

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3282239

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MAN LUN YIP	10/09/2014
WENDONG LI	10/03/2014
YANHONG SHI	10/10/2014
RECEIVING PARTY DATA	
Name:	CITY OF HOPE
Street Address:	1500 E. DUARTE ROAD
City:	DUARTE
State/Country:	CALIFORNIA
Postal Code:	91010-3000
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14591341
CORRESPONDENCE DATA	
Fax Number:	(858)314-1501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	8583141500
Email:	LAHO@MINTZ.COM
Correspondent Name:	ANSON M. NOMURA
Address Line 1:	35800 CARMEL MOUNTAIN ROAD SUITE 300
Address Line 2:	MINTZ LEVIN
Address Line 4:	SAN DIEGO, CALIFORNIA 92130
ATTORNEY DOCKET NUMBER:	48440-505D01US
NAME OF SUBMITTER:	ANSON M. NOMURA, REG. NO. 67,064
SIGNATURE:	/ANSON M. NOMURA, REG. NO. 67,064/
DATE SIGNED:	03/25/2015
Total Attachments: 9	
source=48440-505D01US Assignment from Parent#page1.tif	
source=48440-505D01US Assignment from Parent#page2.tif	
source=48440-505D01US Assignment from Parent#page3.tif	

source=48440-505D01US Assignment from Parent#page4.tif
source=48440-505D01US Assignment from Parent#page5.tif
source=48440-505D01US Assignment from Parent#page6.tif
source=48440-505D01US Assignment from Parent#page7.tif
source=48440-505D01US Assignment from Parent#page8.tif
source=48440-505D01US Assignment from Parent#page9.tif

ASSIGNMENT
(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

"ENHANCERS OF INDUCED PLURIPOTENT STEM CELL REPROGRAMMING,"

filed with the U.S. Patent & Trademark Office on October 15, 2013

and assigned serial no. 14/054,551.

For one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

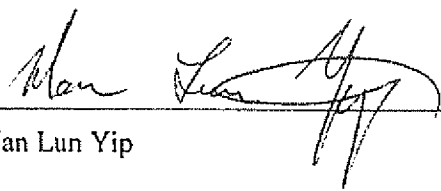
- I. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to Beckman Research Institute of City of Hope, having a principal place of business at 1500 E. Duarte Road, Duarte, CA 91010-3000 ("Assignee"), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature: _____
Yanhong Shi

Date: _____

Signature:  _____
Man Lun Yip

Date: 10/9/2014

ASSIGNMENT
U.S. Serial No. 14/054,551
Attorney Docket No. 95058-000410US-882785
Page 3 of 3

Signature: _____

Wendong Li

Date: _____

DEED OF ACCEPTANCE

We, City of Hope, with offices at 1500 E. Duarte Road, Duarte, CA 91010-3000, USA declare that we accept the rights given in the attached Assignment.

By: _____
Larry A. Couture, Ph.D.

Title: _____

RECEIVED
BY
SBC

66618707V.1

ASSIGNMENT
(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

"ENHANCERS OF INDUCED PLURIPOTENT STEM CELL REPROGRAMMING,"

filed with the U.S. Patent & Trademark Office on October 15, 2013

and assigned serial no. 14/054,551.

For one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

1. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to Beckman Research Institute of City of Hope, having a principal place of business at 1500 E. Duarte Road, Duarte, CA 91010-3000 ("Assignee"), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and



ASSIGNMENT

U.S. Serial No. 14/054,551

Attorney Docket No. 95058-000410US-882785

Page 2 of 3

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature: _____

Yanhong Shi

Date: _____

Signature: _____

Man Lun Yip

Date: _____

②

ASSIGNMENT
U.S. Serial No. 14/054,551
Attorney Docket No. 95058-000410US-882785
Page 3 of 3

Signature: _____

Wendong Li

Date: _____

10-09-2014

DEED OF ACCEPTANCE

We, City of Hope, with offices at 1500 E. Duarte Road, Duarte, CA 91010-3000, USA declare that we accept the rights given in the attached Assignment.

By: _____

Larry A. Couture, Ph.D.

Title: _____

RECEIVED
By
SBL

66618707V.1

ASSIGNMENT
(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

"ENHANCERS OF INDUCED PLURIPOTENT STEM CELL REPROGRAMMING,"

filed with the U.S. Patent & Trademark Office on October 15, 2013

and assigned serial no. 14/054,551.

For one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

1. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to Beckman Research Institute of City of Hope, having a principal place of business at 1500 E. Duarte Road, Duarte, CA 91010-3000 ("Assignee"), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

ASSIGNMENT

U.S. Serial No. 14/054,551

Attorney Docket No. 95058-000410US-882785

Page 2 of 3

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature: 
Yanhong Shi

Date: 10-10-14

Signature: _____
Man Lun Yip

Date: _____

ASSIGNMENT
U.S. Serial No. 14/054,551
Attorney Docket No. 95058-000410US-882785
Page 3 of 3

Signature: _____
Wendong Li

Date: _____

DEED OF ACCEPTANCE

We, City of Hope, with offices at 1500 E. Duarte Road, Duarte, CA 91010-3000, USA declare that we accept the rights given in the attached Assignment.

By: _____

Larry A. Couture, Ph.D.

Title: _____

66618707V.1