Kingdom	14/03/2002	n/a – validation of EP1368379
	Spain	14/03/2002	2283531
	United States	14/03/2002	7,445,782
	United States	26/03/2010	8,623,372
	United States	24/10/2008	7,727,531