## PATENT ASSIGNMENT COVER SHEET

**SUBMISSION TYPE:** NEW ASSIGNMENT  
**NATURE OF CONVEYANCE:** ASSIGNMENT BY GRANTED PETITION

### CONVEYING PARTY DATA

<table>
<thead>
<tr>
<th>Name</th>
<th>Execution Date</th>
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</thead>
<tbody>
<tr>
<td>CHRISTINE KUSLICH</td>
<td>02/25/2014</td>
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### RECEIVING PARTY DATA

<table>
<thead>
<tr>
<th>Name</th>
<th>CARIS LIFE SCIENCES LUXEMBOURG HOLDINGS, S.A.R.L.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Street Address</td>
<td>102 RUE DE MARAICHERS</td>
</tr>
<tr>
<td>Internal Address</td>
<td>L2124</td>
</tr>
<tr>
<td>City</td>
<td>LUXEMBOURG</td>
</tr>
<tr>
<td>State/Country</td>
<td>LUXEMBOURG</td>
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### PROPERTY NUMBERS Total: 1

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<tr>
<td>Application Number</td>
<td>13639756</td>
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### CORRESPONDENCE DATA

Fax Number:  
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

| Phone          | 214-294-5558 |
| Email          | kberman@carisls.com |
| Correspondent Name | KELLY BERMAN |
| Address Line 1 : | 6655 NORTH MACARTHUR BLVD. |
| Address Line 4 : | IRVING, TEXAS 75039 |

### ATTORNEY DOCKET NUMBER: 37901-706.833

### NAME OF SUBMITTER: KELLY BERMAN

### SIGNATURE:  /Kelly Berman/

### DATE SIGNED: 03/26/2015

Total Attachments: 3
- source=Caris 37901-706.833 Petition re Non-Signing Inventor Granted issued 2-25-14_(PALIB1_6195241_1)#page1.tif
- source=Caris 37901-706.833 Petition re Non-Signing Inventor Granted issued 2-25-14_(PALIB1_6195241_1)#page2.tif
- source=Caris 37901-706.833 Petition re Non-Signing Inventor Granted issued 2-25-14_(PALIB1_6195241_1)#page3.tif
In re Application of KUSLICH, et al.
PCT No.: PCT/US2011/031479
Application No.: 13/639,756
Int. Filing Date: 06 April 2011
Priority Date: 06 April 2010
Atty. Docket No.: 37901-706.832
For: CIRCULATING BIOMARKERS FOR DISEASE

This decision is in response to the renewed petition under 37 CFR 1.47(a) filed on 20 December 2013.

DISCUSSION

In a Decision mailed on 21 October 2013, the renewed petition under 37 CFR 1.47(a) filed on 19 August 2013 was dismissed, without prejudice, because requirement (2) had not been satisfied. Specifically,

petitioner provides copies of, and describes the mailing of, letters to Dr. Kuslich’s Gilbert, AZ and Paradise Valley, AZ addresses on 28 September 2012. However, inspection of those letters reveal that they do not appear to have been directed to the instant application number. Petitioner also provides a copy of a letter sent to Dr. Kuslich’s Aliso Viejo, CA (employment) address on 30 January 2013. This letter is directed toward the instant application. However, it is not adequately clear that Dr. Kuslich’s employer would deliver personal correspondence to her at her place of employment. Accordingly, it would be appropriate to demonstrate that a copy of the instant application and an oath or declaration directed thereto were sent to Dr. Kuslich at her last known residential address.

In response, petitioner has provided additional evidence, including a showing that the non-signing inventor appears to have refused delivery of a parcel containing a copy of the application papers and an oath or declaration for her signature. Based on the totality of the evidence now of record, it would be appropriate to construe Dr. Kuslich’s conduct as a “refusal” within the meaning of 37 CFR 1.47(a).
DECISION

The petition under 37 CFR 1.47(a) is **GRANTED**.

As provided in 37 CFR 1.47(a), a notice of the filing of this application will be forwarded to the non-signing inventor at her last known address of record.

A notice of the filing of the application under 37 CFR 1.47(a) will be published in the Official Gazette.

This application is being returned to the Office of Patent Application Processing. Its date under 35 U.S.C. 371(c)(1), (2) and (4) is **24 April 2013**.

/George Dombroske/
George Dombroske
PCT Legal Examiner
Office of PCT Legal Administration
Tel.: (571) 272-3283
Dr. Christine Kuslich
4011 E. Claremont Street
Paradise Valley, AZ 85253-3901

In re Application of
KUSLICH, et al.
PCT No.: PCT/US2011/031479
Application No.: 13/639,756
Int. Filing Date: 06 April 2011
Priority Date: 06 April 2010
Atty. Docket No.: 37901-706.832
For:  CIRCULATING BIOMARKERS FOR...

Dear Dr. Kuslich:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (571) 272-3283. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Requests for information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1(800) 972-6382 (outside the Washington D.C. area).

Any further correspondence with respect to this matter may be filed electronically via EFS-Web selecting the document description "Petition for review and processing by the PCT Legal Office" or by mail addressed to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

/George Dombroske/
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PCT Legal Examiner
Office of PCT Legal Administration
Tel: (571) 272-3283

WILSON SONSINI GODDRICH & ROSATI/ CARIS
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cc:  Dr. Christine Kuslich
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Laguna Beach, CA 92651-1205