503241869 03/30/2015

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

**SUBMISSION TYPE:** CORRECTIVE ASSIGNMENT **NATURE OF CONVEYANCE:** Corrective Assignment to correct the COVER SHEET SPELLING OF

> ASSIGNOR SURNAME FROM "WIESNOISKI" TO "WIESNOSKI" PER ASSIGNMENT previously recorded on Reel 035079 Frame 0595. Assignor(s) hereby confirms the ASSIGNMENT.

EPAS ID: PAT3288488

## **CONVEYING PARTY DATA**

Name	Execution Date
STEPHEN E. SAVAS	02/28/2015
ALLAN WIESNOSKI	02/18/2015
HOOD CHATHAM	02/25/2015
CARL GALEWSKI	02/18/2015
SAI MANTRIPRAGADA	02/18/2015
SOOYUN JOH	02/24/2015

#### RECEIVING PARTY DATA

Name:	PLASMASI, INC.
Street Address:	3754 SPINNAKER COURT
City:	FREMONT
State/Country:	CALIFORNIA
Postal Code:	94538

### **PROPERTY NUMBERS Total: 5**

Property Type	Number
Patent Number:	8697197
Application Number:	14251851
Application Number:	14253206
Application Number:	61224047
Application Number:	61322788

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**REEL: 035335 FRAME: 0860** 503241869

<del>PATENT</del>

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ATTORNEY DOCKET NUMBER:	PLAS-106	
NAME OF SUBMITTER:	AVERY GOLDSTEIN	
SIGNATURE:	/Avery Goldstein/	
DATE SIGNED:	03/30/2015	

## **Total Attachments: 9**

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# UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 4, 2015

PTAS

AVERY GOLDSTEIN FIRST FLOOR 450 NORTH OLD WOODWARD BIRMINGHAM, MI 48009

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BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

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DOC DATE: 02/28/2015

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<del>wiichoiski</del>, allan WIESMOSKT

DOC DATE: 02/18/2015

ASSIGNOR:

CHATHAM, HOOD

DOC DATE: 02/25/2015

ASSIGNOR:

GALEWSKI, CARL

DOC DATE: 02/18/2015

ASSIGNOR:

MANTRIPRAGADA, SAI

DOC DATE: 02/18/2015

ASSIGNOR:

JOH, SOOYUN

DOC DATE: 02/24/2015

ASSIGNEE:

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3754 SPINNAKER COURT

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**PATENT** 

REEL: 035335 FRAME: 0862

APPLICATION NUMBER: 12832934

PATENT NUMBER: 8697197

TITLE: METHODS FOR PLASMA PROCESSING

APPLICATION NUMBER: 14251851

PATENT NUMBER:

TITLE: METHODS FOR PLASMA PROCESSING

APPLICATION NUMBER: 14253206

PATENT NUMBER:

TITLE: METHODS FOR PLASMA PROCESSING

APPLICATION NUMBER: 61224047

PATENT NUMBER:

ISSUE DATE:

TITLE: APPARATUS AND METHOD FOR PLASMA PROCESSING

FILING DATE: 07/08/2010 ISSUE DATE: 04/15/2014

FILING DATE: 04/14/2014

FILING DATE: 04/15/2014

FILING DATE: 07/08/2009

ISSUE DATE:

ISSUE DATE:

APPLICATION NUMBER: 61322788

FILING DATE: 04/09/2010 ISSUE DATE:

PATENT NUMBER:

TITLE: APPARATUS AND METHOD FOR PLASMA PROCESSING

ASSIGNMENT RECORDATION BRANCH PUBLIC RECORDS DIVISION

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3249672

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
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ALLAN WIESNOIGHT WIESNOSKI (2) 3/30/15	02/18/2015
HOOD CHATHAM	02/25/2015
CAFIL GALEWSKI	02/18/2015
SAI MANTRIPRAGADA	02/18/2015
SOOYUN JOH	02/24/2015

### **RECEIVING PARTY DATA**

Name:	PLASMASI, INC.
Street Address:	3754 SPINNAKER COURT
City:	FREMONT
State/Country:	CALIFORNIA
Postal Code:	94538

## PROPERTY NUMBERS Total: 5

Property Type	Number
Application Number:	61224047
Application Number:	61322788
Patent Number:	8697197
Application Number:	14251851
Application Number:	14253206

### CORRESPONDENCE DATA

Fax Number:

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**AVERY GOLDSTEIN** 

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NAME OF SUBMITTER:

**AVERY GOLDSTEIN** 

### ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made this Stephen E. Savas, Allan Wiesnoski, Hood Chatham, Carl Galewski, Sai Mantripragada and Sooyun Joh (hereinafter referred to as Assignors), routinely receiving mail at 3467 Isle Royal Court, Pleasanton, California, 94588, U.S.A.; 2315 Bay Meadows Circle, Pleasanton, California, 94588, U.S.A.; 211 Sherman Drive, Scotts Valley, California, 95066, U.S.A.; 1650 Hall Ranch Road, Santa Cruz, California, 95065, U.S.A.; 34277 Ethun-Ferrace, Fremont, California, 94555, U.S.A.; and 3754 Spinnaker Court, Fremont, California, 94538, U.S.A., respectively.

WHEREAS, Assignors have invented certain new and useful improvements in Methods for Plasma Processing, set forth in a U.S. Patent Application filed on 14 April 2014 and published as US20140212601 A1 as a continuation of U.S. Patent Application Serial No. 12/832,934, filed on 8 July 2010, now granted as U.S. Patent No. 8,697,197 B2, and to which priority benefit is claimed for U.S. Serial Nos. 61/224,047, filed on 8 July 2009, and 61/322,788, filed on 9 April 2010; and

WHEREAS, PlasmaSi INC., a corporation organized under and pursuant to the laws of California, having its principal place of business at 3754 SPINNAKER COURT, FREMONT, CALIFORNIA, 94538, U.S.A; (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged. Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held

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and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee. Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors. legal representatives and assigns.

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PATENT

REEL: 035335 FRAME: 0866

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

Avery N. Goldstein, Ph.D.
BLUE FILAMENT LAW PLLC

All practitioners at Customer Number 13173

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Date: 424 /8 20/5 Signature

Stephen E. Savás

ile No. PLAS-106U

**PATENT** 

335 FRAME: 086

Date:		Allan Wiesnoski
Date:	Signature:	Hood Chatham
Date:	Signature:	Carl Galewski
Date:	Signature:	Sai Manishpringada
Date:	Signature:	Saavun loh

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Date:	_2/18_/Signature;	Allan Wiesnoski
Date:	Signature:	Hood Chatham
Date:	7/19/15 Signature;	Carl Galewski
Date:	2月日から Signature:	Sai Manirelpride
Date:	<u> </u>	<u> </u>

4

File No. PLAS-106USCON

Date:	2/18/5	Signature:	Allan Wiesnosia
Date:	2/25/15	_Signature:	Hood Chatham
Date:	7/19/15	_Signature;	Carl Galewski
Date:	2)15/2015	_Signature:	Sai Manshirtulkan
Date:		_Signature:	Sooyun Joh

RECORDED: 03/30/2015